

# Chapter 3

## Measurements and Modeling of Air Pollution



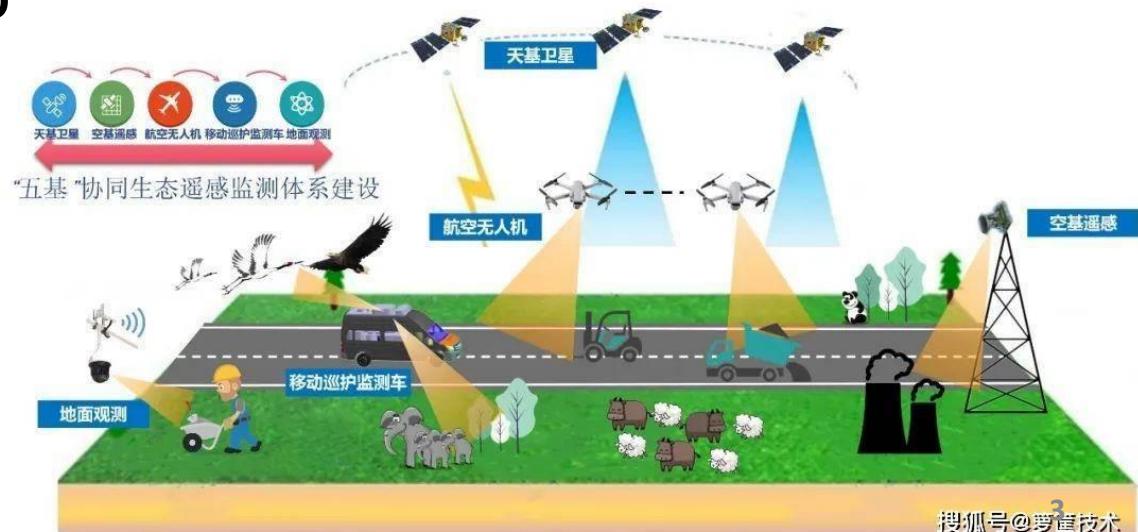
# Quiz

1. Causes of slowdown and resumption of  $\text{CH}_4$  growth
2. Project the future changes in biomass burning emissions under human influences and climate change
3. Given the wind fields and  $\text{NO}_2$  columns, estimate the lifetime of NOx
4. Given CO and/or NOx emissions, estimate emissions of other species such as  $\text{CO}_2$  and  $\text{N}_2\text{O}$
5. Causes of horizontal distribution in sulfur emissions from oceans
6. Why does deposition of N and S resemble their emissions

# Measurements of Chemical Constituents

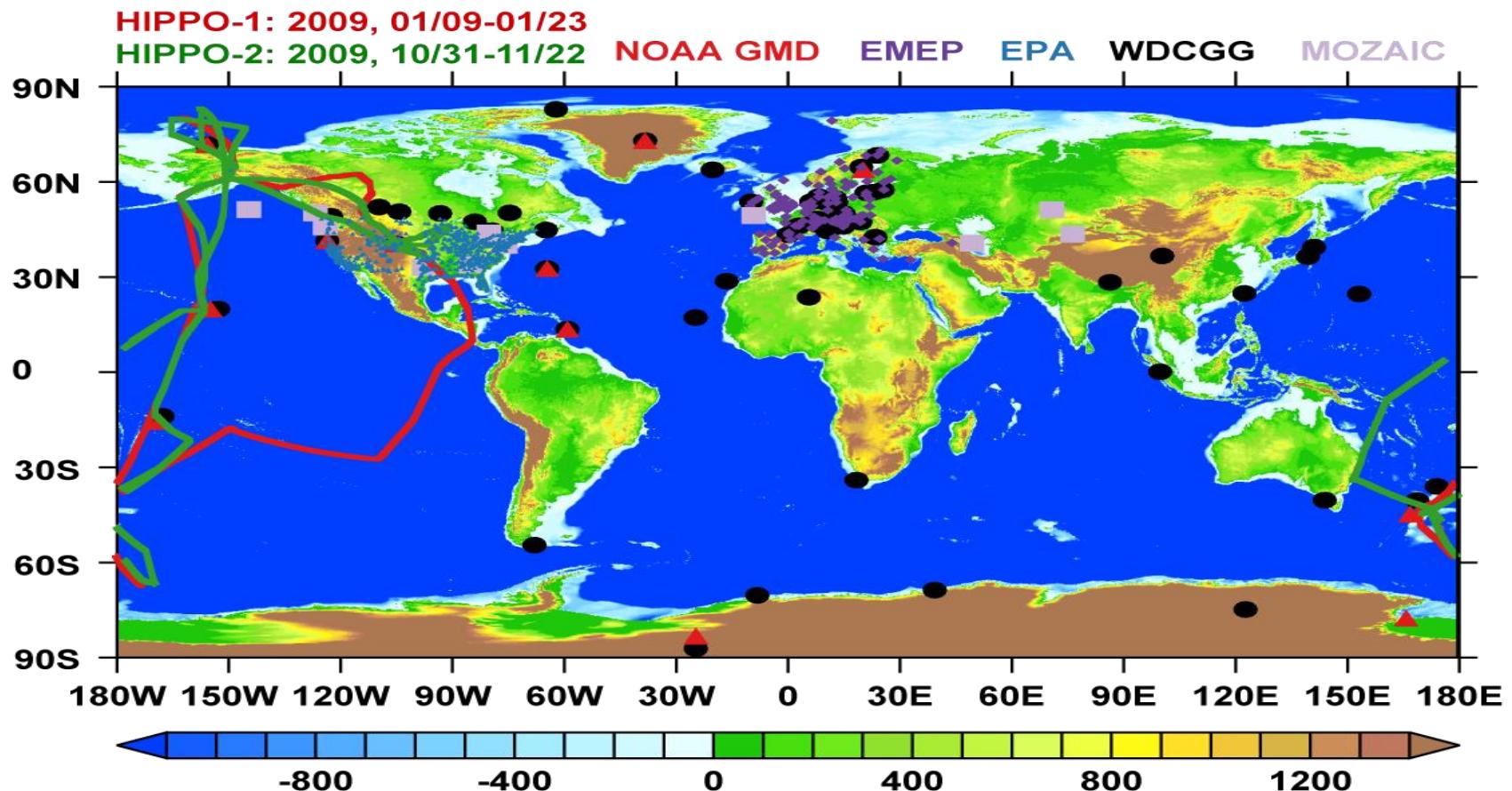
Studies of Earth system, including AQ, are based on measurements & modeling

- Ground, sounding, airborne, space borne
  - Concentration, remote sensing
  - Total, speciated
  - Long-term, campaign
- Gaseous pollutants: Ozone, NOx, NMVOC, CO, NH<sub>3</sub>, SO<sub>2</sub>
- Aerosols: PM<sub>10</sub>, PM<sub>2.5</sub>, components, sizes, morphology, optical properties
- Radicals: OH, HO<sub>2</sub>, RO<sub>2</sub>, RO
- GHG Gases: CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O

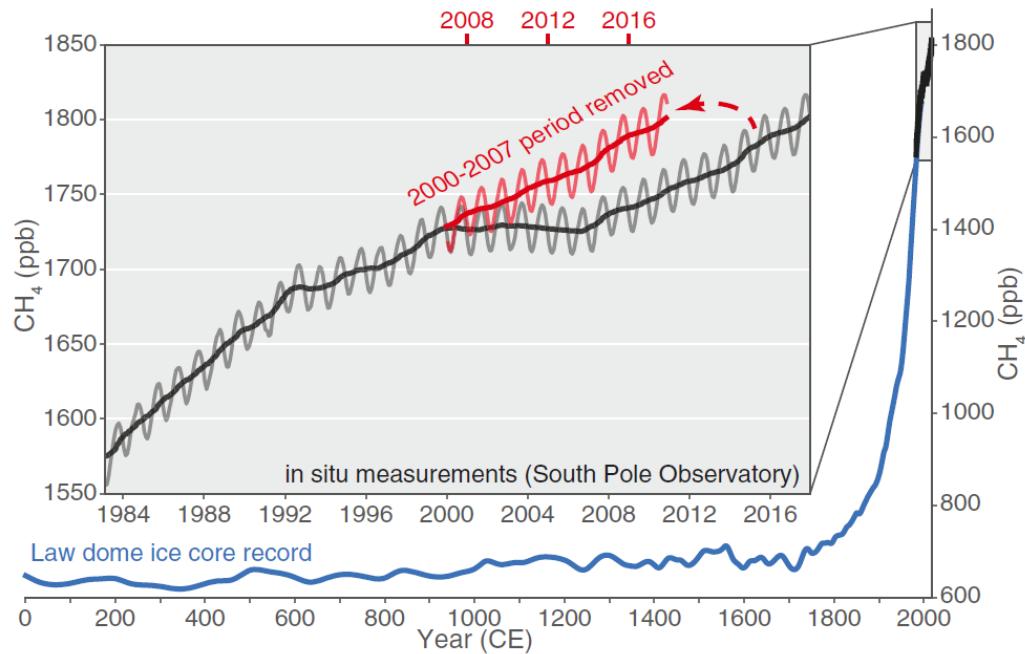


# Ground Measurement Networks

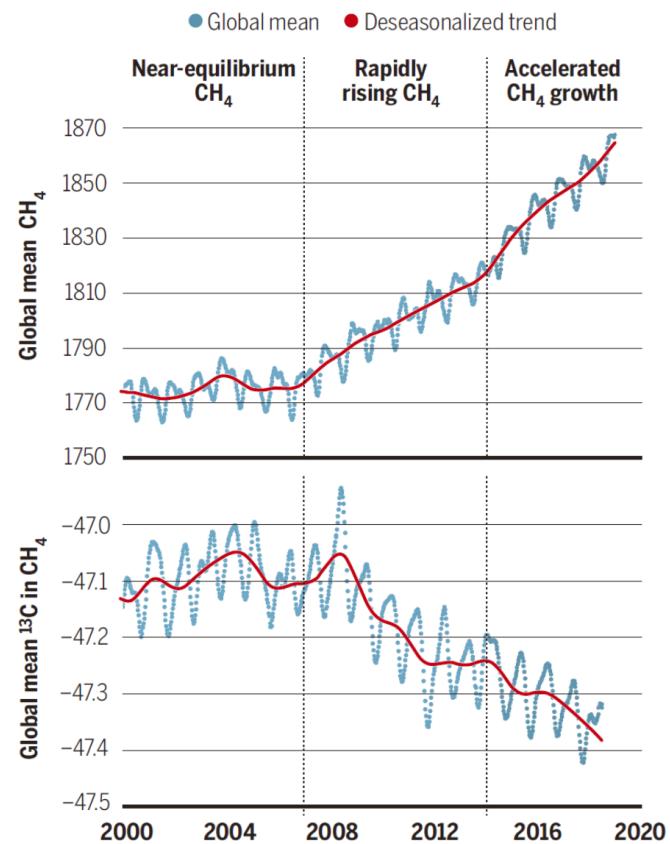
# of ground sites = 1420



# Measured Global CH<sub>4</sub> Growth



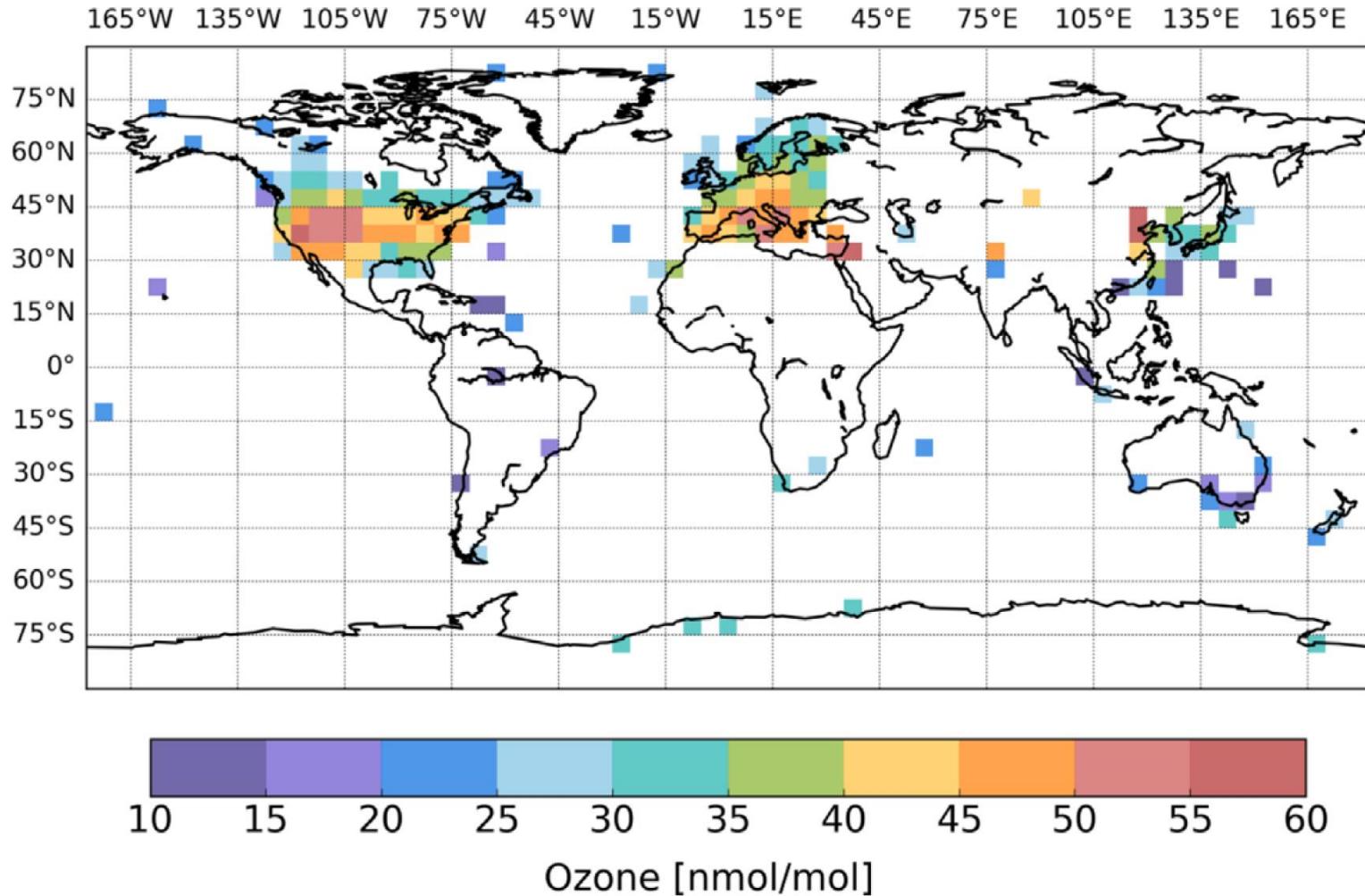
Turner et al., 2019, PNAS



Fletcher et al., 2019, Science

# Global Measurements of Ozone from TOAR Project

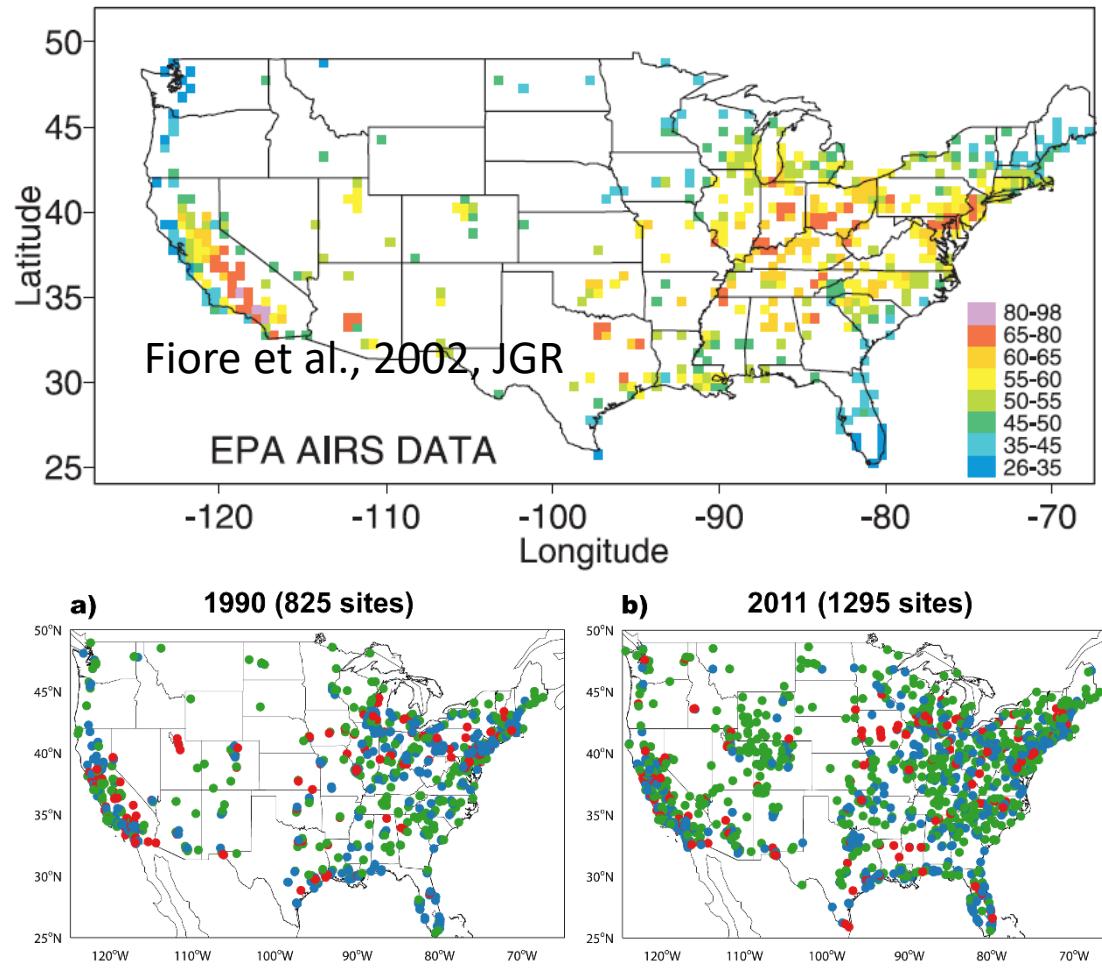
Daytime (8-20 h LT) Mean  $O_3$  in July 2000-2014



# Air Quality Monitoring Network in the U.S.

- Lots of pollutants: ozone,  $\text{NO}_2$ ,  $\text{SO}_2$ , CO,  $\text{PM}_{2.5}$ , PM components, etc.
- 800+ sites since 1990; currently more than 4000 sites

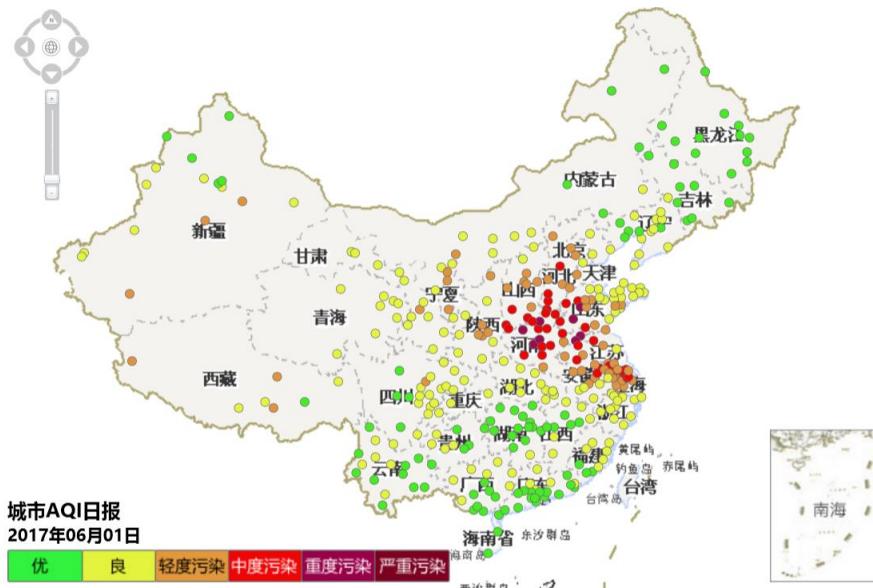
For more info:  
<https://www.epa.gov/outdoor-air-quality-data/interactive-map-air-quality-monitors>



# Air Quality Monitoring Network in China

- Before 2013: API, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub>. Regular measurements are available in 86 cities
- Since 2013: AQI, PM<sub>2.5</sub>, PM<sub>10</sub>, O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub>, CO. Over 300+ cities, 1500+ sites, mostly in urban/suburban locations

<http://www.cnemc.cn/>



城市AQI日报  
2017年06月01日

优 良 轻度污染 中度污染 重度污染 严重污染

Exc. Good S.P. M.P. H.P. E.P.

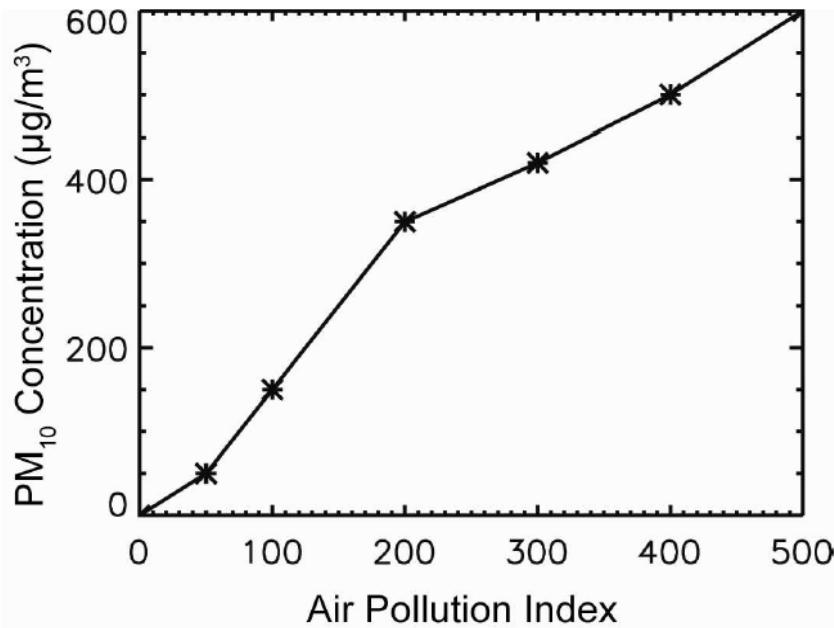
<https://www.aqistudy.cn/>



# Surface Measurements: The Chinese Case

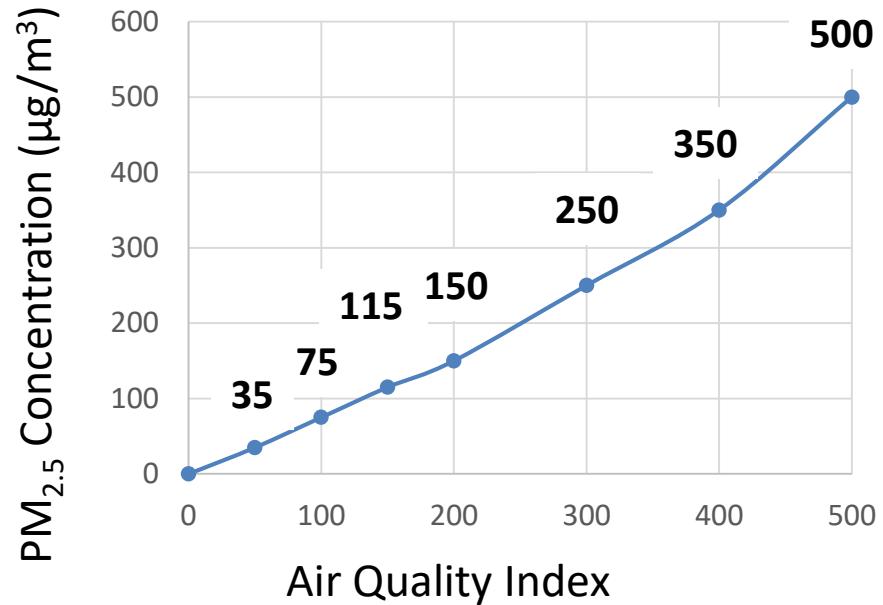
API for PM<sub>10</sub>

Prior to 2013



AQI for PM<sub>2.5</sub>

In effect since 2013



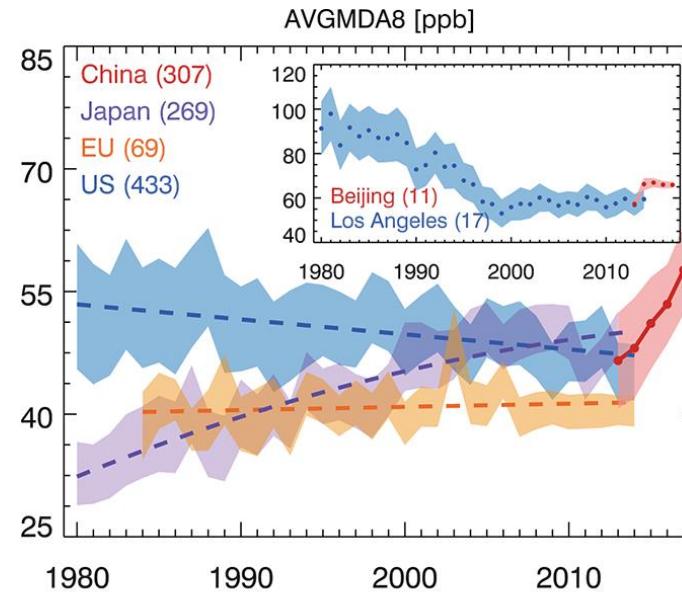
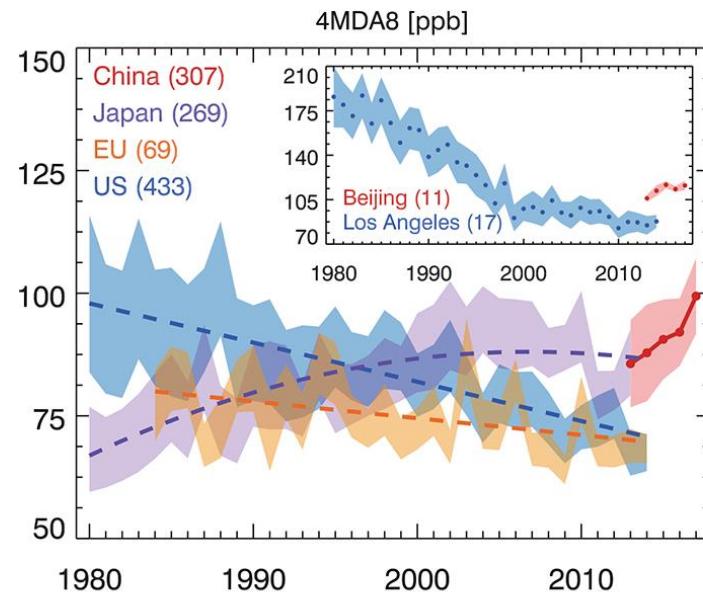
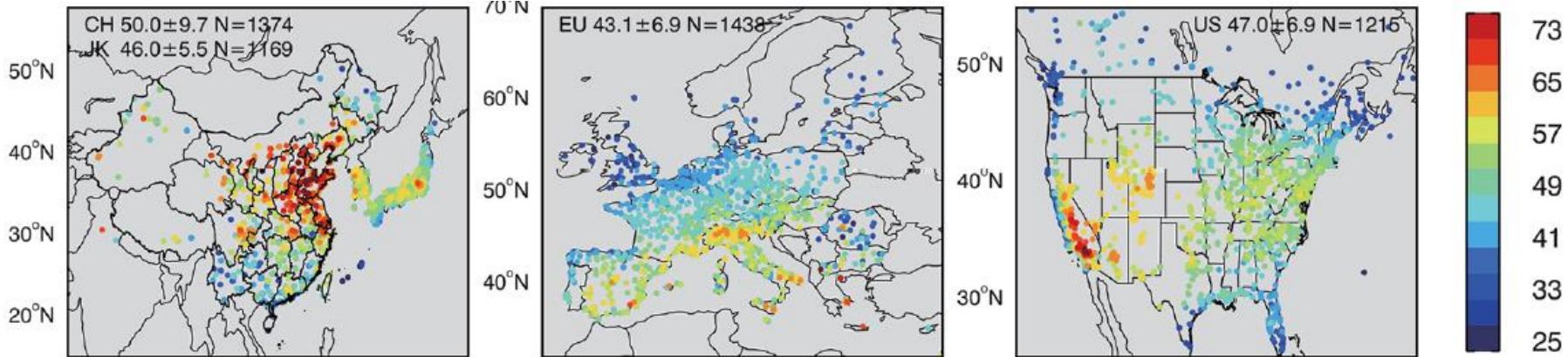
## 《环境空气质量标准》(GB 3095-2012) 修改单

3.14 “标准状态 standard state 指温度为273 K, 压力为101.325 kPa时的状态。本标准中的污染物浓度均为标准状态下的浓度”修改为：“参比状态 reference state 指大气温度为298.15 K, 大气压力为1013.25 hPa时的状态。本标准中的二氧化硫、二氧化氮、一氧化碳、臭氧、氮氧化物等气态污染物浓度为参比状态下的浓度。颗粒物（粒径小于等于10 μm）、颗粒物（粒径小于等于2.5 μm）、总悬浮颗粒物及其组分铅、苯并[a]芘等浓度为监测时大气温度和压力下的浓度”。

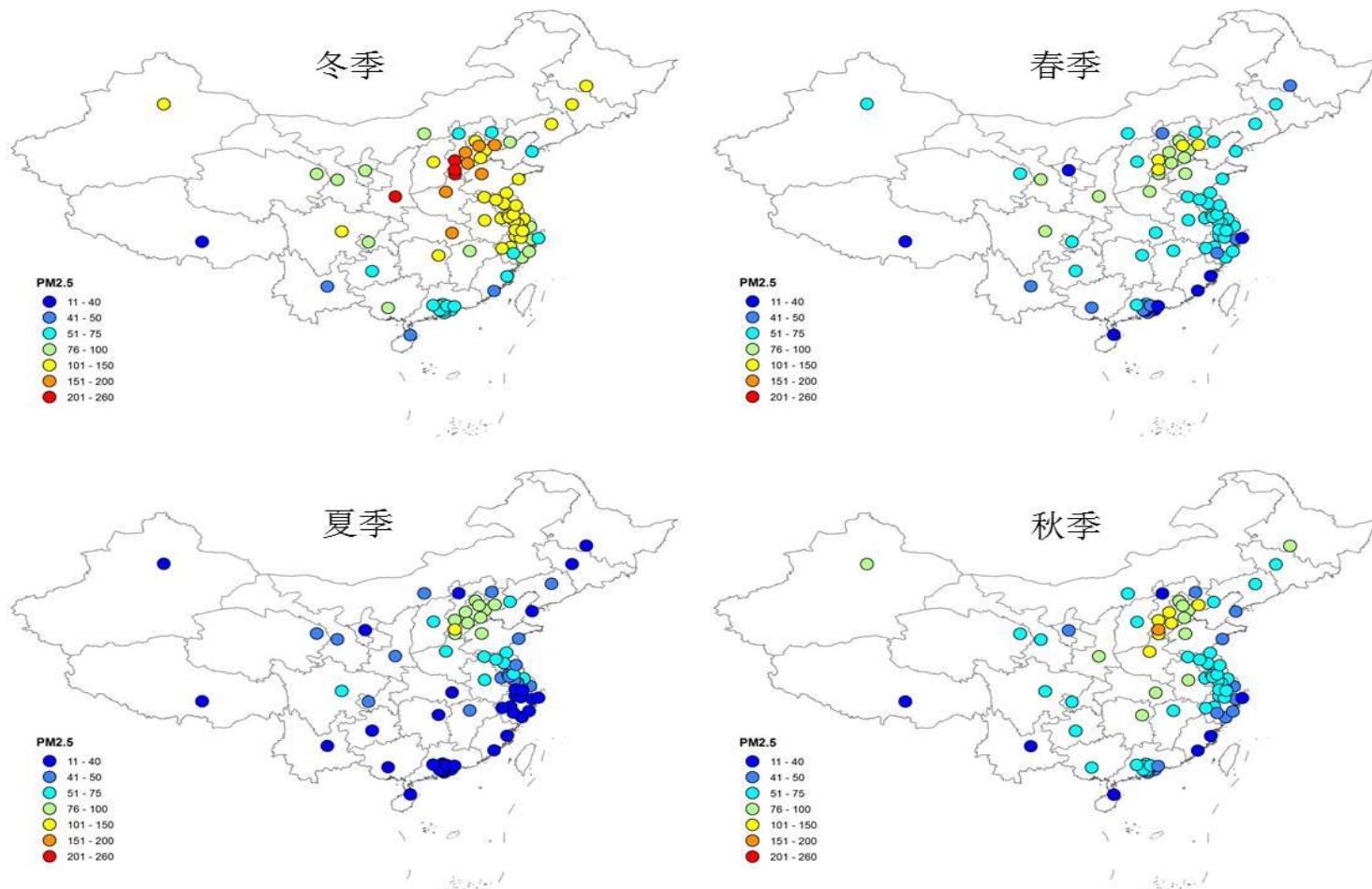
该标准修改单自2018年9月1日起实施。

# Ozone Pollution over China: Global Perspective

AVGMDA8 for April–September, 2013–2017

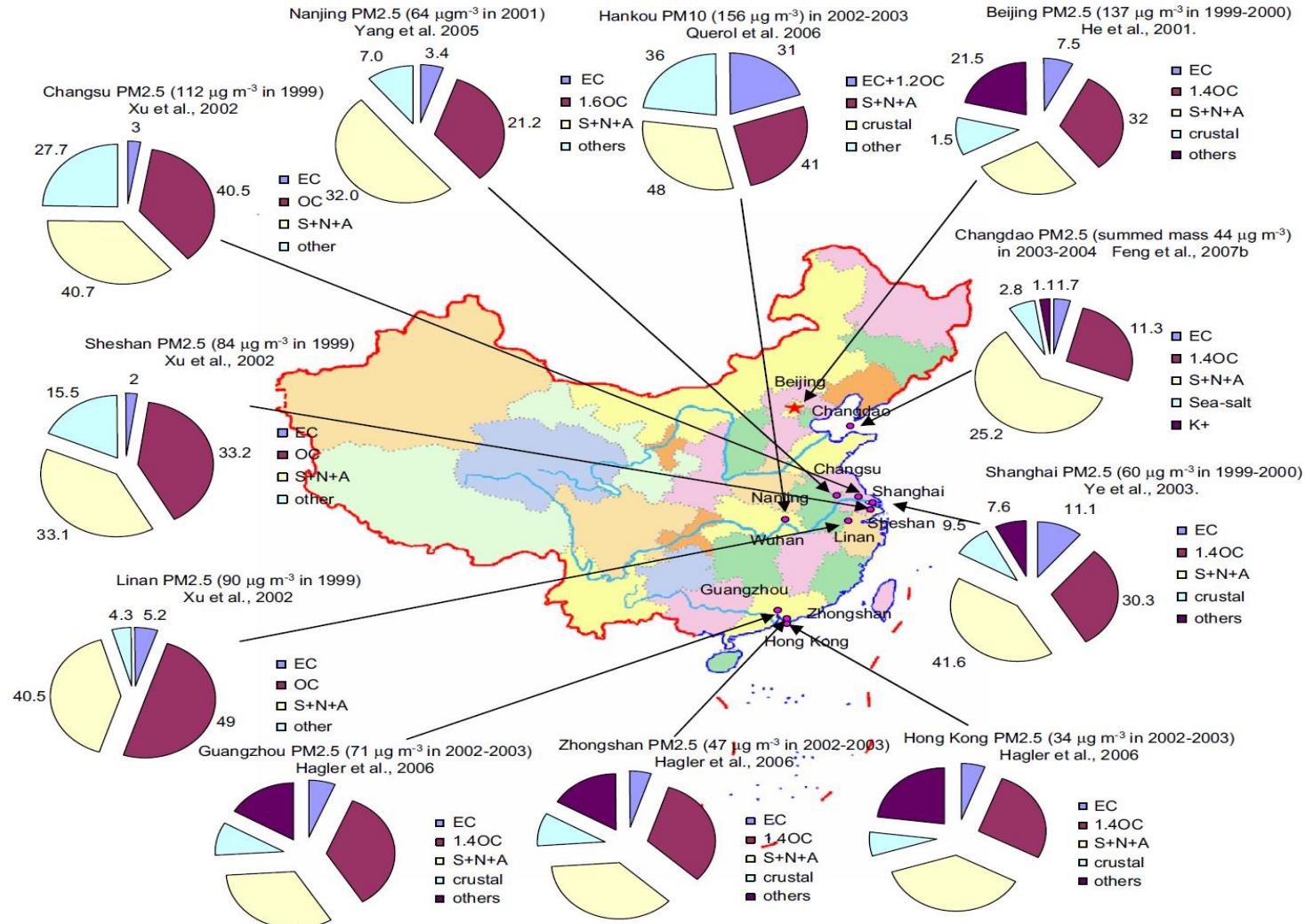


# Surface PM<sub>2.5</sub> Measurements in China



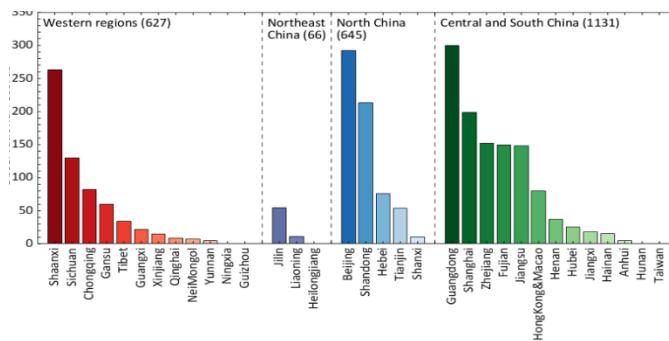
贺克斌, 2014

# Speciated PM Measurements in China

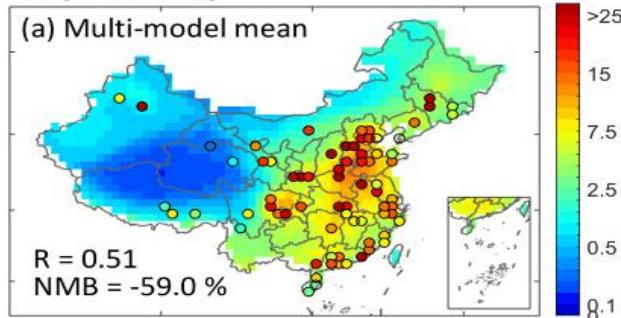


Fang et al., 2009

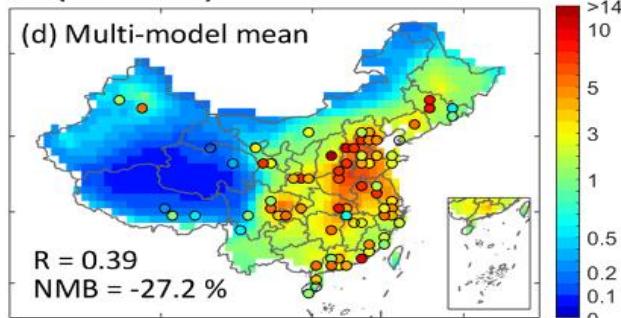
# Speciated PM Measurements in China



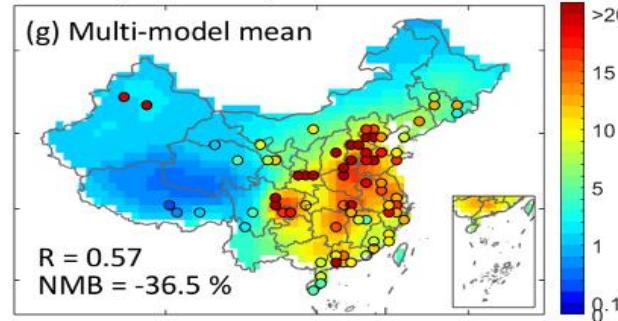
OC (14 models)



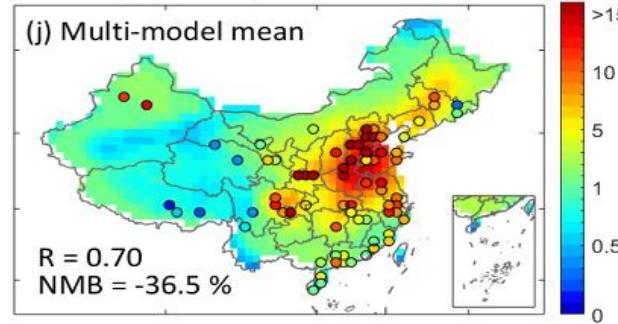
BC (14 models)



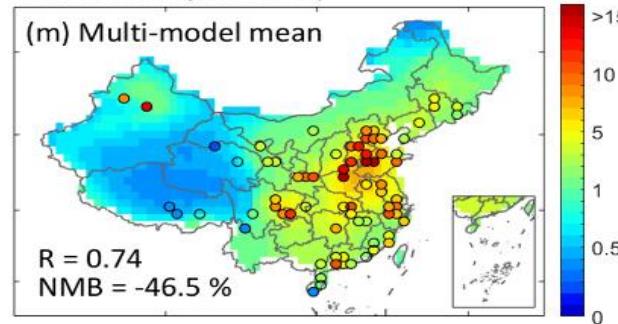
Sulfate (14 models)



Nitrate (4 models)



Ammonium (5 models)



# Sounding: “Big Fish” over Tibetan Plateau



Zhaoze Deng

第二次青藏高原综合科学考察研究  
“大气成分垂直结构及其气候影响”  
科考分队“系留气艇探空观测实验”

Pictures taken in Lhasa in August 2020



Guqian Tang

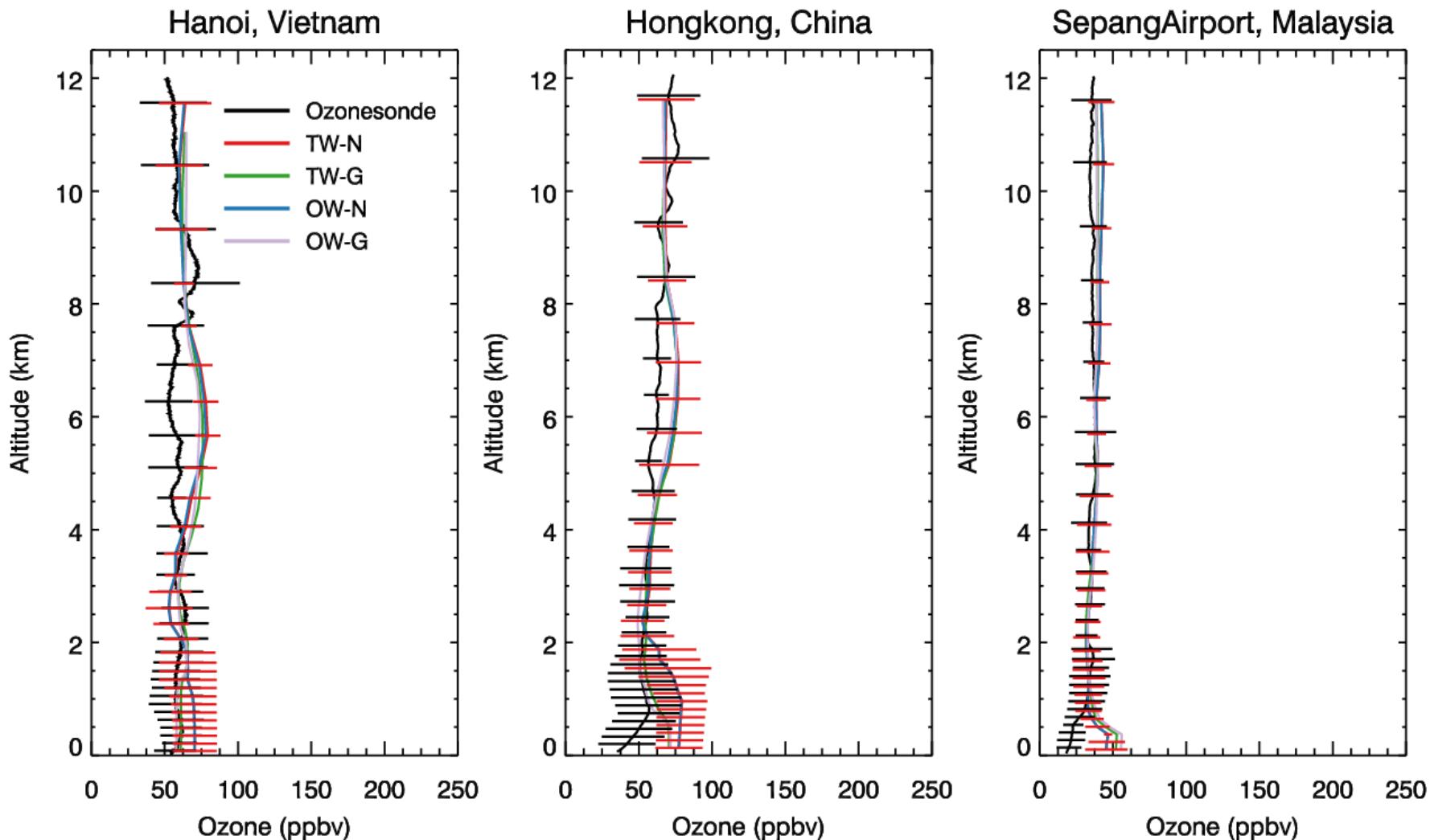
# WOUDC Ozonesonde Network



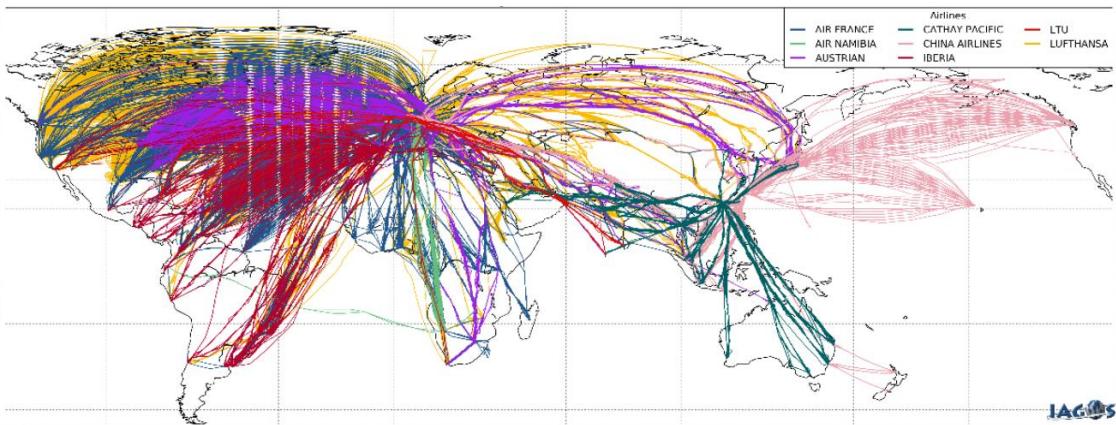
[https://woudc.org/data/dataset\\_info.php?id=ozonesonde](https://woudc.org/data/dataset_info.php?id=ozonesonde)



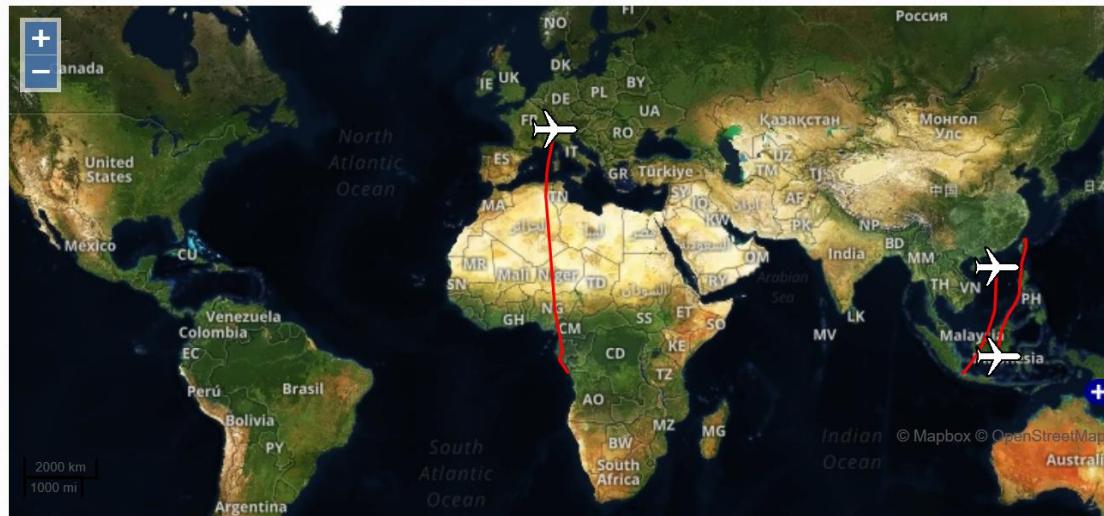
# WOUDC Ozonesonde Data for Model Evaluation (2008)



# Aircraft Measurements: MOZAIC/IAGOS, CARIBIC



Map of IAGOS flights

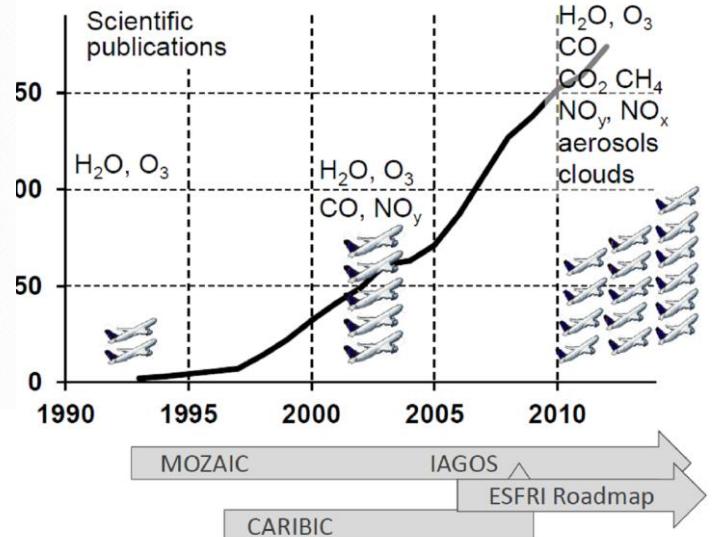


<http://iagos.sedoo.fr/>

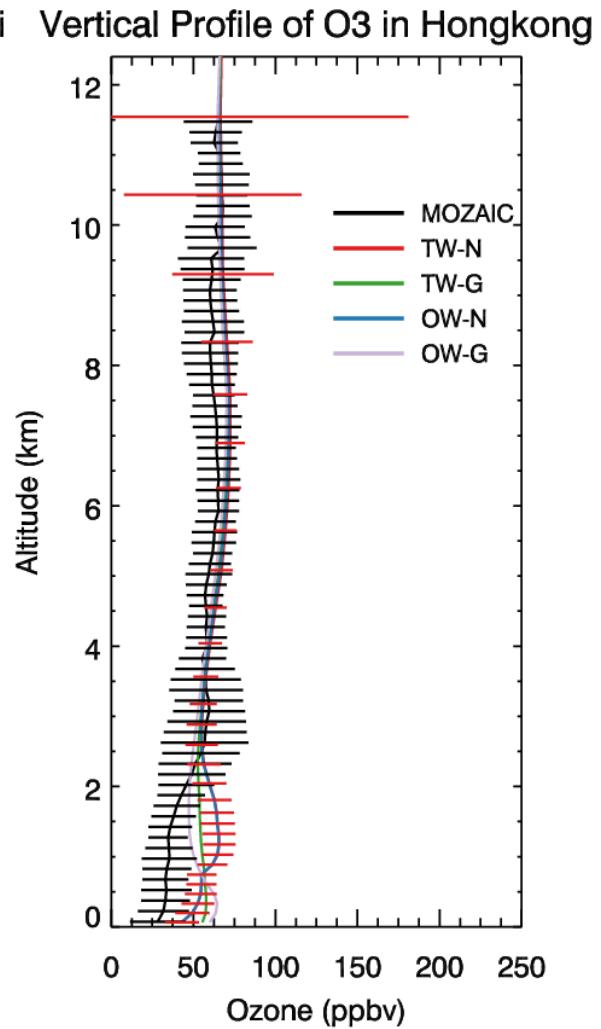
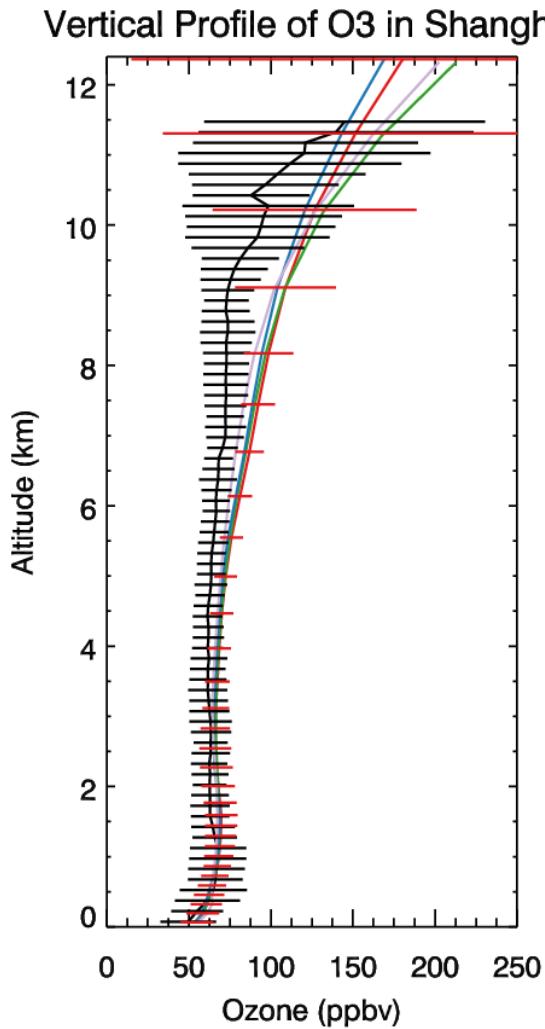
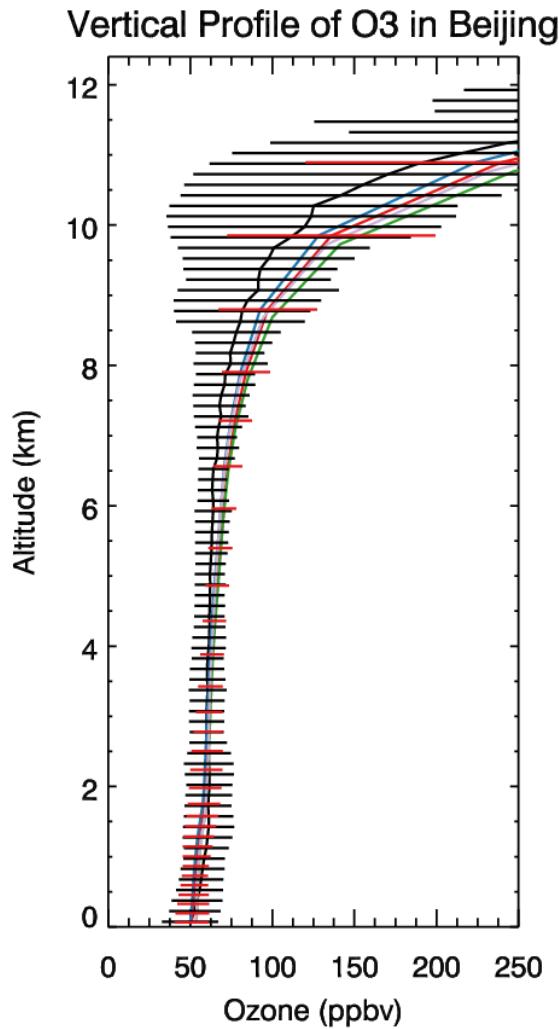


Photograph by courtesy of A. Karmazin

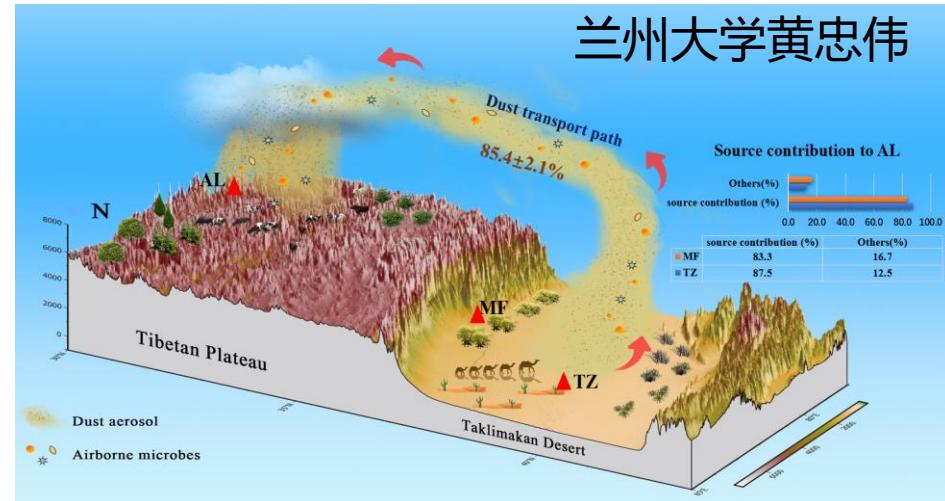
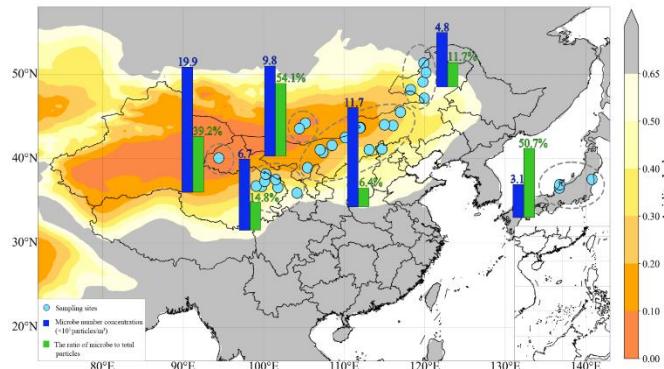
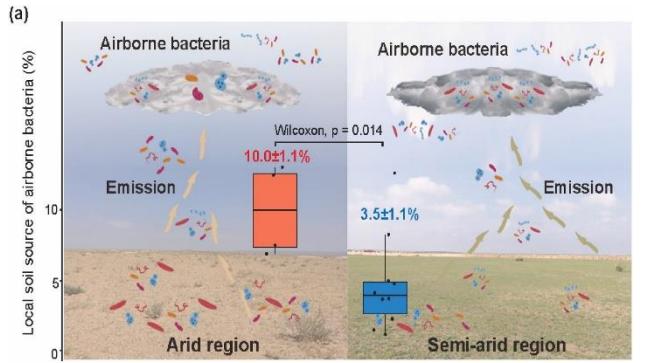
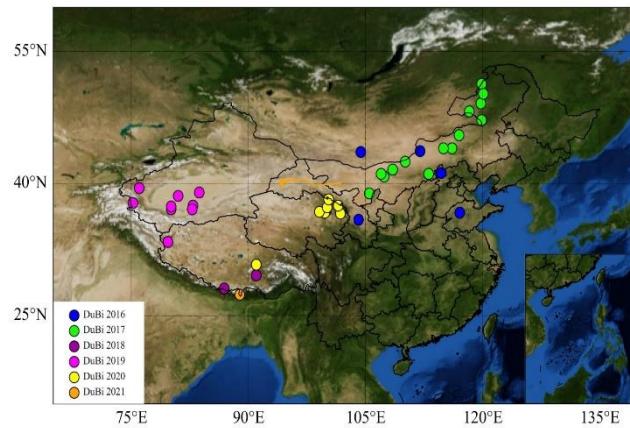
Petzold et al., 2012



# MOZAIC Ozone Data for Model Evaluation (2000-2005)



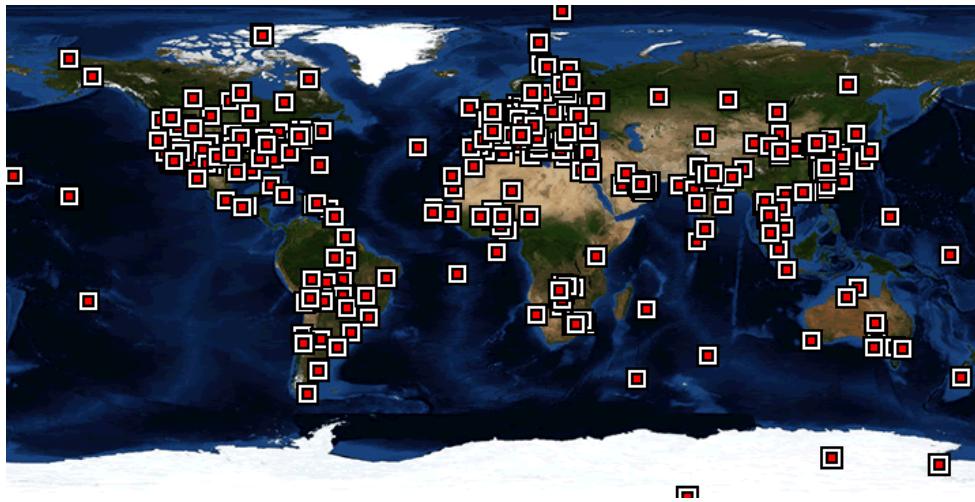
# 我国生物气溶胶观测网络



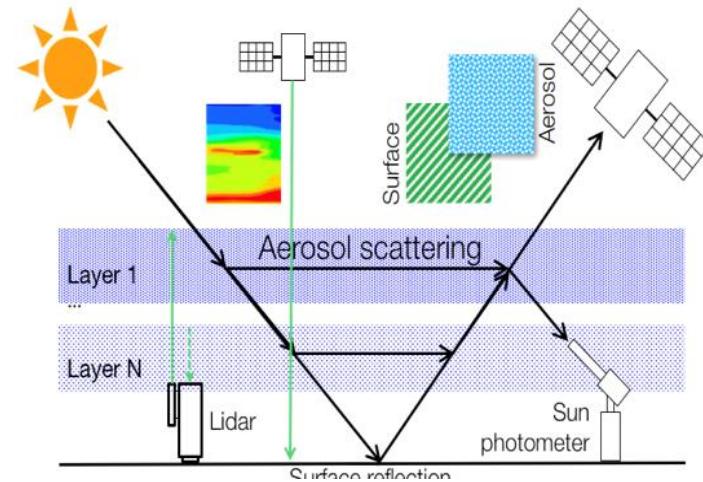
- 干旱区生物气溶胶数浓度高于半干旱区
- 半干旱区生物气溶胶在总悬浮颗粒物中占比均低于干旱区与湿润区
- 塔克拉玛干沙尘对青藏高原大气生物气溶胶贡献为85.4%，特别是增加了高原潜在病原体(16.43%)和冰核细菌(73.8%)

# AERONET for Aerosol Optical Properties

- Automatic sun-tracking photometer (CIMEL 318)
- Globally consistent algorithm, including cloud screening
- Few sites in China with multi-year measurements (Beijing IAP, Xianghe, Taihu, SACOL, Hong Kong PolyU)

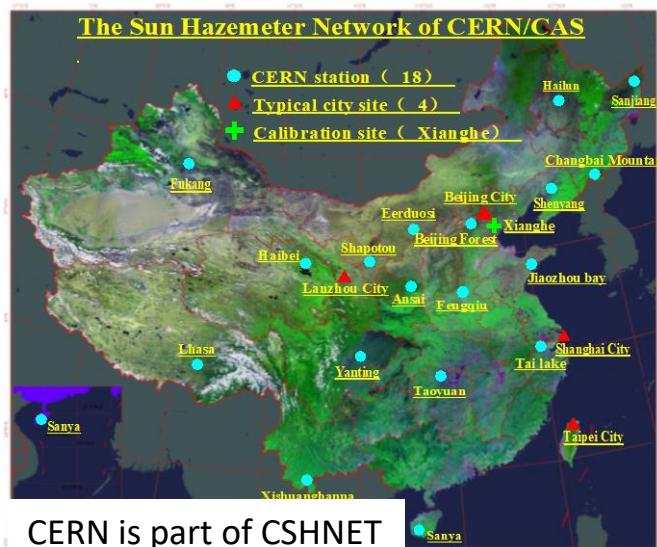
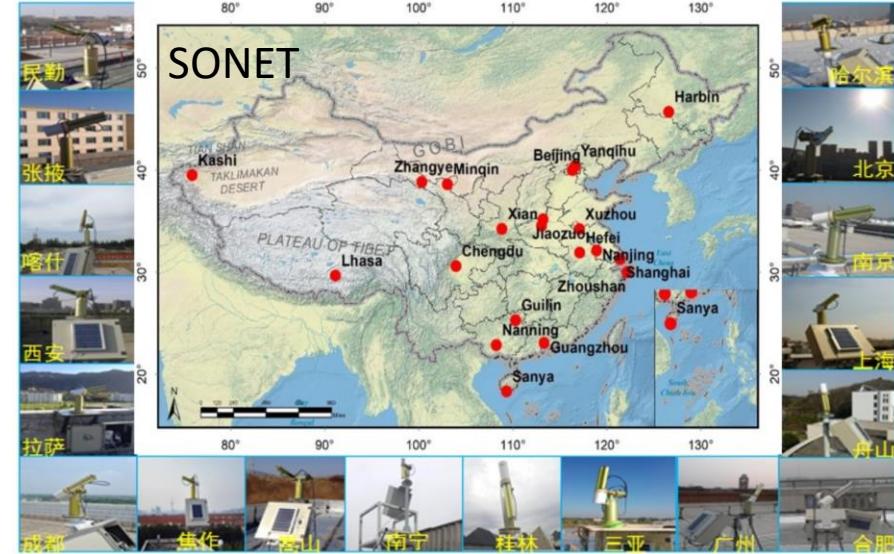
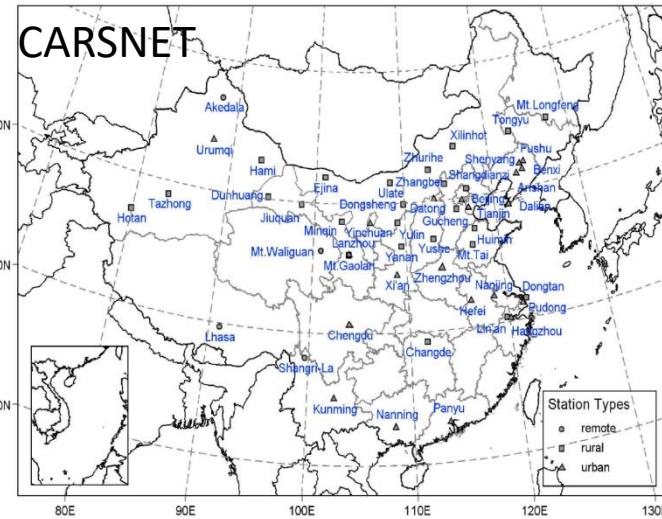


NASA

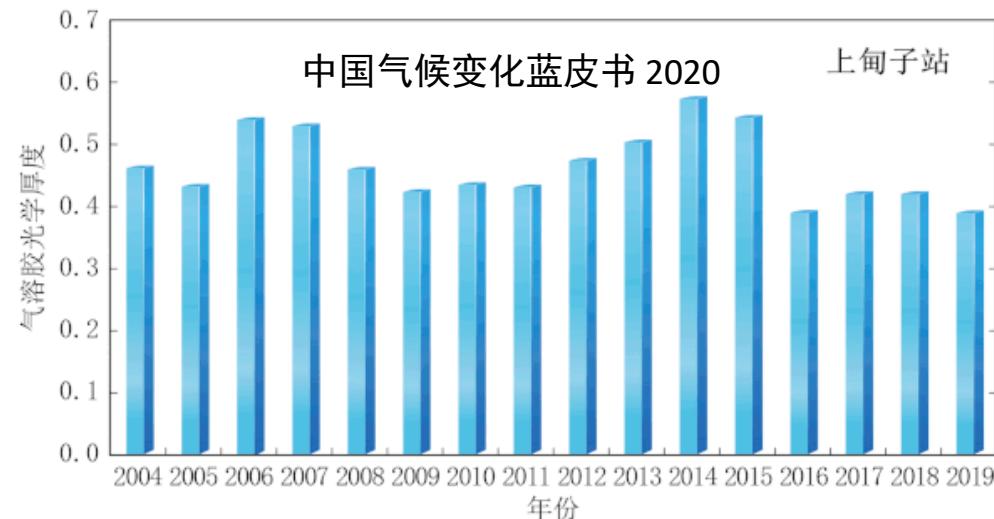


Huizheng Che

# 中国地基气溶胶光学观测 (AERONET、CARSNET、CSHNET、SONET等)

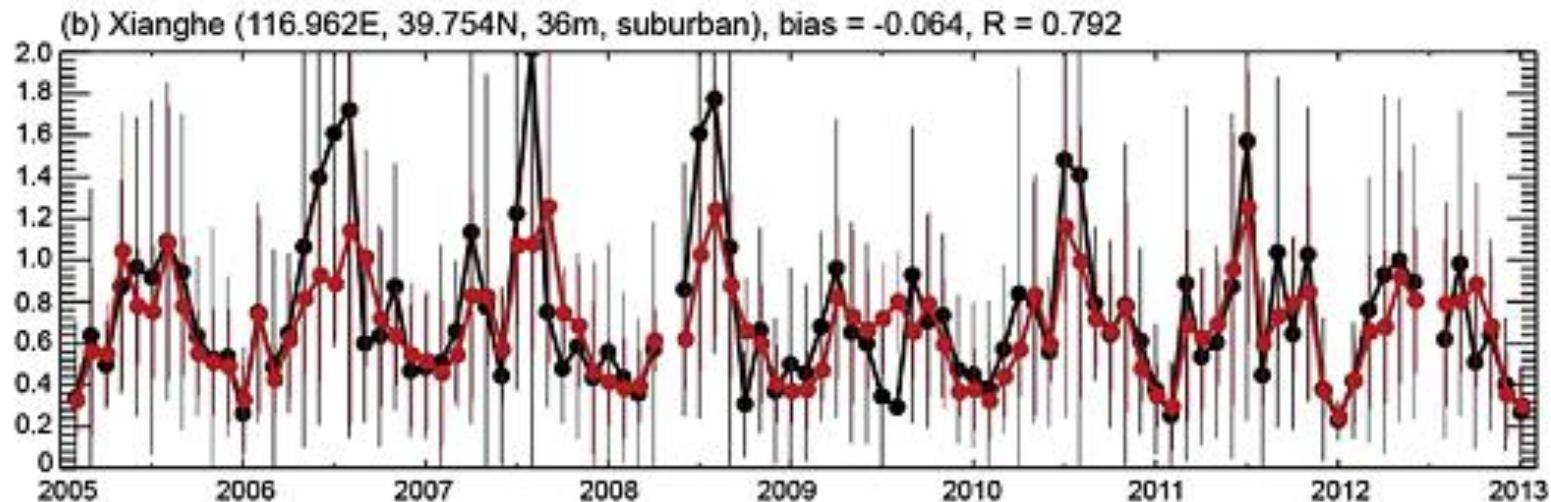
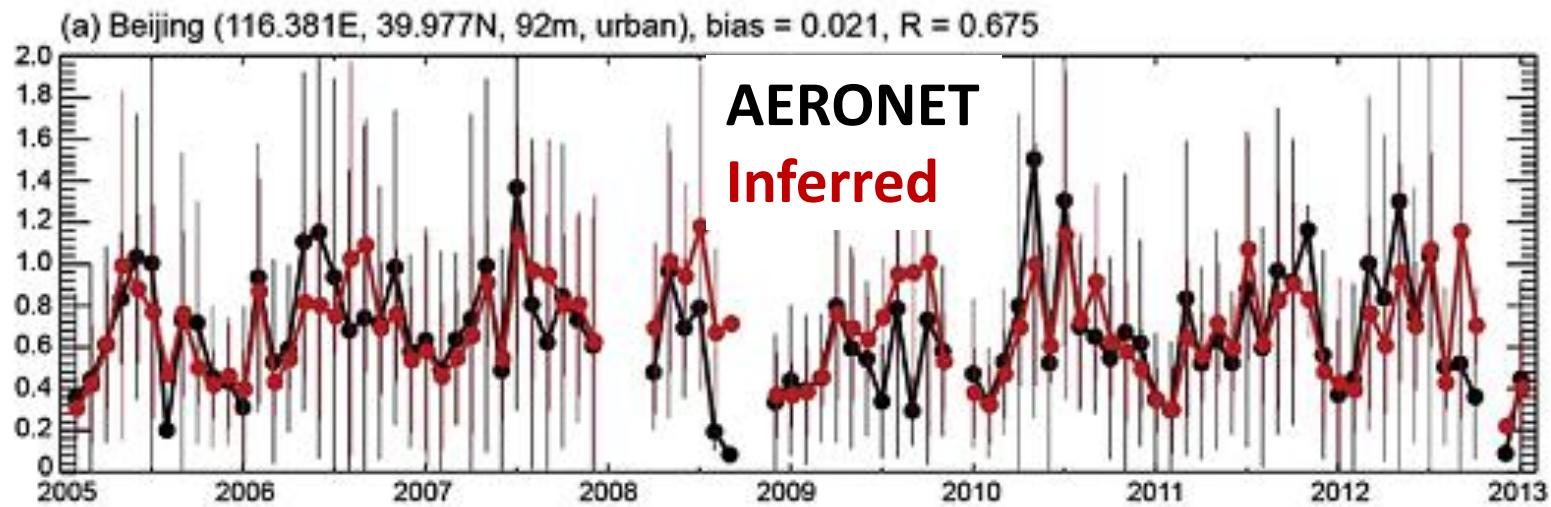


## 区域大气本底站观测到的AOD变化



# AERONET for Data Evaluation

2005–2012 monthly time series at 550 nm



# Visibility Measurements

Manual



Automatic

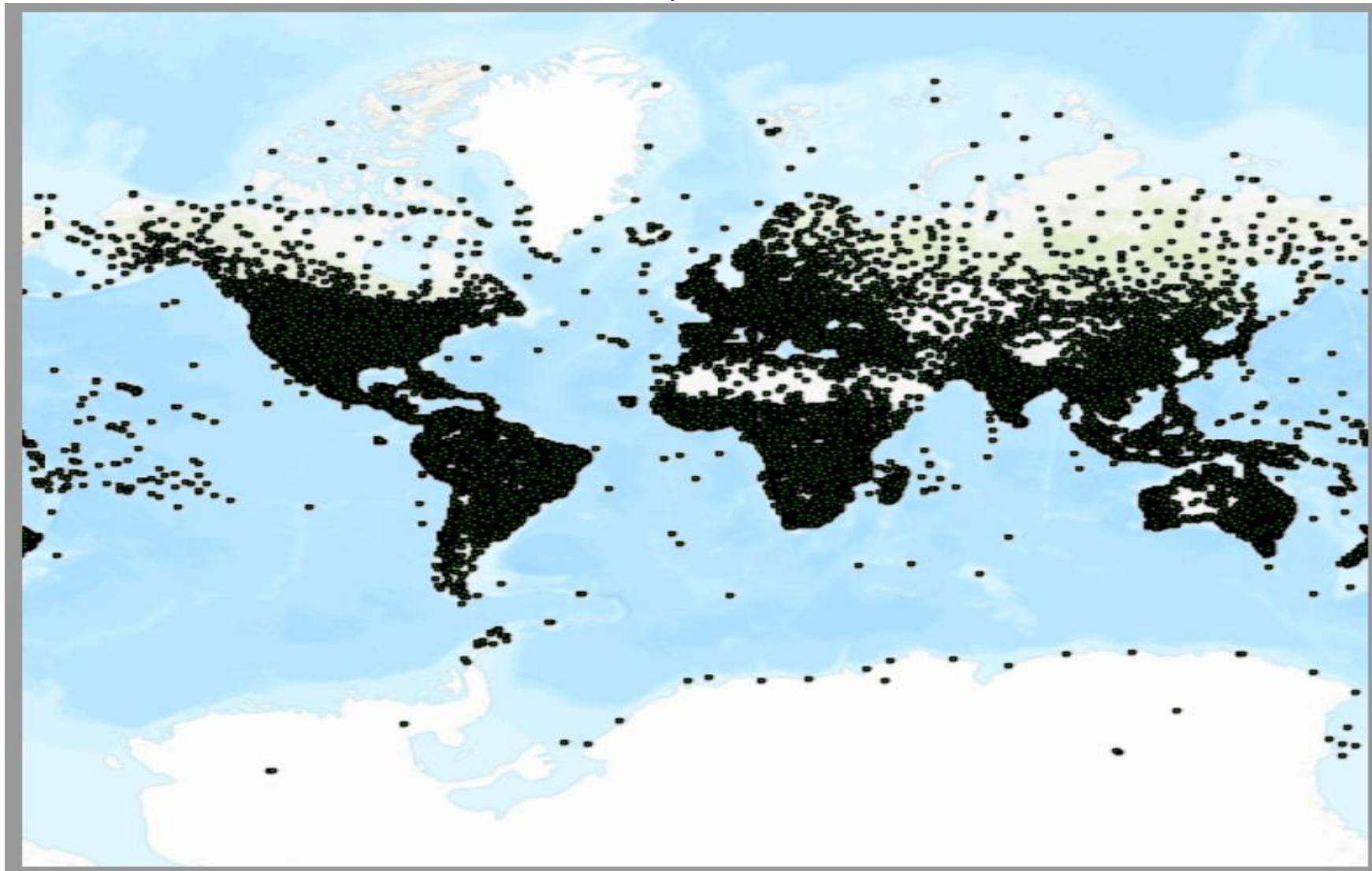


$$\text{Visibility} = \text{Coefficient} / \text{Extinction\_efficiency}$$

- Used traditionally as a meteorological indicator, but can also be employed to study (long-term) PM pollution

# Surface Meteorological Measurement Stations

Also for visibility measurements



# AOD Inferred from Visibility Measurements

2005–2012 mean AOD at 550 nm for days with valid MODIS data

$$AEC = K/V$$

(Koschmieder Eq.)

$$AEC = K/V - K/V_0$$

(Modified Koschmieder Eq.)

$$= K/V * (1 - V/V_0)$$

$$AOD = AEC * (AOD_m / AEC_m)$$

$$= K/V * (1 - V/V_0) * (AOD_m / AEC_m)$$

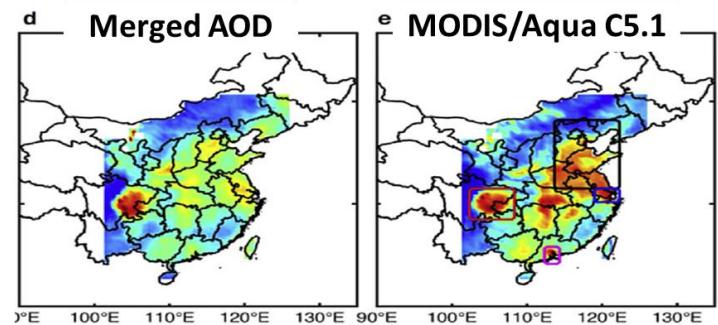
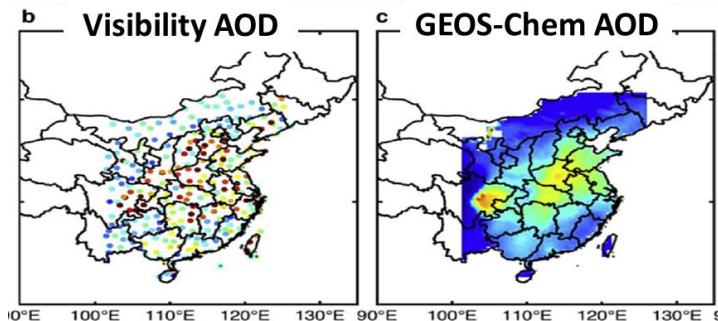
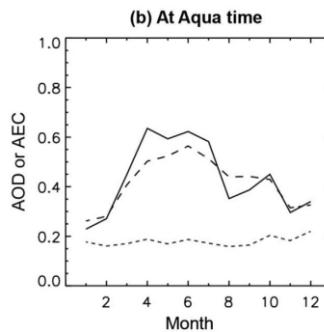
Koschmieder Constant  
= 3.92

Measured Visibility  
= 70 km

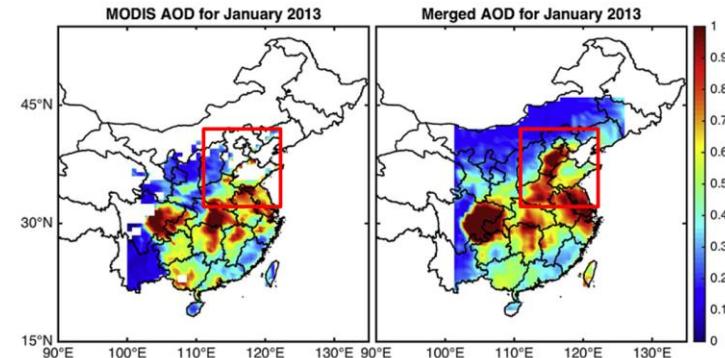
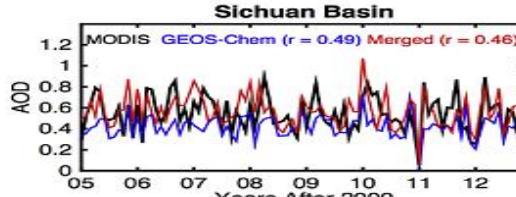
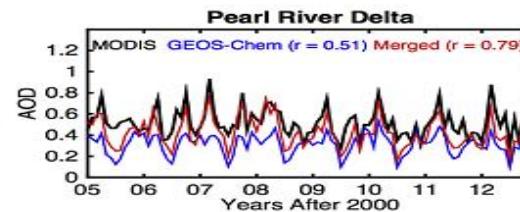
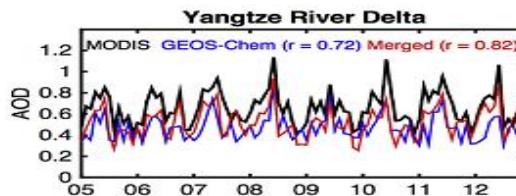
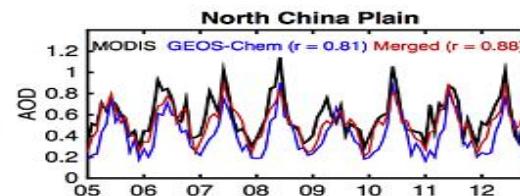
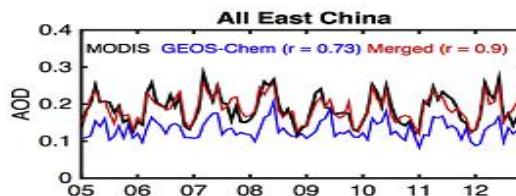
Visibility Offset  
= 70 km

Modeled AOD

Modeled Near-surface Extinction Coefficient

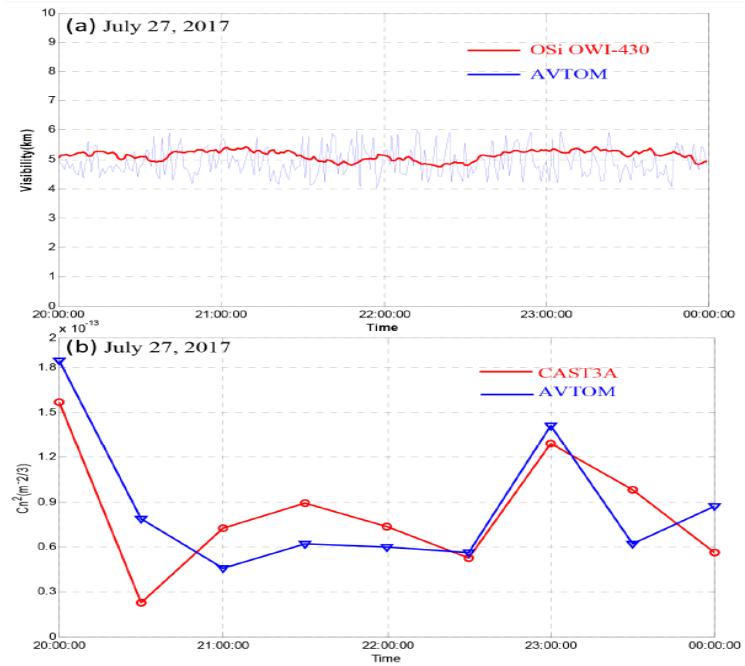
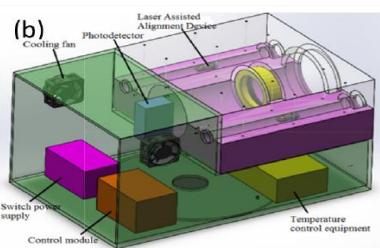
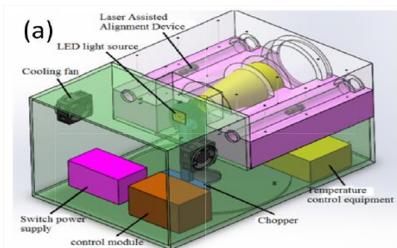
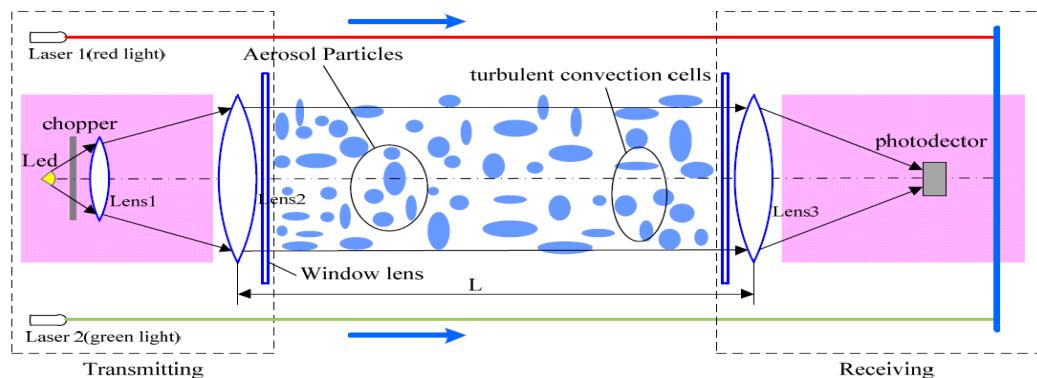


Lin et al., 2014, 2016, AE



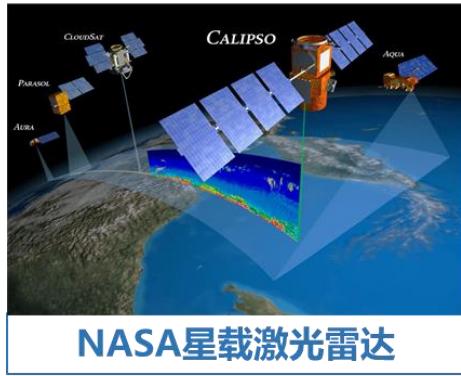
# Instrument for Aerosol-Turbulence-Optical Interaction

中大韓永



# 大气激光雷达

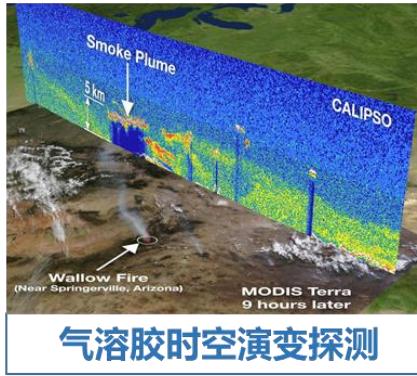
激光雷达：探测激光与气溶胶、气体分子等粒子相互作用的回波信号（强度、偏振态、光学频率）



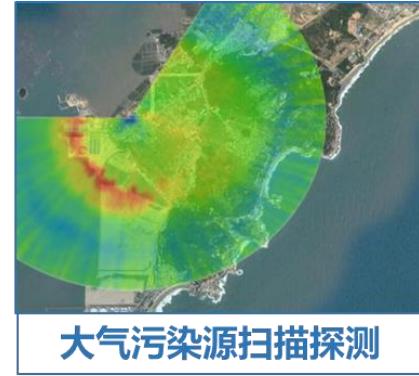
NASA星载激光雷达



欧洲激光雷达观测网



气溶胶时空演变探测



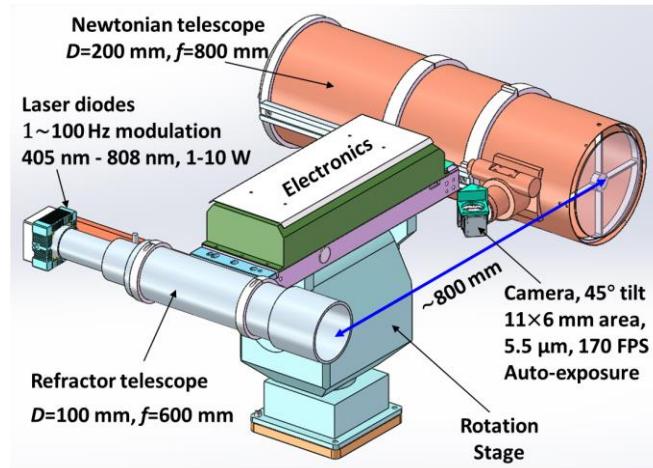
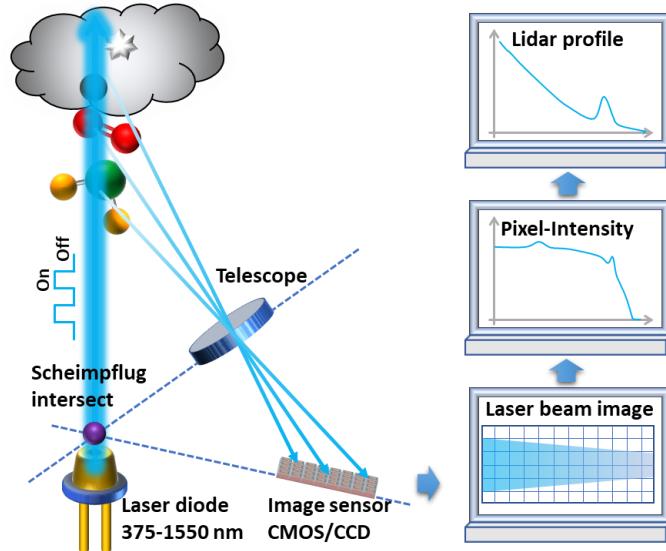
大气污染源扫描探测

- **地基激光雷达：**欧美日等发达国家已建立区域性的大气激光雷达观测网络，如欧洲EARLINET，美国MPLNET，日本AD-NET；中国也已初步建成激光雷达观测网络
- **星载激光雷达：**美国NASA早在2006年即发射CALIPSO偏振大气激光雷达卫星，欧洲即将发射激光雷达卫星（ALADIN）；2022，中国发射全球首颗搭载高光谱分辨率激光雷达卫星（ACDL）

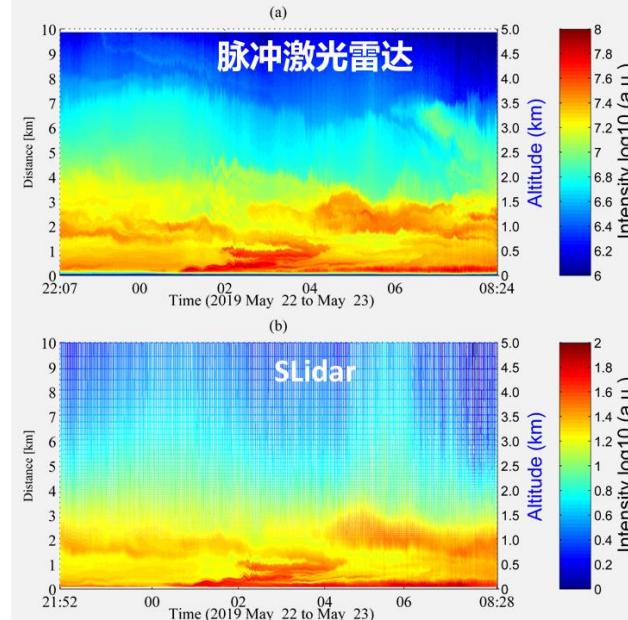
来源：梅亮

# 沙式激光雷达

大连理工大学梅亮



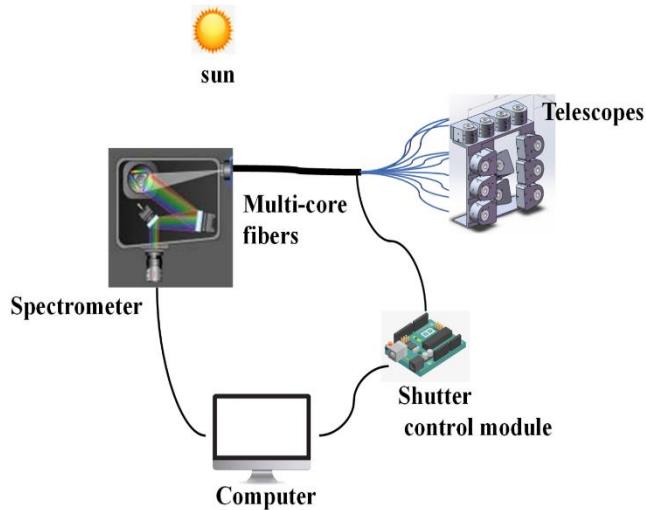
30° 仰角测量激光雷达信号



# FS MAX-DOAS 高时间分辨率的同步多轴系统

多仰角同步观测取代电机顺序扫描以提高采集时间分辨率

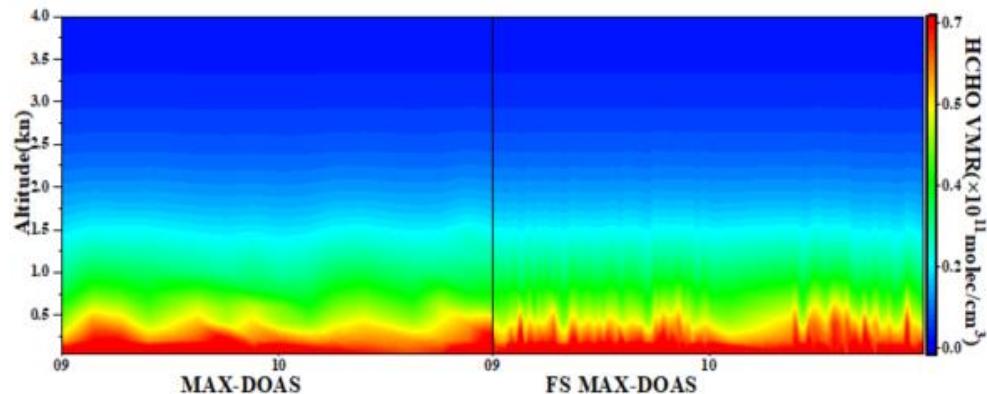
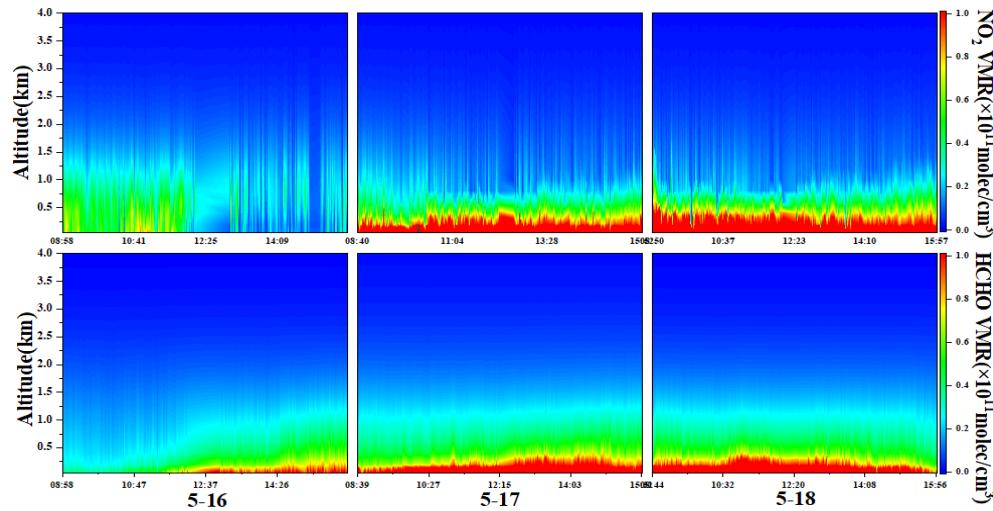
安光所李昂



## 气体 柱浓度探测限

$\text{NO}_2$	$1.67 \times 10^{15} \text{ molec./cm}^2$
$\text{SO}_2$	$2.89 \times 10^{15} \text{ molec./cm}^2$
$\text{HCHO}$	$1.01 \times 10^{16} \text{ molec./cm}^2$

时间分辨率:  $\leq 1\text{min}$



# Satellite Measurements

Sputnik 1: Oct 4, 1957

Sputnik 2: Nov 3, 1957

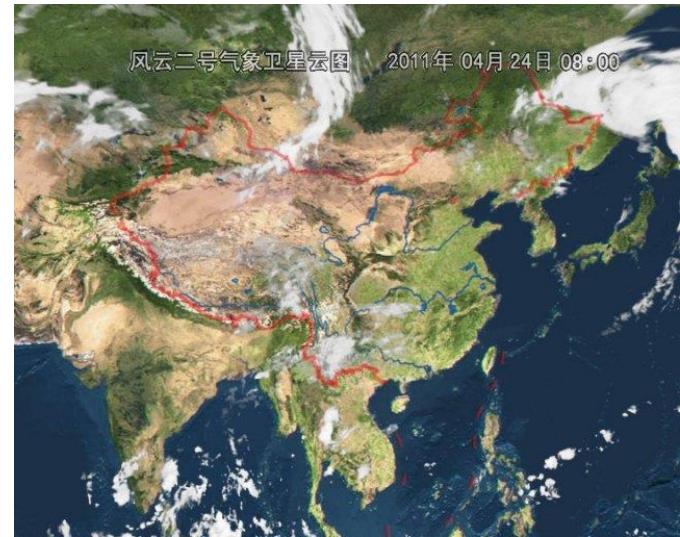
:

Early weather sat.: 1950s-1960s

:

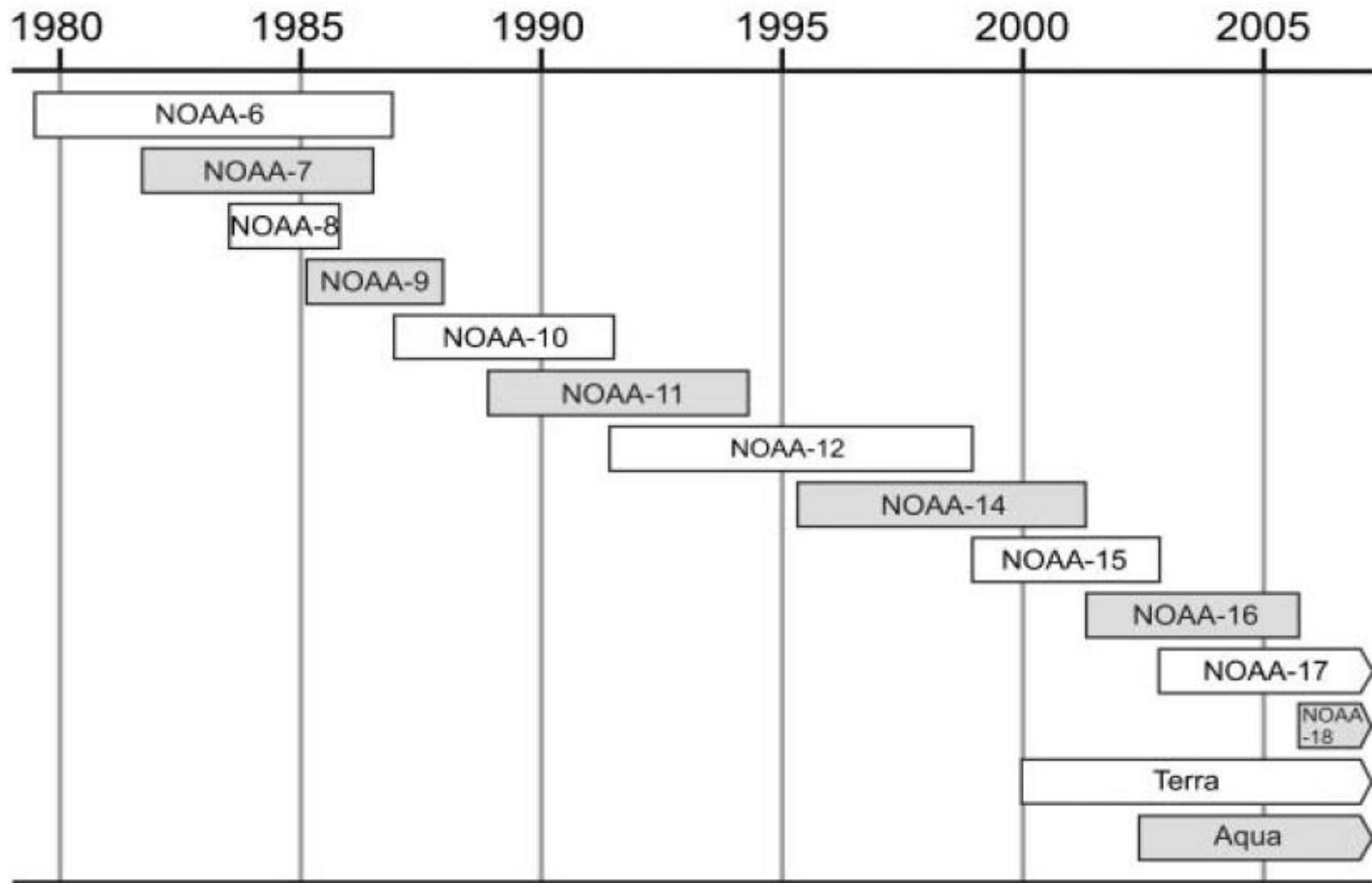
Modern weather satellites: 1970s-

- Polar orbiting satellites
- Geostationary satellites

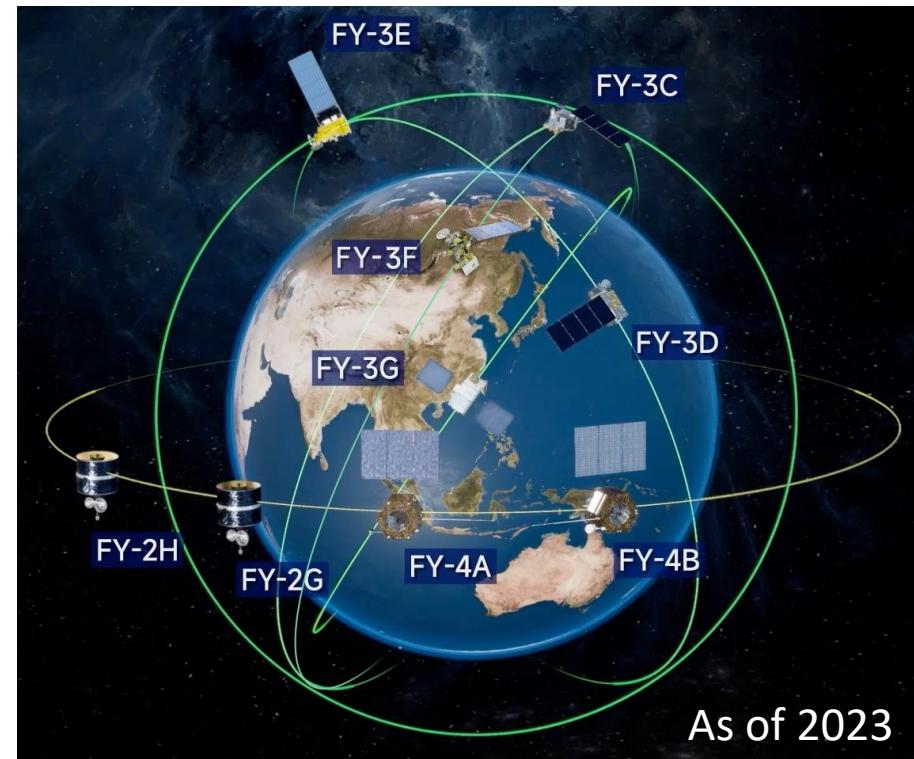
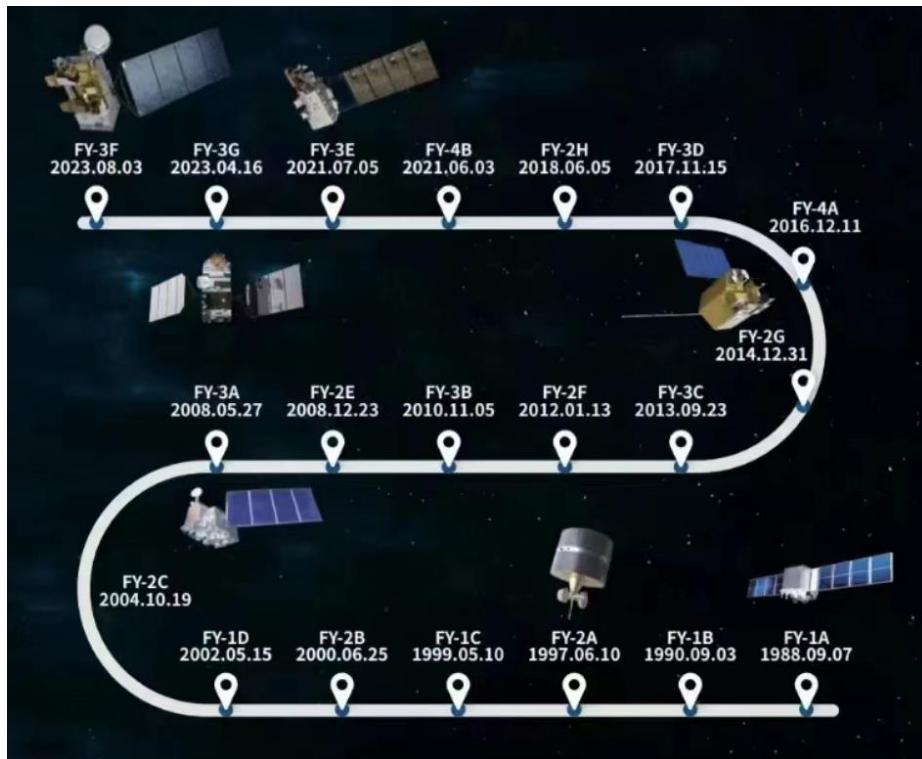


GEOS-1; Oct 25, 1975

# Satellite Meteorological Measurements Also Provide Information to Infer Aerosols

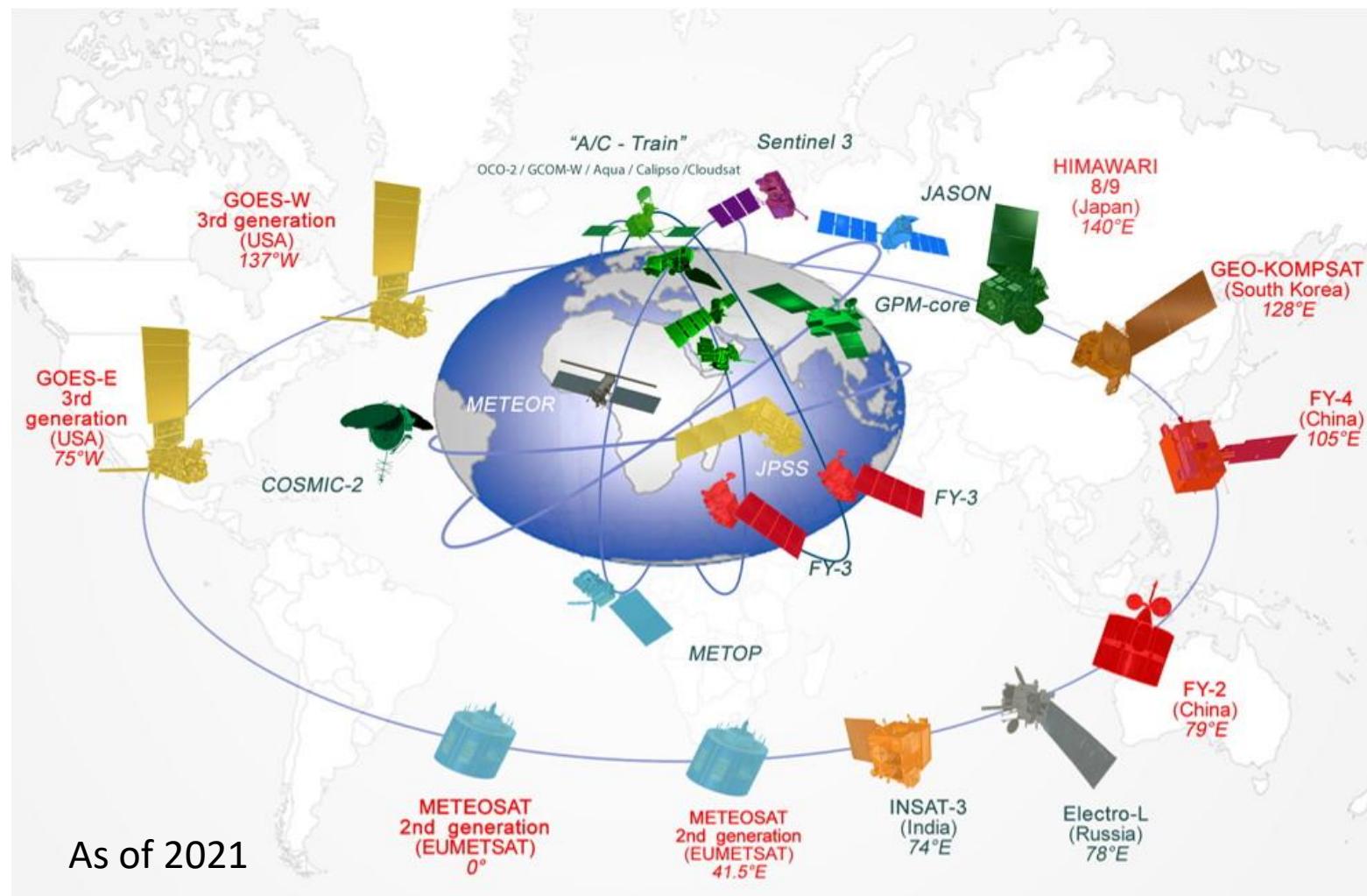


# China's Operational Weather Satellites



Source: <http://www.nsmc.org.cn/nsmc/cn/satellite/index.html>

# Global Operational Weather Satellites



Source: <http://www.nsmc.org.cn/nsmc/cn/satellite/index.html>

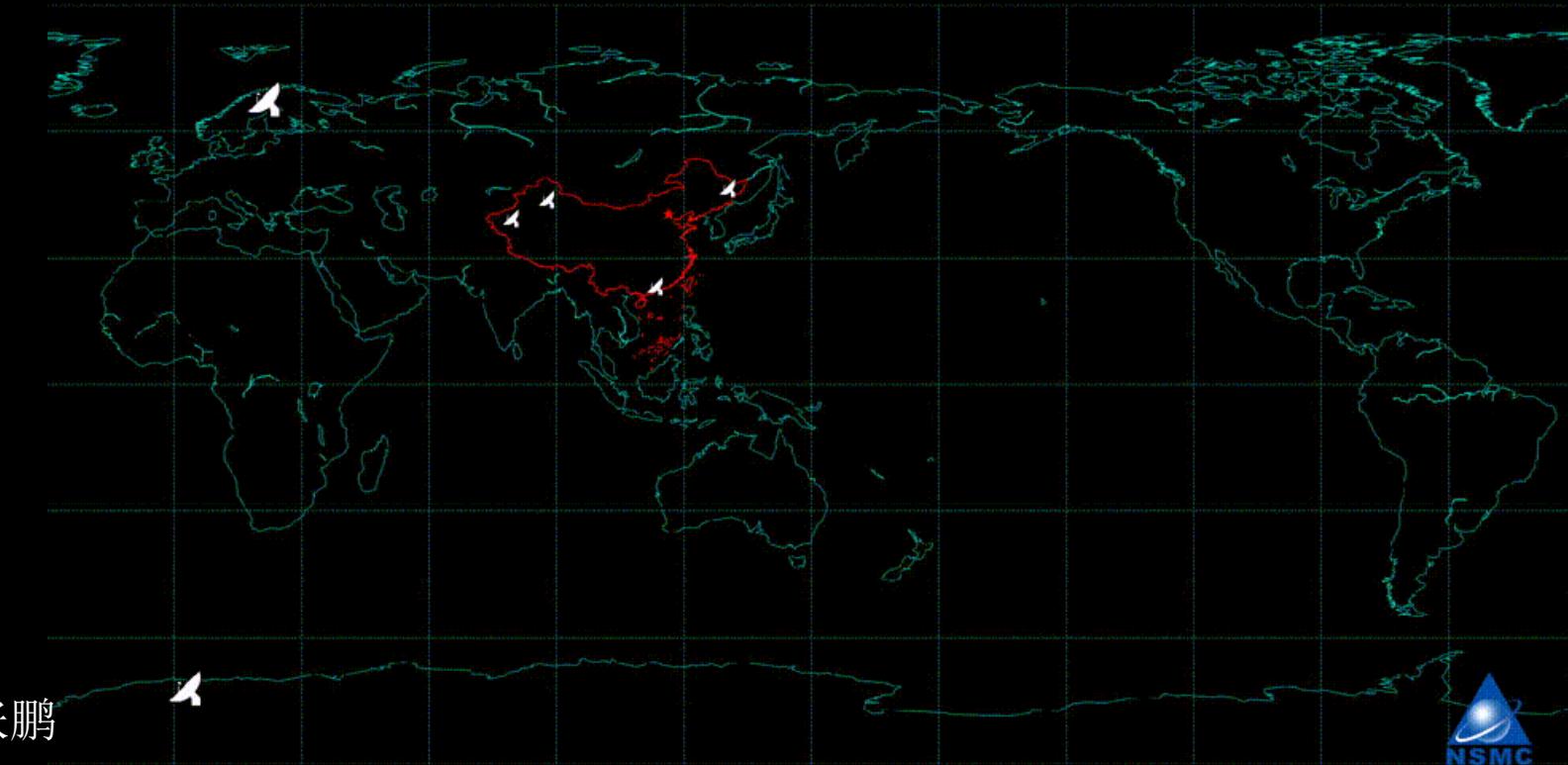
# Polar Orbiting Satellite: FY-3

## 极轨气象卫星

观测特点：

1. 在约800公里轨道高度绕地球南北极飞行，每12小时无缝隙覆盖全球
2. 搭载多种遥感仪器，获取大气和地球环境综合探测信息

2018年9月15日 风云三号D星 中分辨率光谱成像仪II

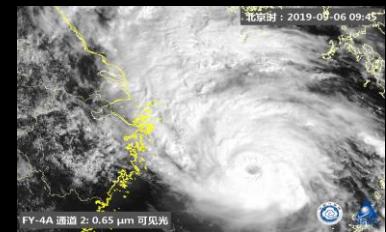
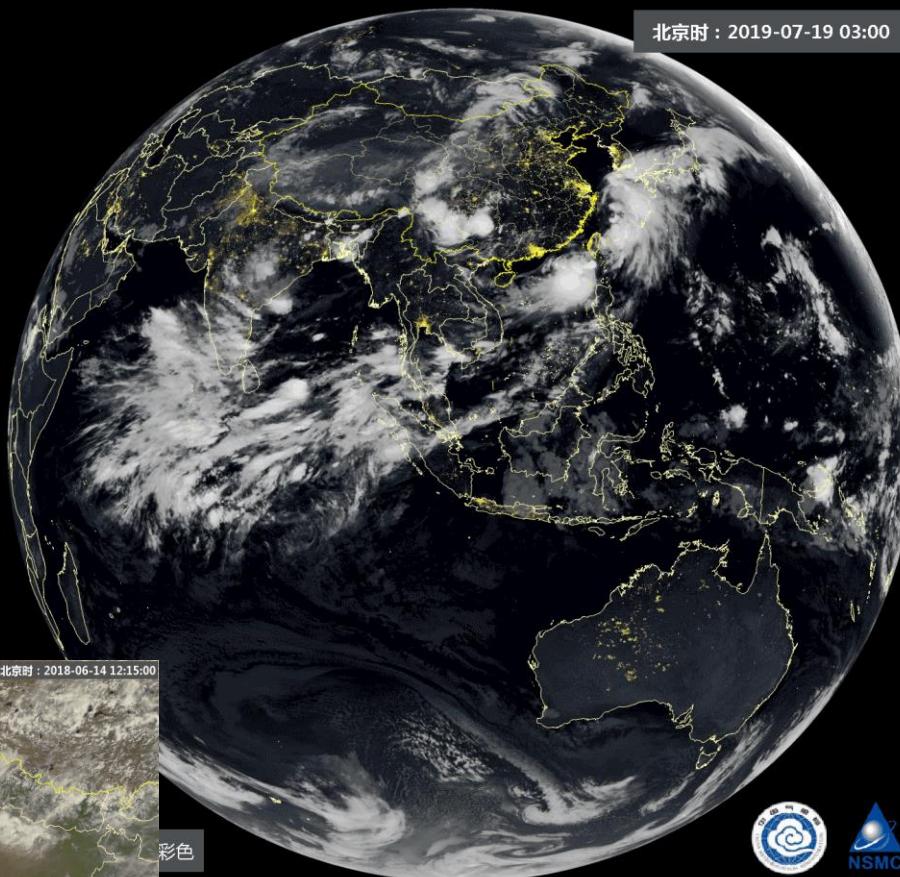


# Geostationary Satellite: FY-4A

## 静止气象卫星

气象卫星作用和优势：

1. 全球全天候无缝隙观测，实现对地球大气和环境综合探测
2. 局地高频次观测，实现对自然灾害和环境事件的动态观测

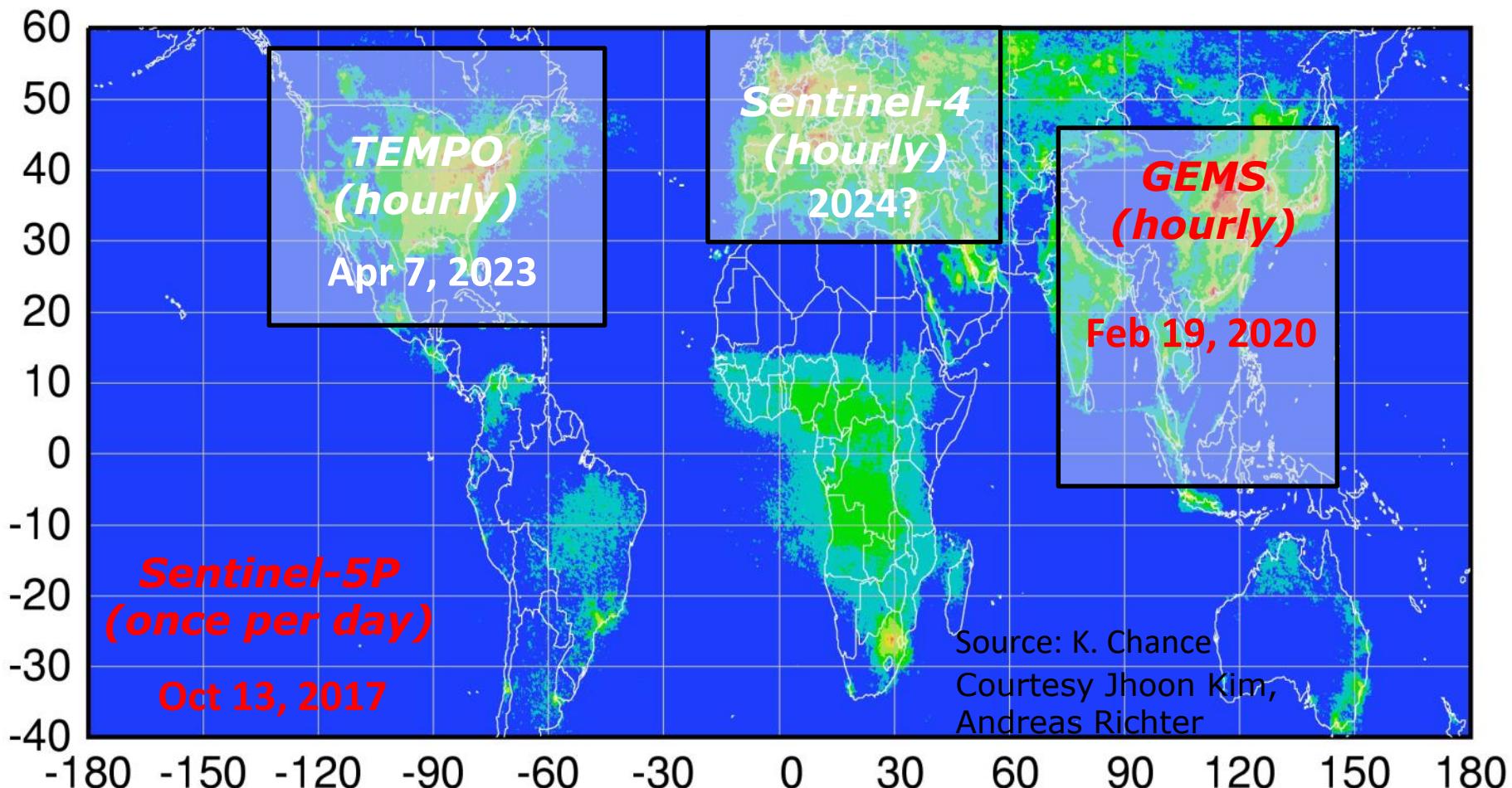


观测特点：

1. 轨道高度约35800公里，与地球自转同步，观测区域相对固定
2. 可每15分钟获取地球全圆盘图像；每5分钟对中国区域进行高频扫描。

张鹏

# High-Resolution Geostationary and Polar Orbiting Satellite Measurements of NO<sub>2</sub> and Other Tracers



NO<sub>2</sub> sensors: GOME, SCIAMACHY, **OMI**, GOME-2A/B, OMPS, **TROPOMI**, GEMS...

# Modern Satellite Remote Sensing of Air Pollutants



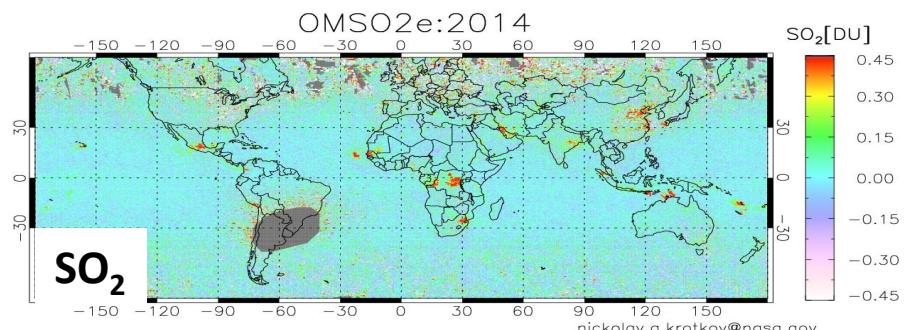
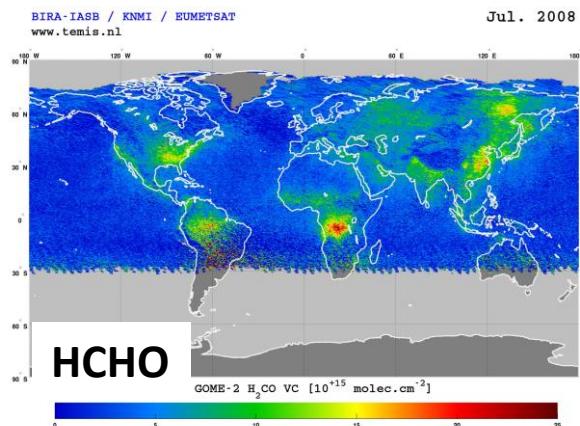
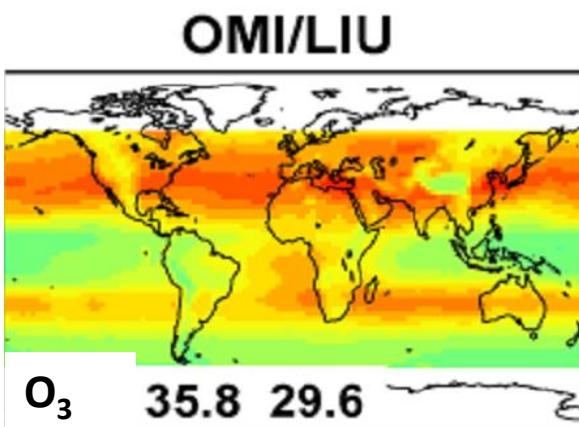
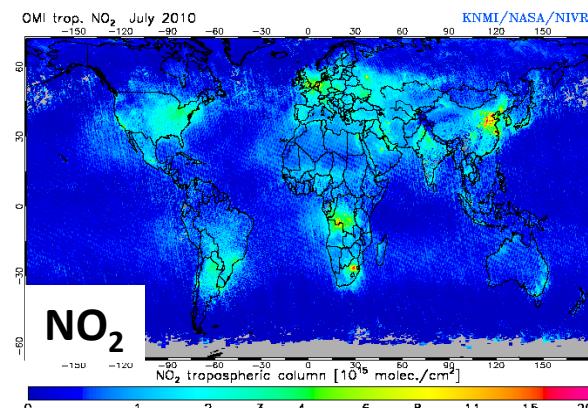
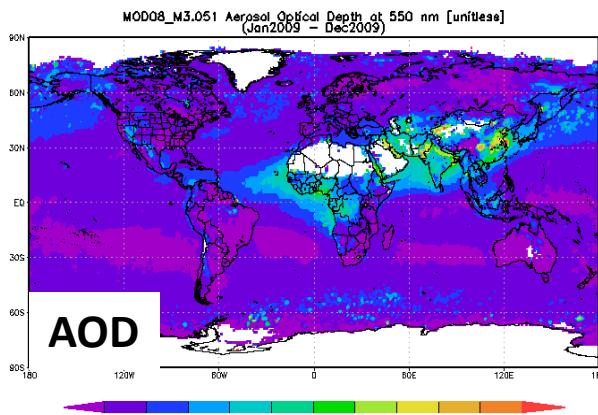
## Advantages:

- Near real-time
- Multiple species
- Excellent spatial coverage
- Long-term data
- High resolution data
- Easily accessible & verifiable



- Aerosols: MODIS, MISR, CALIOP, FY
- O<sub>3</sub>: OMI, TES, AIRS, MLS, FY
- CO: TES, MOPITT, IASI, FY
- NH<sub>3</sub>: TES, IASI, CrIS, FY
- ISOP: CrIS
- ✓ NO<sub>2</sub>: TROPOMI, OMI, GEMS, FY
- ✓ SO<sub>2</sub>: OMI, TROPOMI, GEMS, FY
- ✓ HCHO: OMI, TROPOMI, GEMS

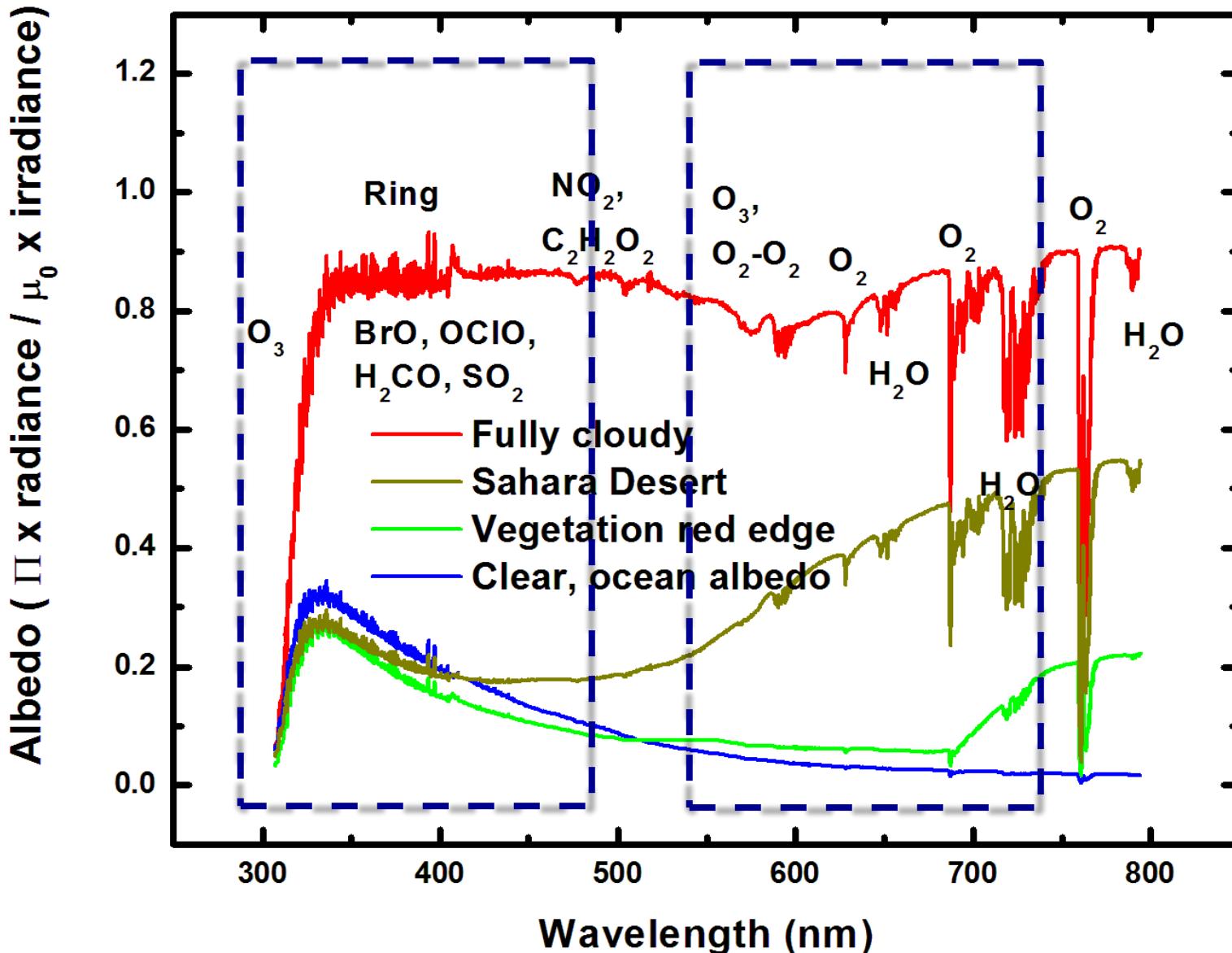
# Satellite Measurements of Global Air Pollution



## Satellite data applications:

- High-resolution monitoring & forecast
- Local-regional-global scale pollution & transport
- Trends and variability
- Emission constraint
- Impacts assessment

# Reflectance Spectra at VIS-NI (from ESA GOME-1)

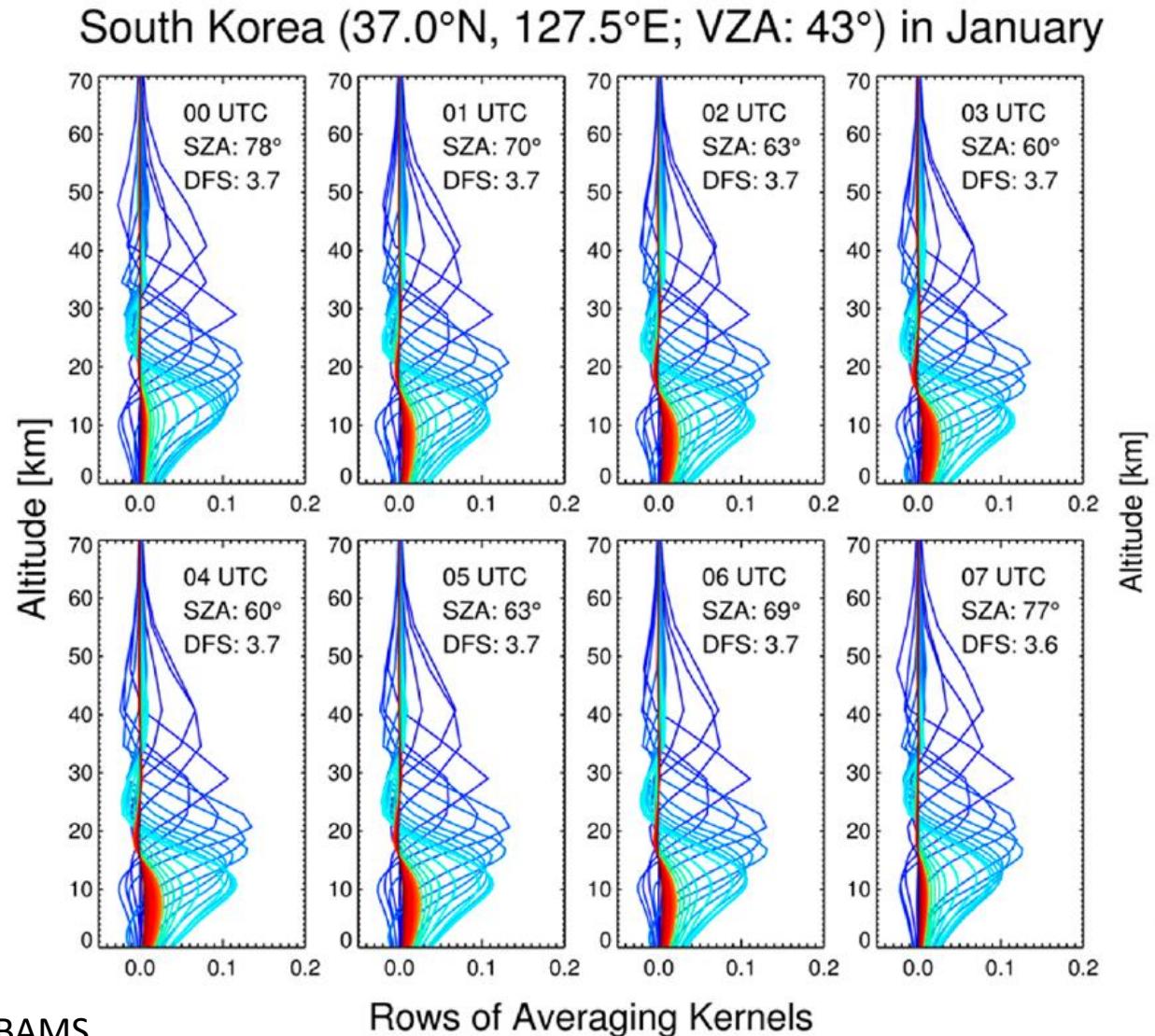


Adopted from Kelly Chance

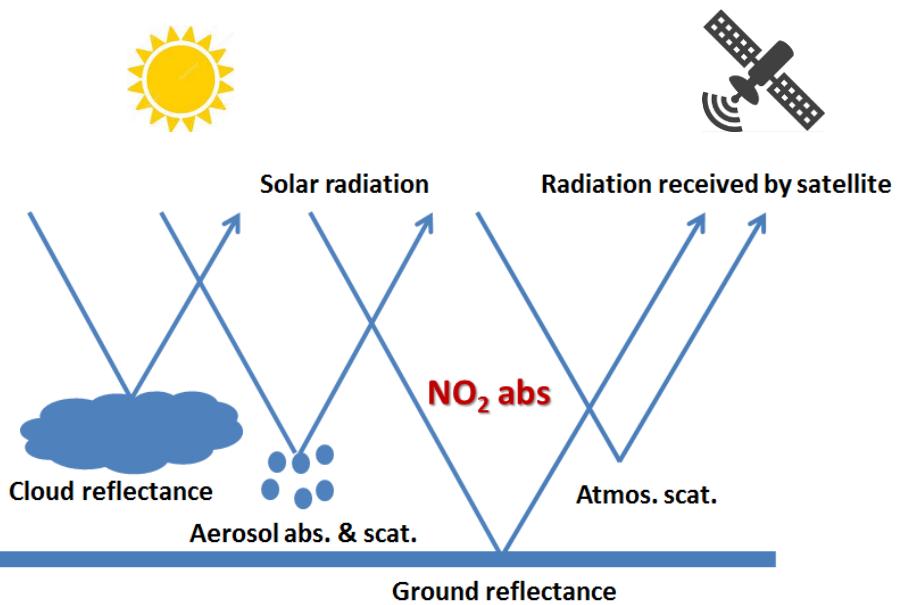
# Averaging Kernel and Air Mass Factor

$$A = \frac{\partial \hat{x}}{\partial x}$$

For O<sub>3</sub>



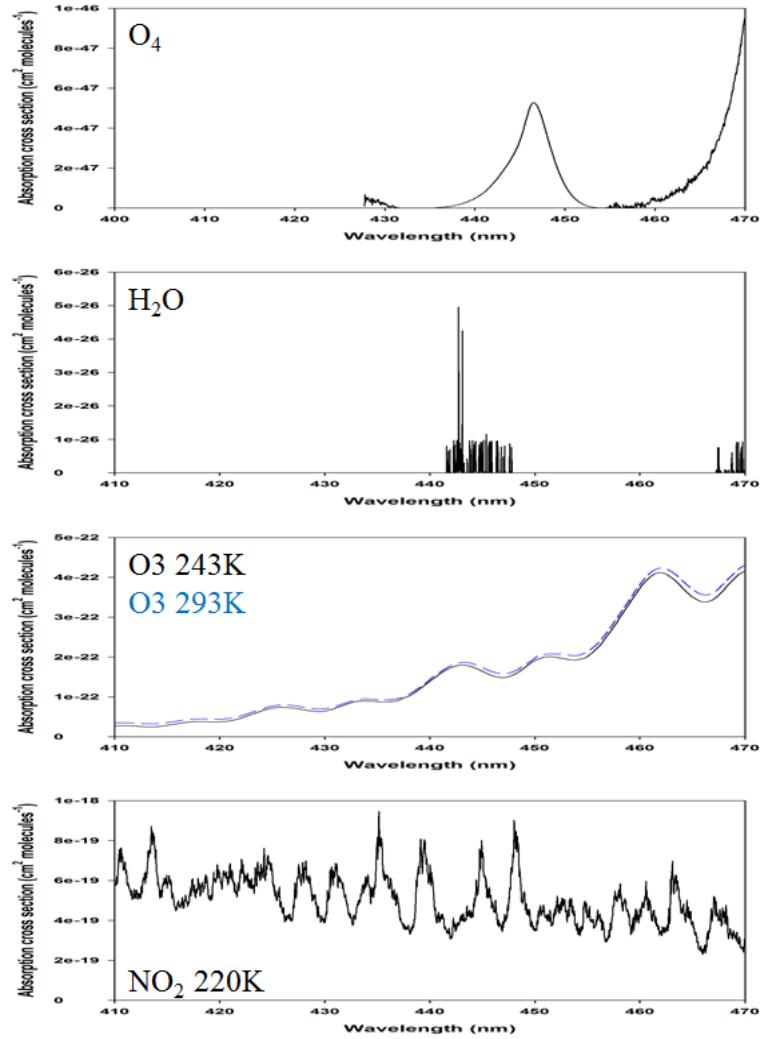
# DOAS Approach to Retrieving NO<sub>2</sub>, SO<sub>2</sub>, HCHO, CHOCHO from Satellite Remote Sensing



$$I(\lambda) = I_0(\lambda) e^{-\sum_i \sigma_i(\lambda) N_{s,i} + \sum_j a_j \lambda^j}$$

**DOAS**

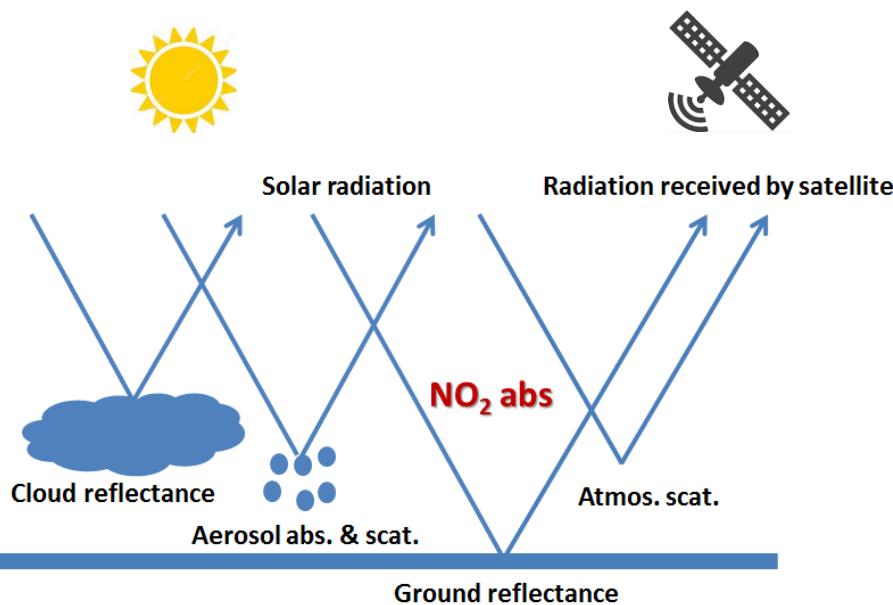
$$\ln\left(\frac{I(\lambda)}{I_0(\lambda)}\right) = -\sum_i \sigma_i(\lambda) N_{s,i} + \sum_j a_j \lambda^j$$



# Retrieving NO<sub>2</sub> from Satellite Remote Sensing

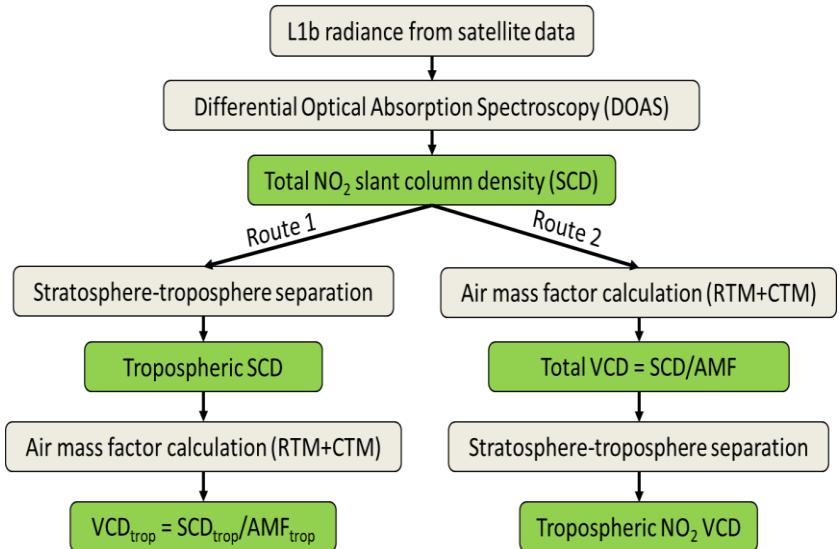
DOAS method to derive **total SCD**:

$$I(\lambda) = I_0(\lambda) e^{-\sum_i \sigma_i(\lambda) S_i + \sum_j a_j \lambda^j}$$



From total SCD to **tropospheric VCD**:

$$\Omega_t = \frac{S_t}{M_t} = \frac{S - S_s}{M_t}$$

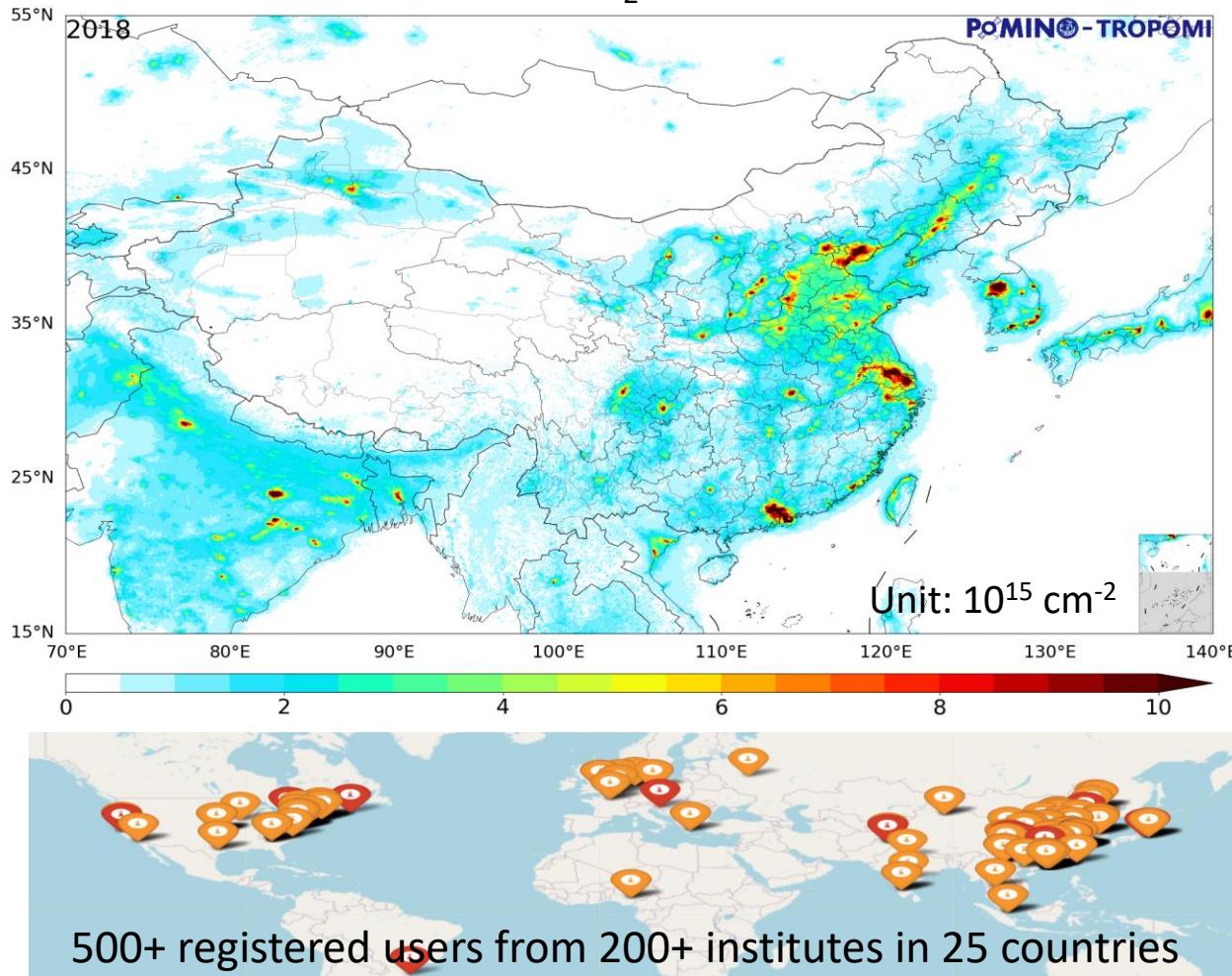


# POMINO NO<sub>2</sub> VCD Products for OMI, TROPOMI & GEMS

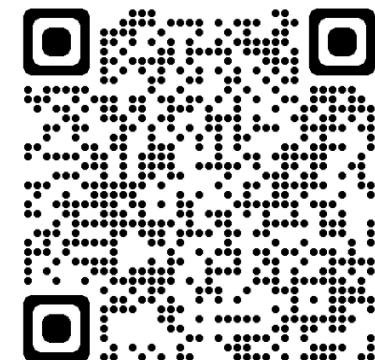
<http://www.pku-atmos-acm.org/acmProduct.php>

All Level-2 and Level-3 data are freely available (2004-present)

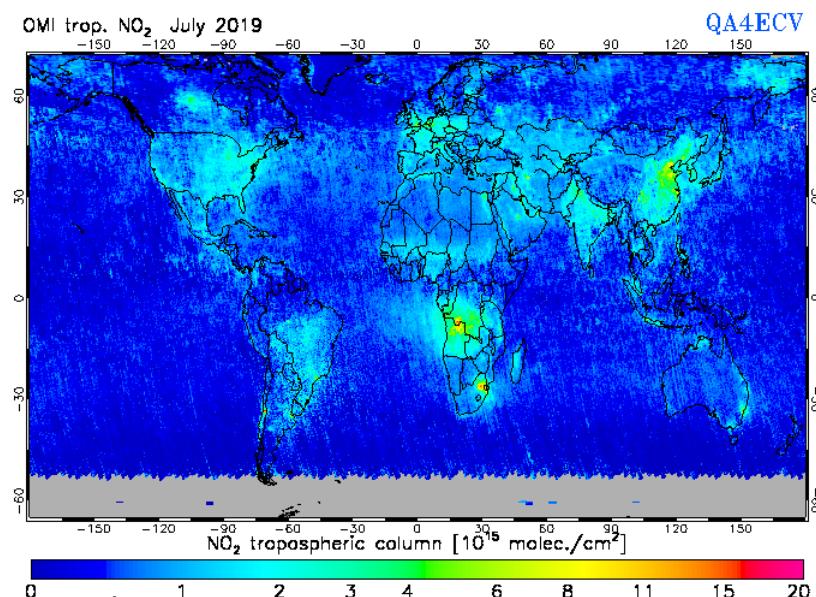
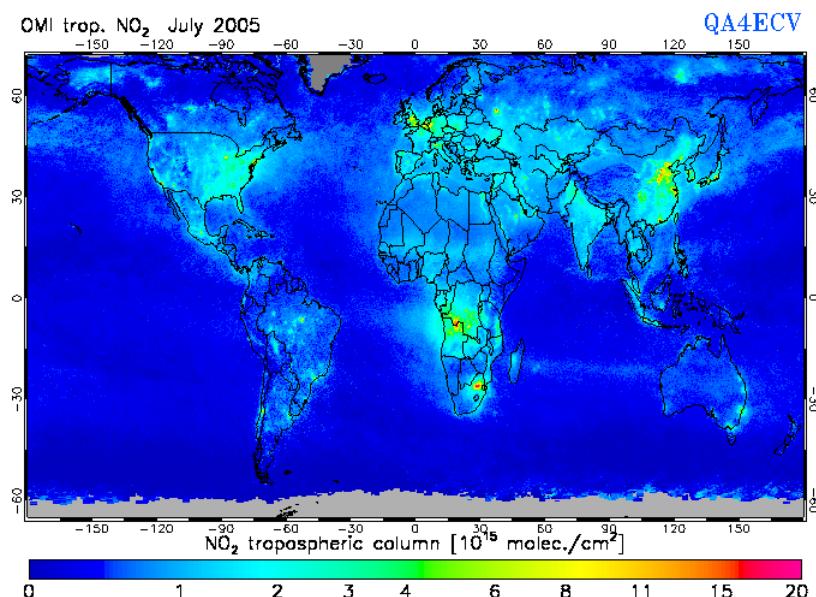
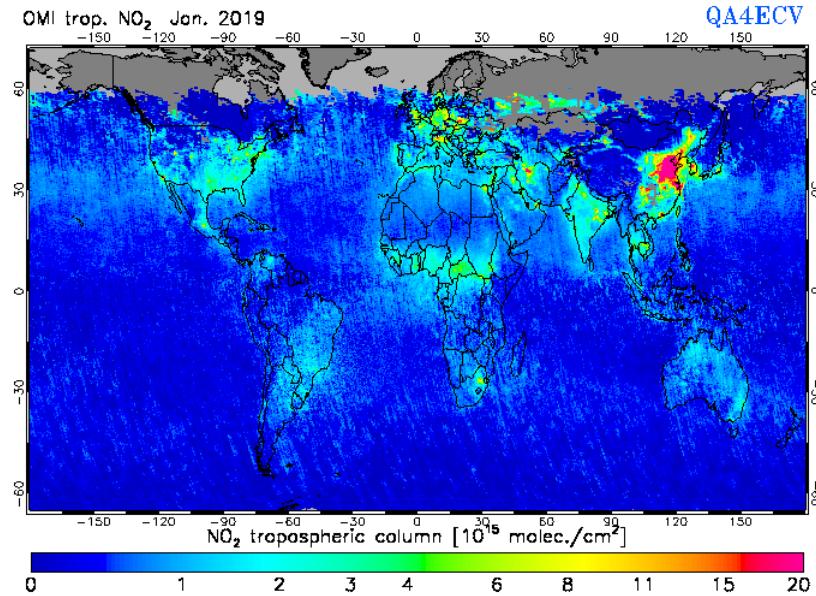
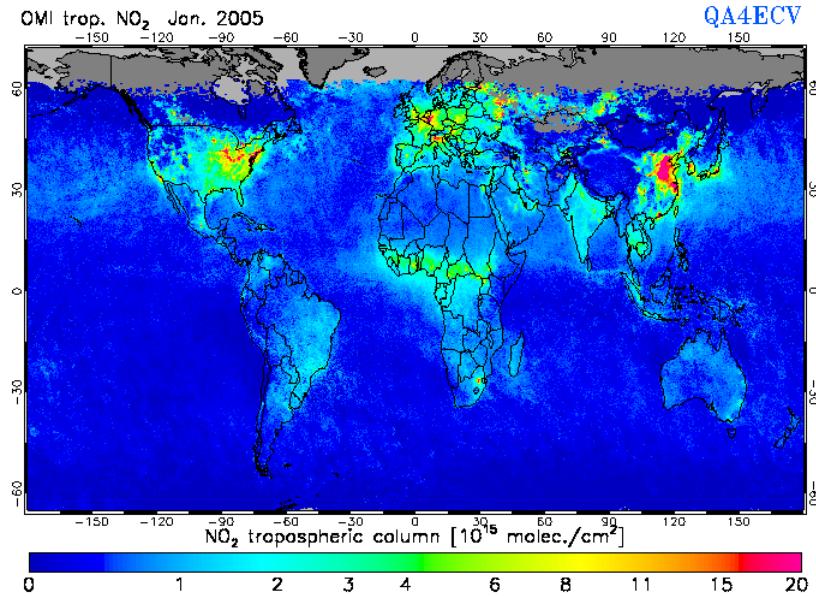
5 km-res Tropospheric NO<sub>2</sub> VCDs in JJA 2018–2022



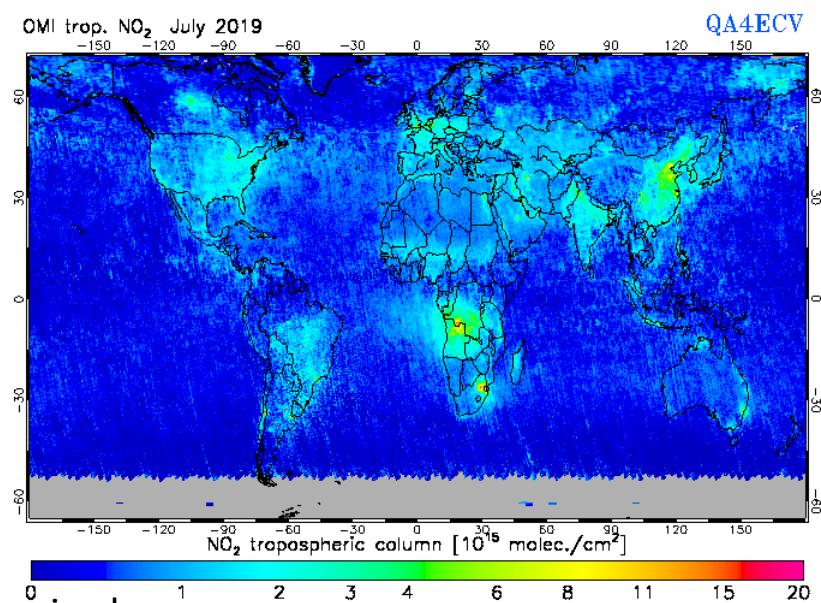
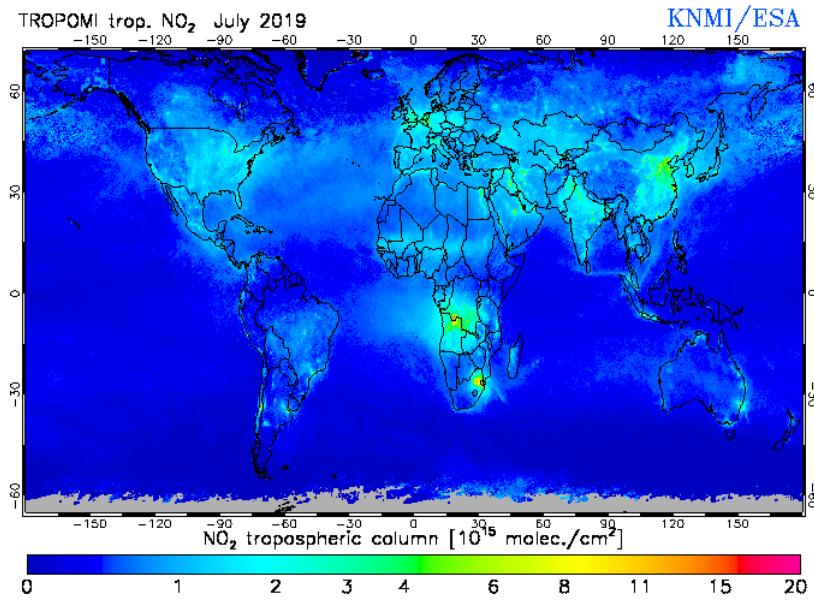
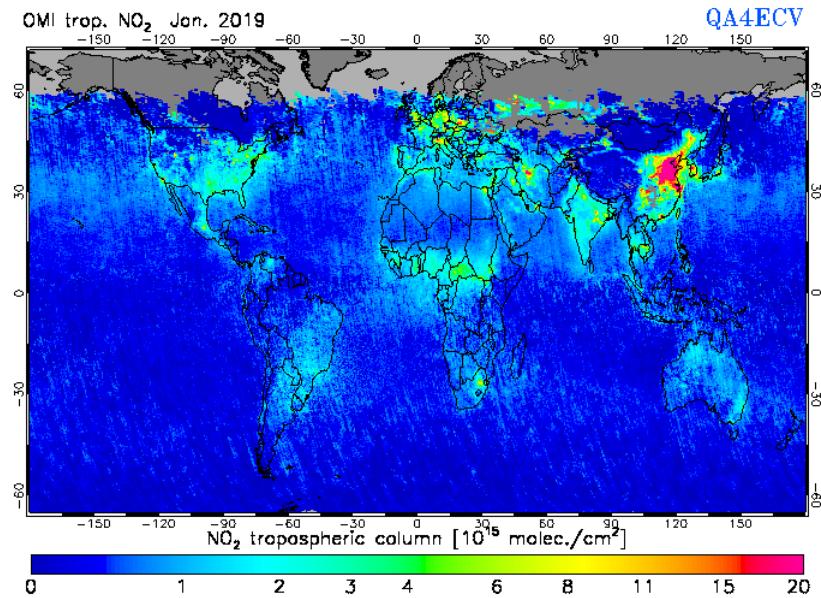
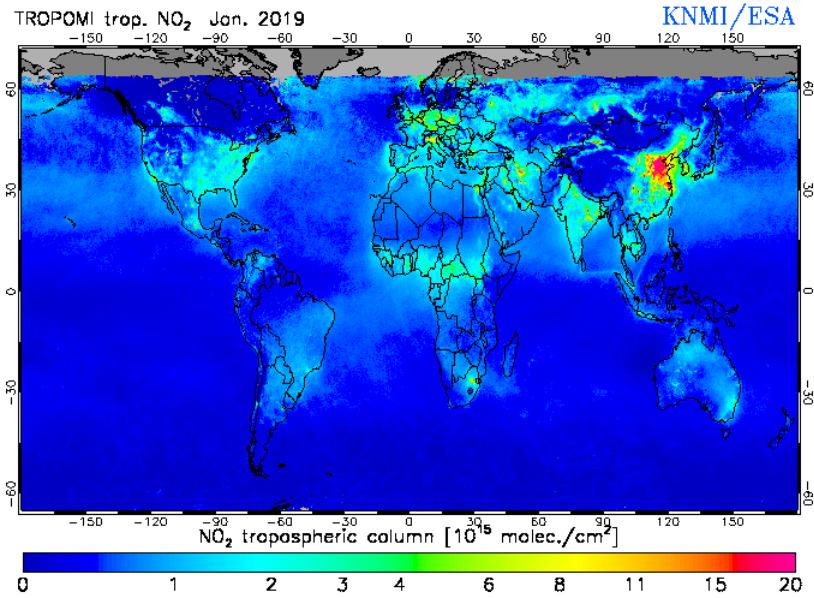
- Lin et al., ACP, 2014
- Lin et al., ACP, 2015
- Liu et al., AMT, 2019
- Liu et al., AMT, 2020
- Zhang et al., NRSB, 2022
- Zhang et al., AMT, 2023
- Zhang et al., AMT, 2025



# OMI-Retrieved Tropospheric NO<sub>2</sub> Column: 2005-2019

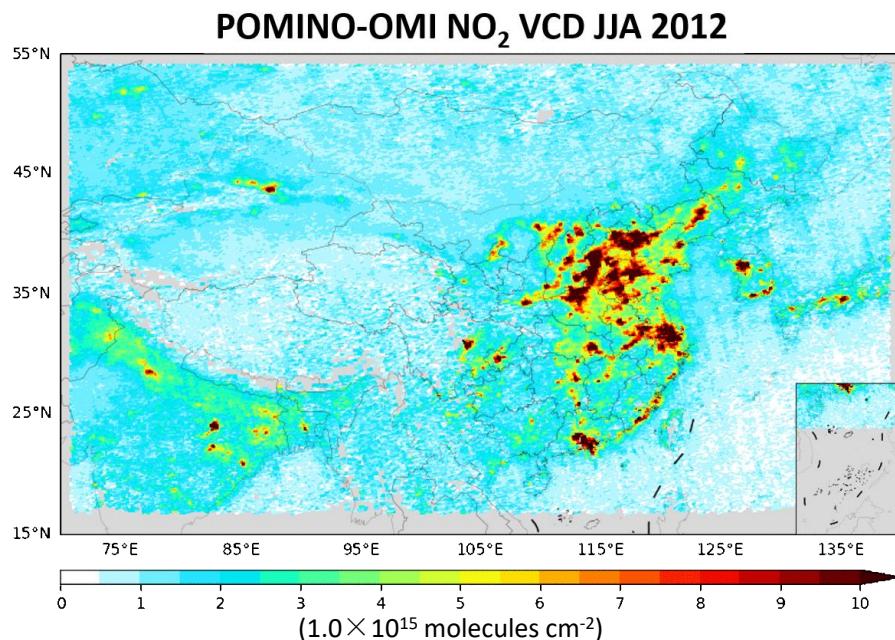


# Tropospheric NO<sub>2</sub> Column: OMI versus TROPOMI

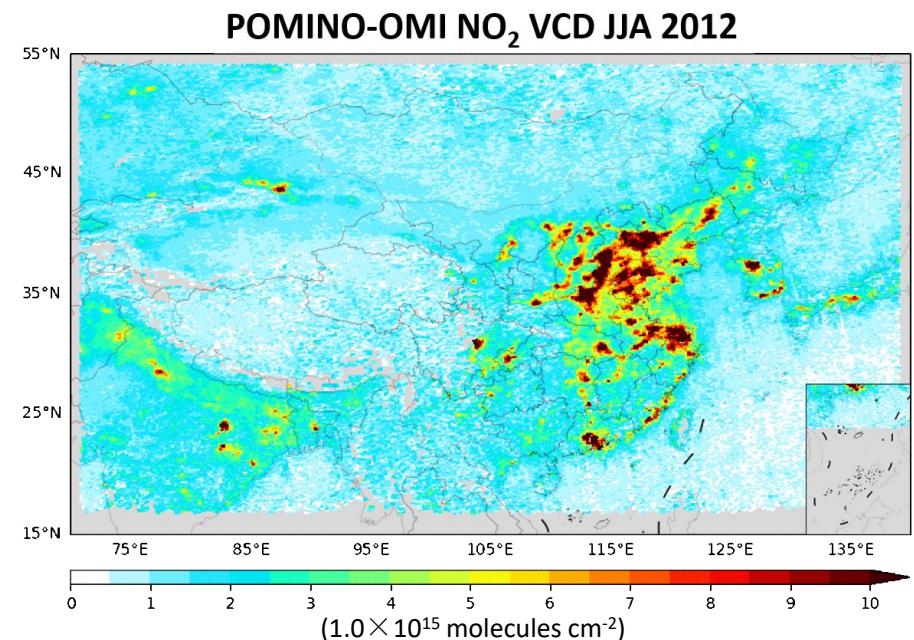


# De-striping OMI NO<sub>2</sub> VCDs based on Empirical Distribution

Before de-striping



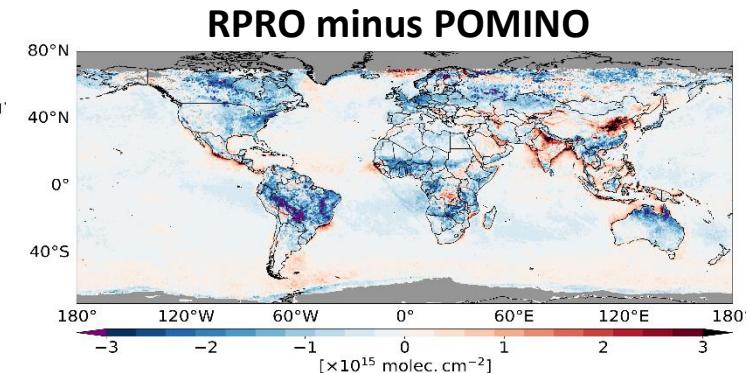
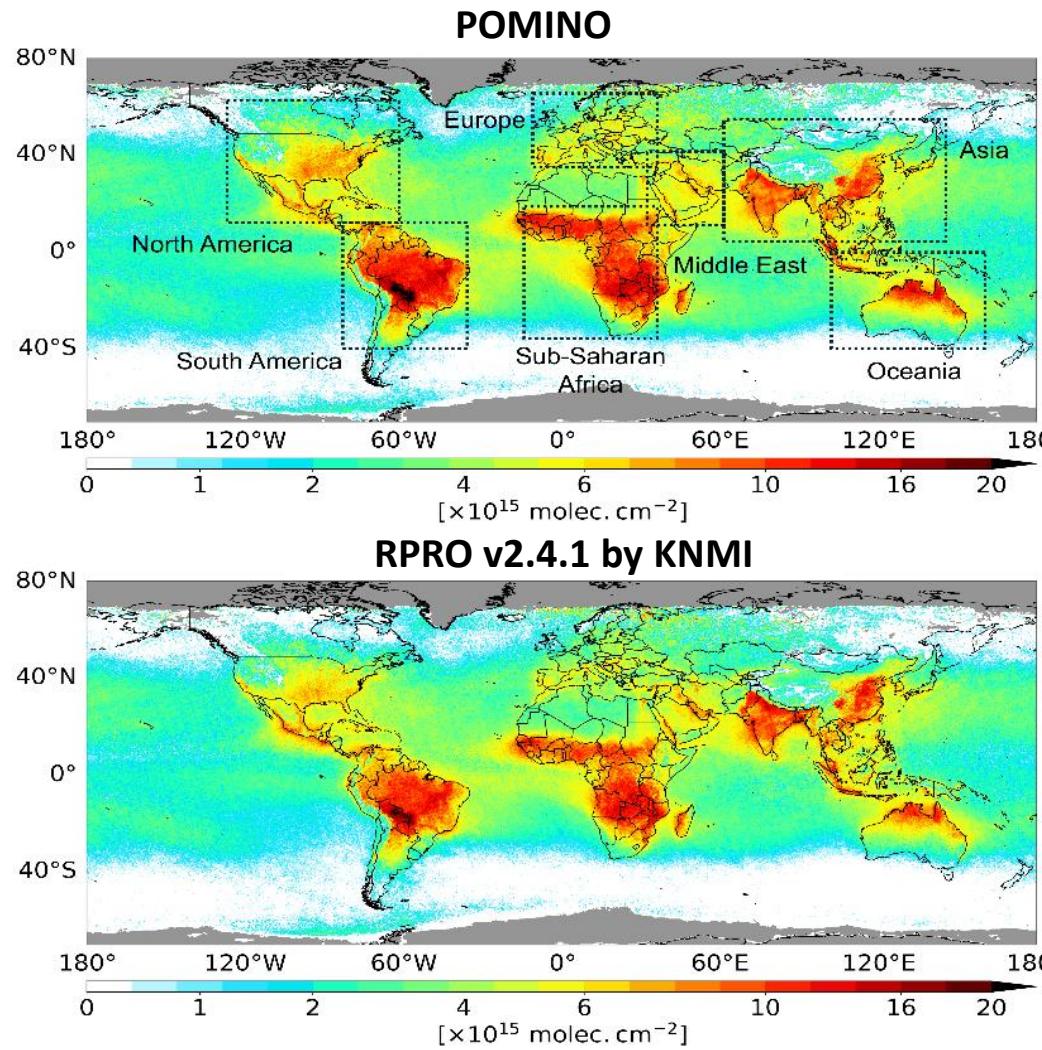
After de-striping



Kong et al., submitted

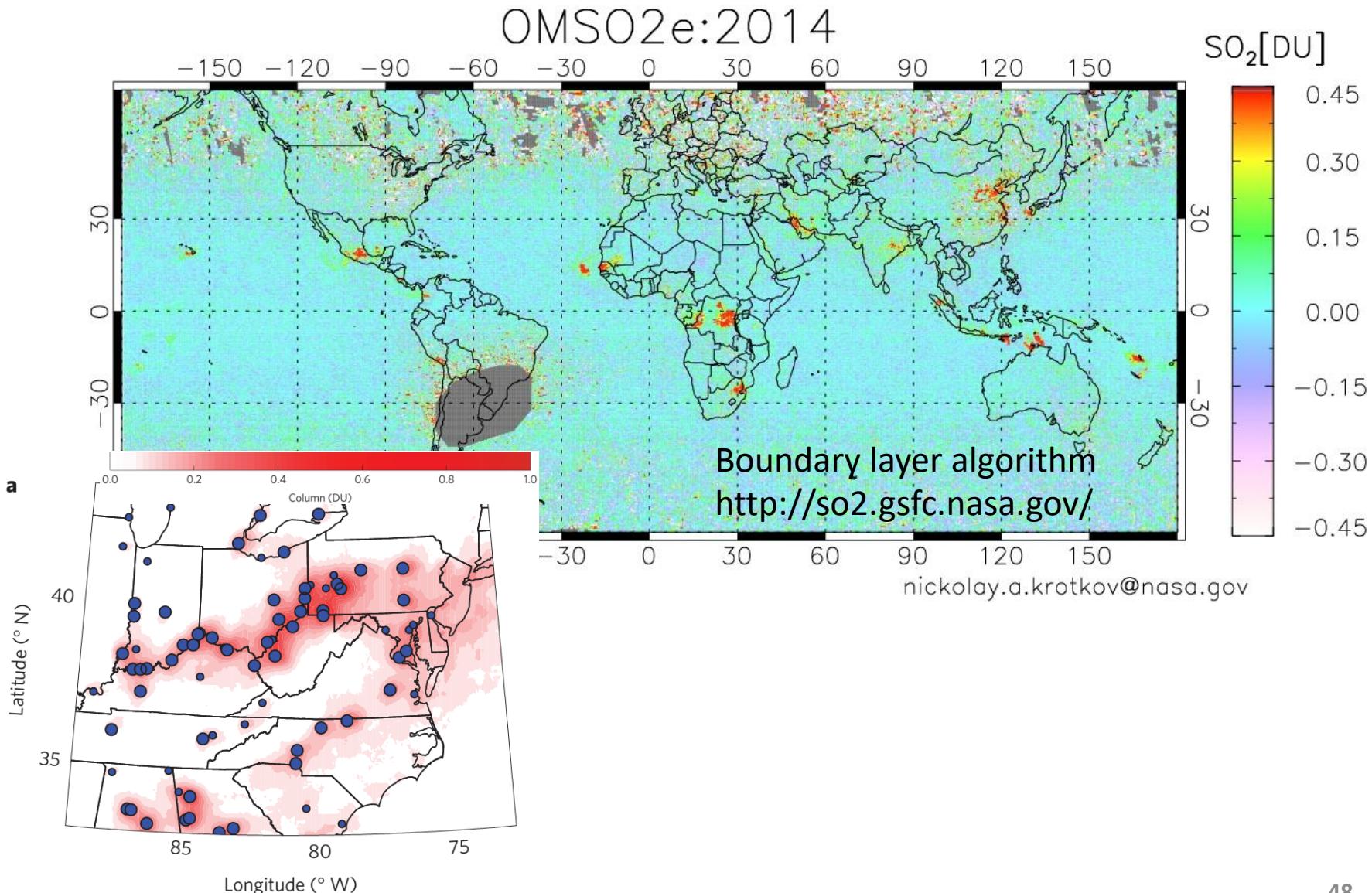
# Global TROPOMI HCHO VCDs

Tropospheric HCHO VCDs (April, July, October 2021, and January 2022)



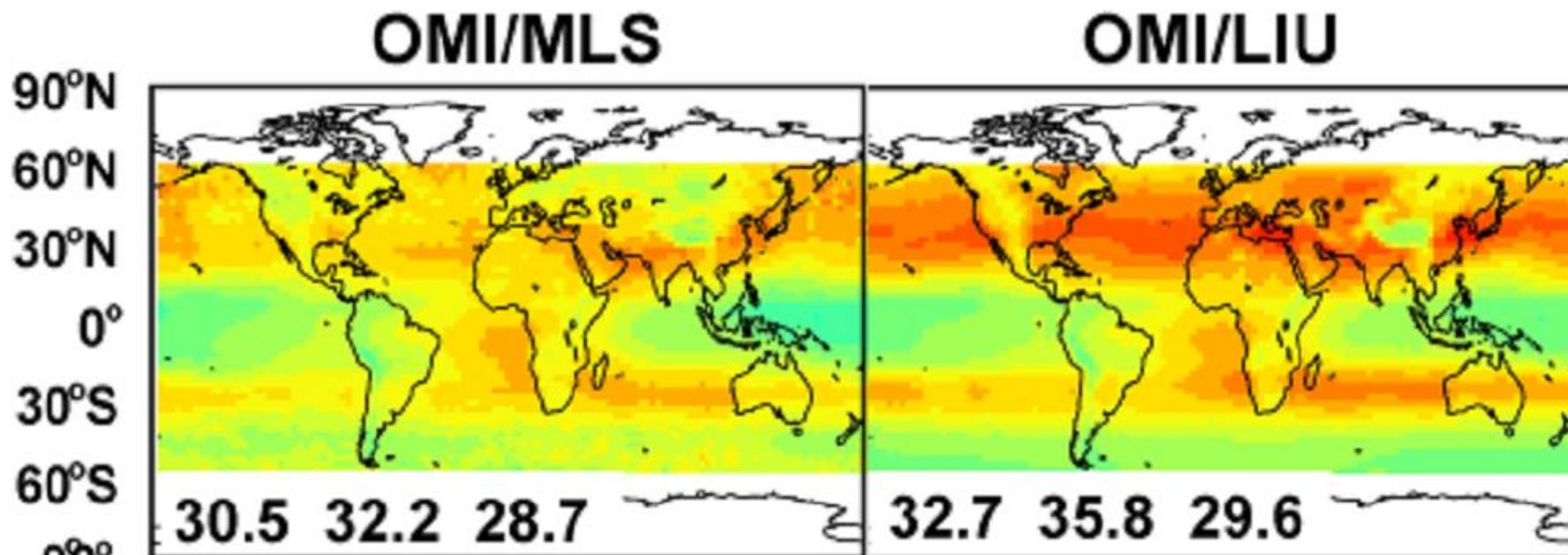
Zhang et al., AMT, 2025

# OMI-retrieved VCDs of Tropospheric SO<sub>2</sub>



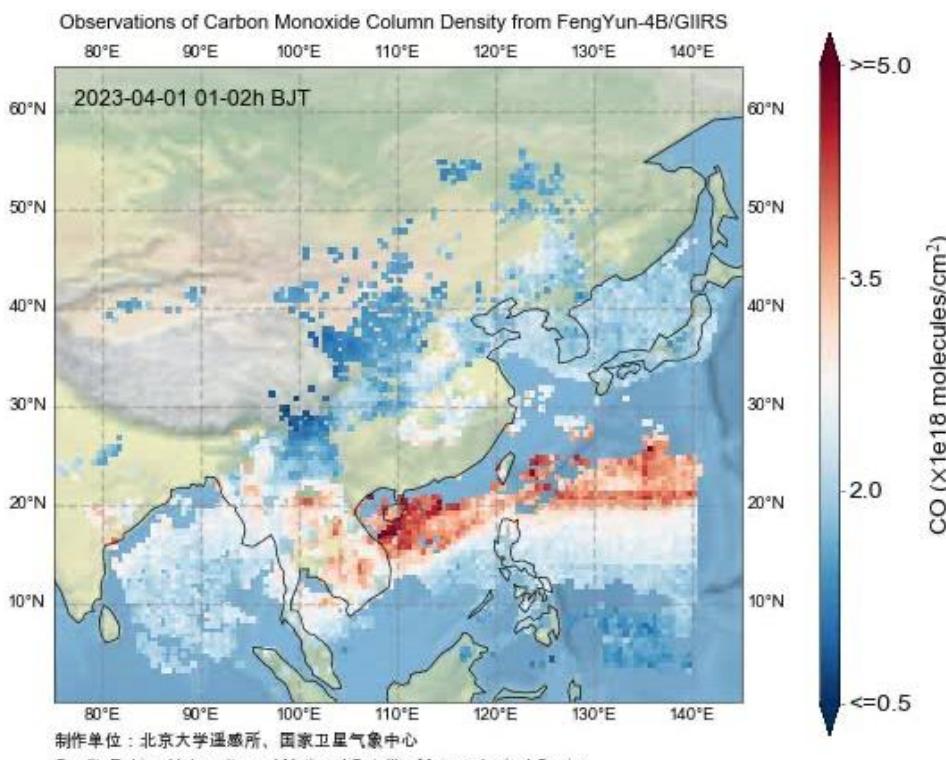
# OMI-retrieved VCDs of Tropospheric Ozone

Annual mean in 2009 (DU)

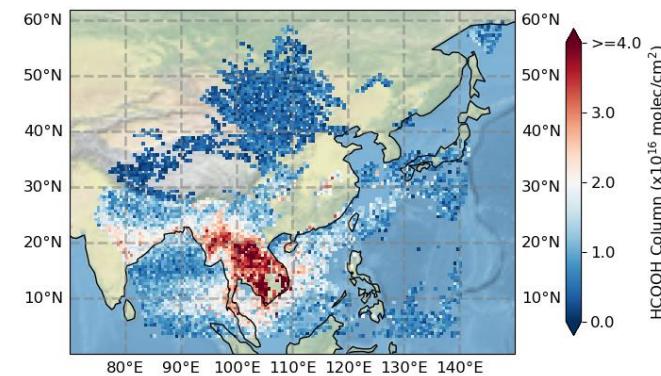


# FY-4B/GIIRS 干涉式大气垂直探测仪

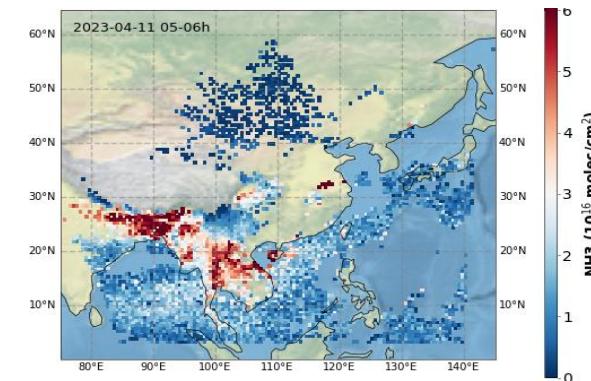
(a) 一氧化碳



(b) 甲酸



(c) 氨气



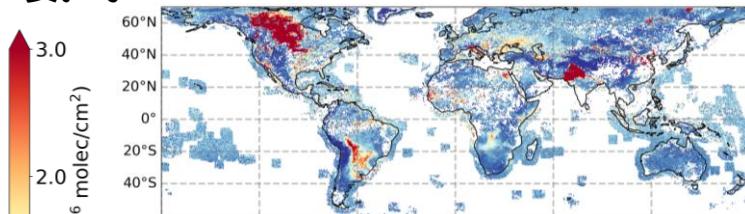
Zeng AMT 2023a, b; Zeng 2024 JGR-Atmosphere

曾招城@北大遥感所

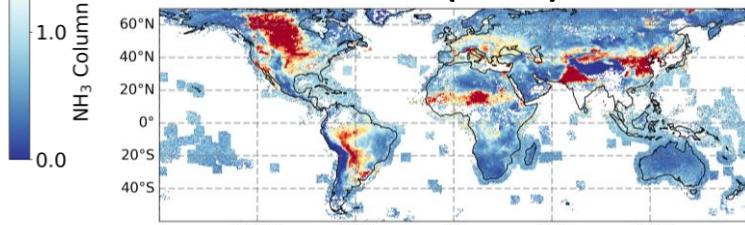
# FY-3E/F HIRAS 红外高光谱大气垂直探测仪

氮气

FY3E (05:30)

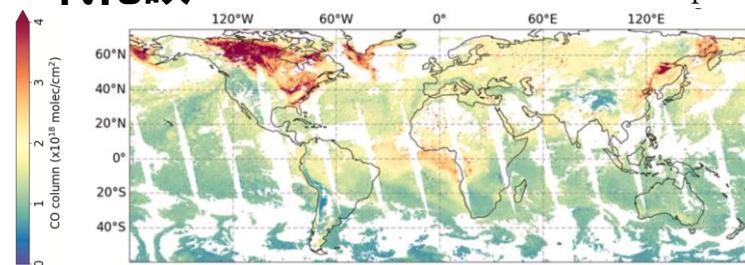


FY3F (10:00)

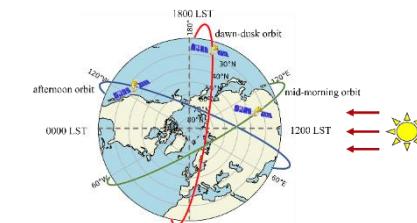


一氧化碳

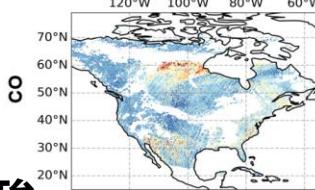
Hua et al. in prep.



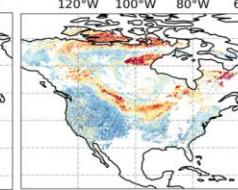
Zeng et al. 2025 JQSRT



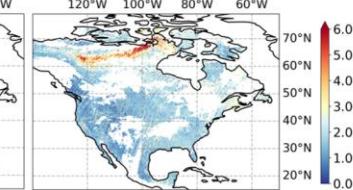
June 11, 2023



July 15, 2023



August 12, 2023

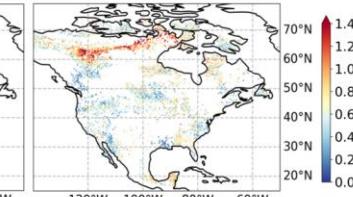
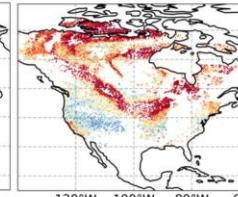
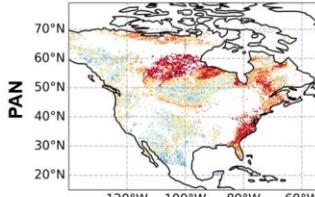
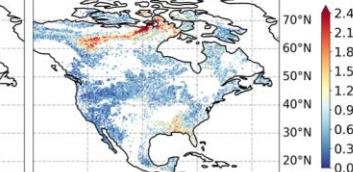
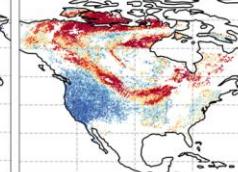
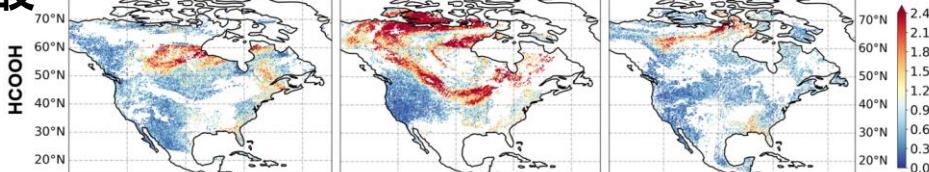


甲酸

HCOOH

PAN

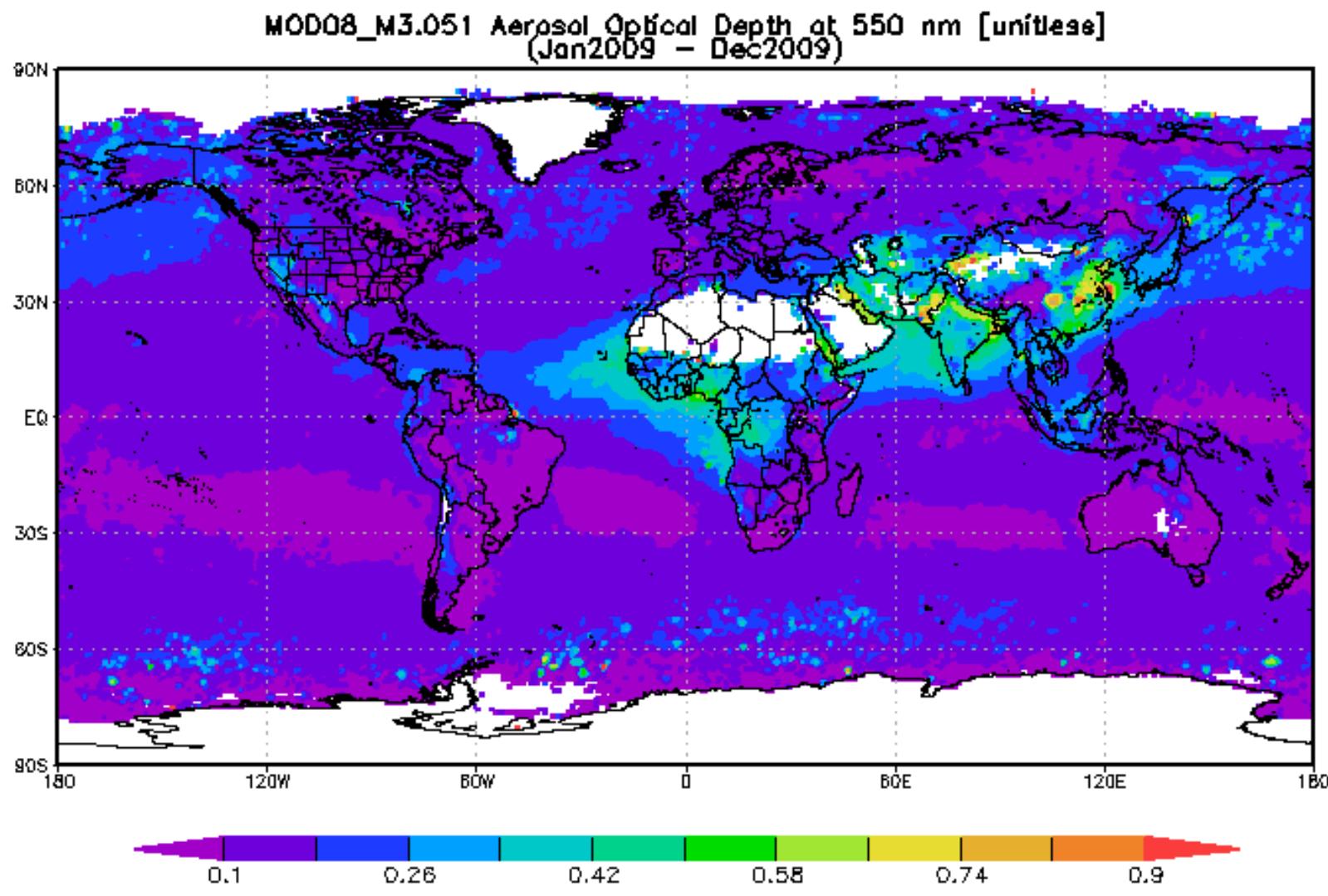
过氧乙酰硝酸酯



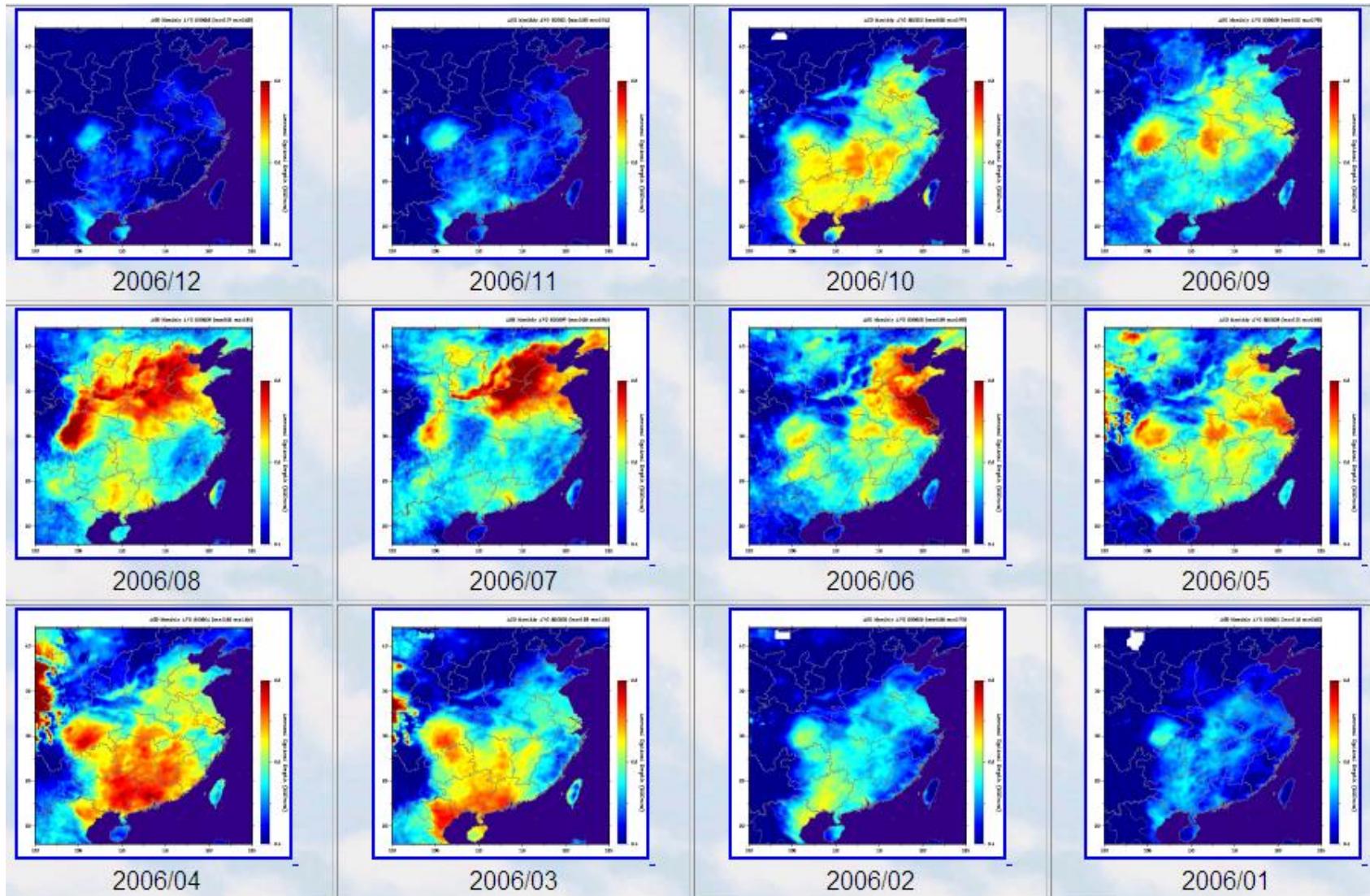
Hua et al. RSE, in revision

曾招城@北大遥感所

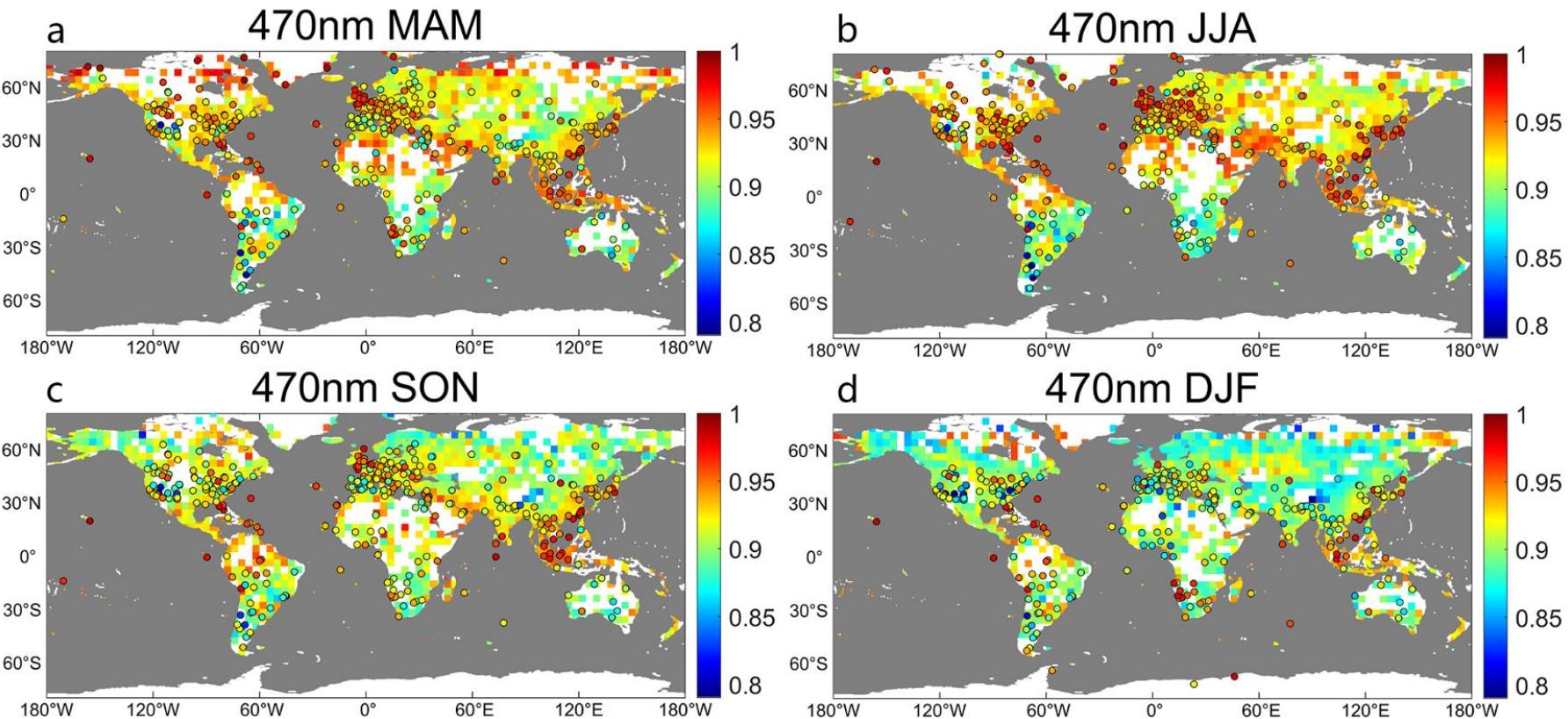
# MODIS AOD in 2009



# MODIS Monthly AOD at Kilometer Resolution



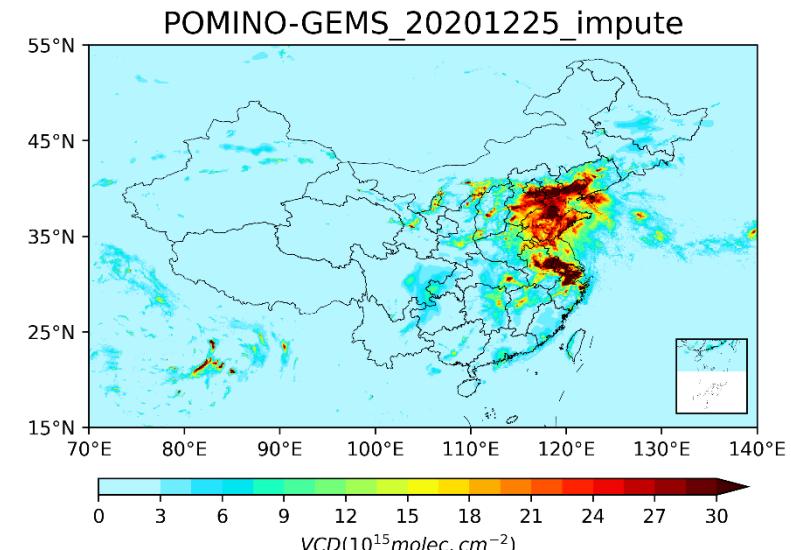
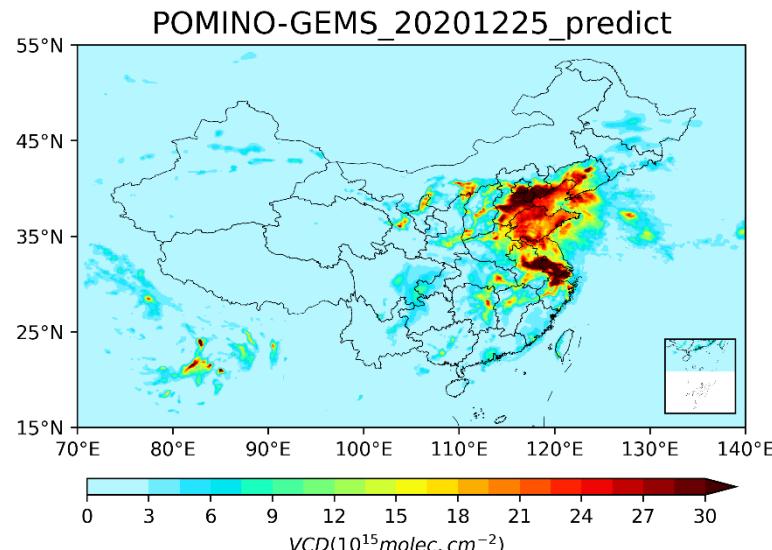
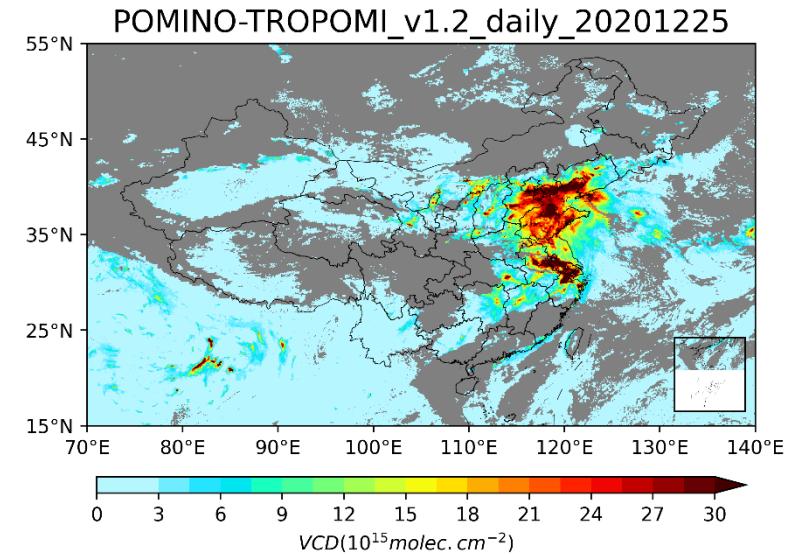
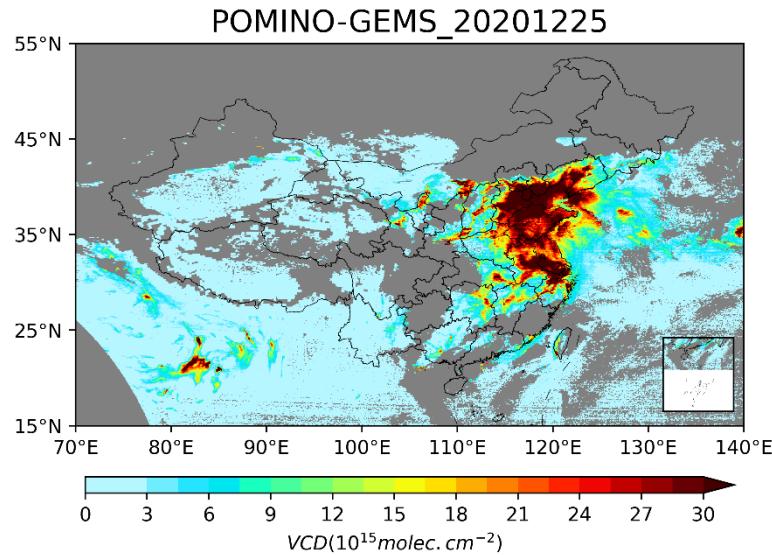
# MODIS-VIS Single Scattering Albedo Retrieval



Jing Li

# Gap Filling for Satellite Data: NO<sub>2</sub>

GEMS + GEOS-CF + UNet → TROPOMI





云知道  
大气环境遥感服务

# 云知道——大气环境遥感数据平台

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## 发起团队

欢迎大家通过本平台分享和下载数据



北京大学  
林金泰



中国矿大  
秦凯



武汉大学  
毛飞跃



南科大  
朱雷



北京大学  
沈路



西湖大学  
张羽中



中山大学  
朱丽叶



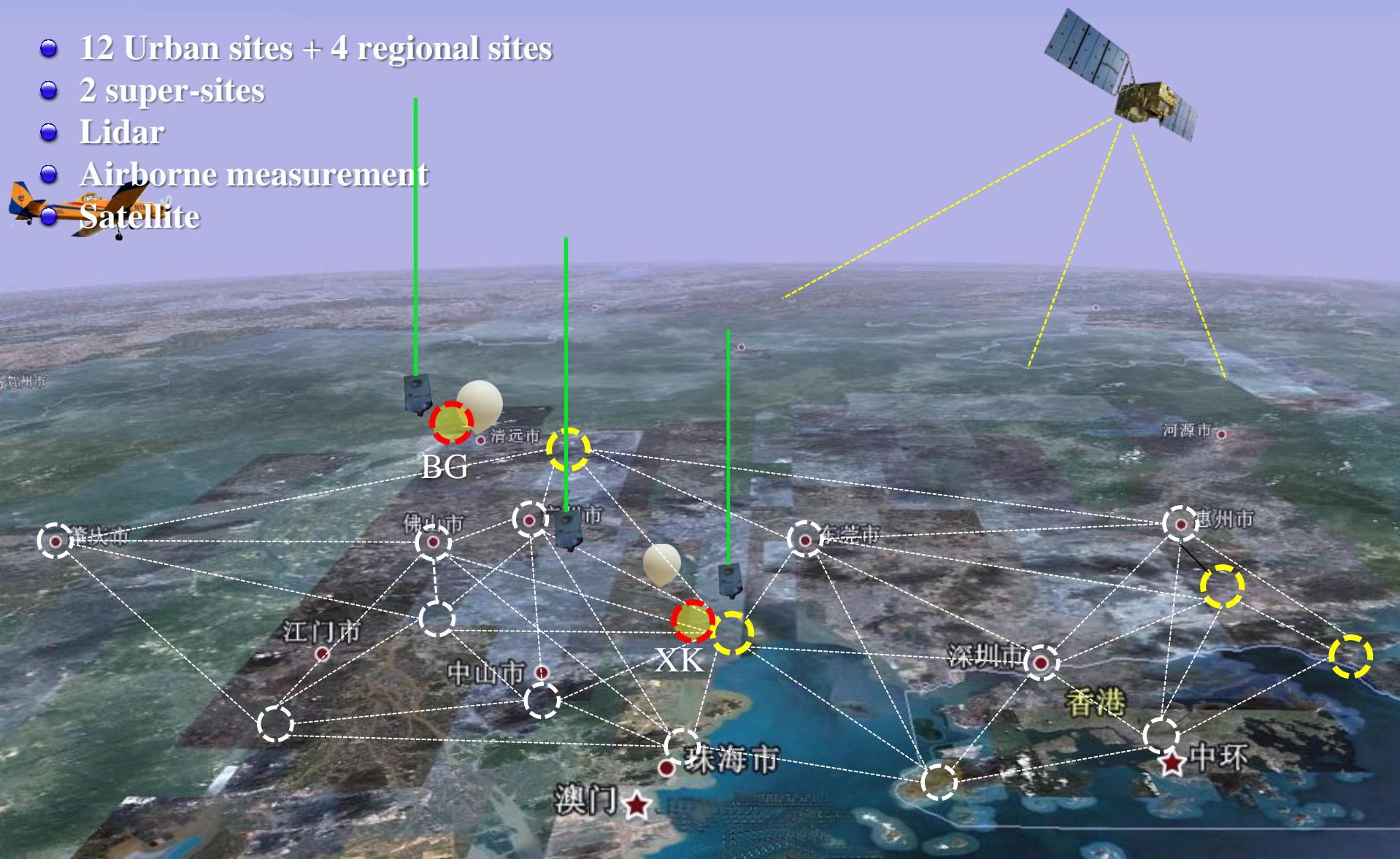
华东师大  
白开旭

# Field Campaign

- Measurement campaigns: intensive measurements of targets (e.g., pollutants) in a short period (weeks to months), employing a variety of instruments.
- TRACE-P
- ICARTT
- INTEX-B
- ARCTAS
- PRIDE-PRD
- CAREBEIJING
- .....
- ✓ Aircraft measurements are used in these campaigns

# PRIDE-PRD 2004, 2006, 2008

- 12 Urban sites + 4 regional sites
- 2 super-sites
- Lidar
- Airborne measurement
- Satellite



# Field Campaign Measurement Parameters

Gases:

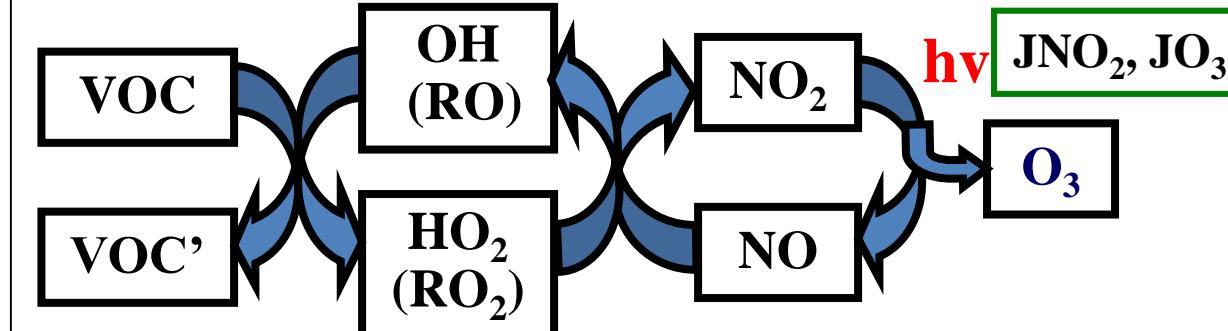
$O_3$ , NOx,  
NOy, CO,  
 $SO_2$

$H_2O_2$ ,  
ROx  
VOCs,

$HNO_2$ ,  
 $HNO_3$ , HCl,  
 $NH_3$

OVOCs,  
OH,  
 $H_2SO_4$

Process:  
radicals  
photolysis rates



Particles:  
Size distribution  
Optical property  
Chemical comp.

Trace elements, ions,  
OC/EC, POM, WSOC

$SO_4^{2-}$ ,  $NO_3^-$ ,  
 $NH_4^+$ , Cl-

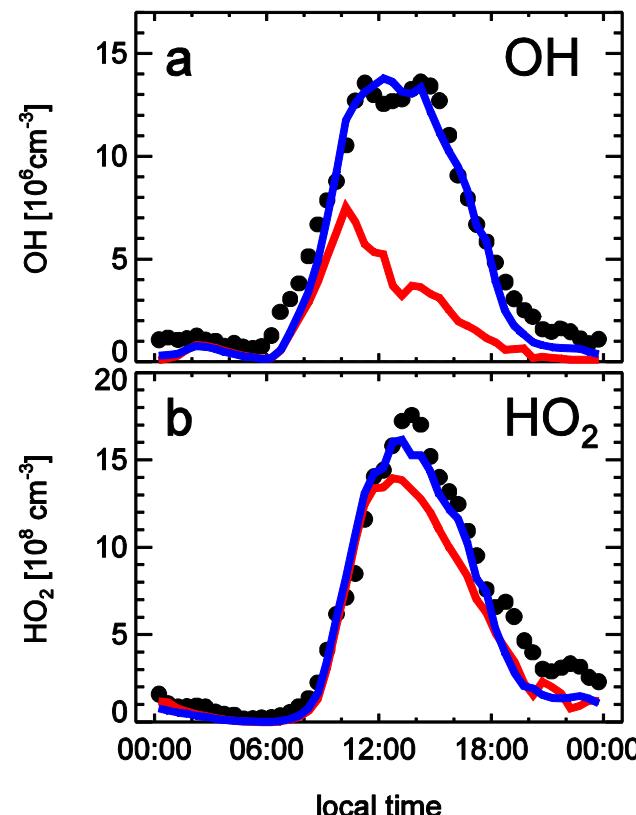
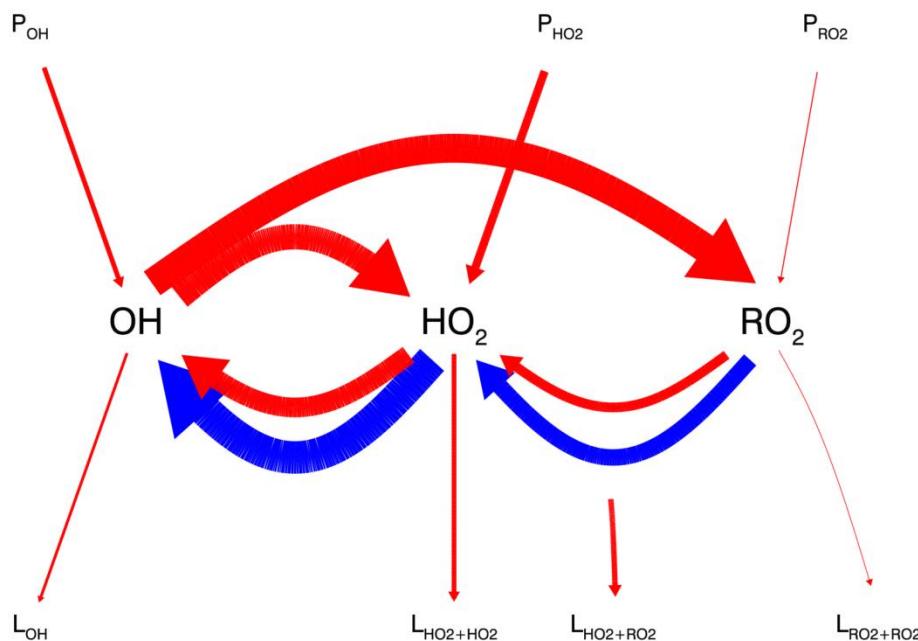
$Na^+$ ,  $K^+$ ,  
 $Ca^{2+}$ ,  $Mg^{2+}$

PM,  
Number distribution  
(0.01-10 um)

Light scattering  
Light absorption

# Unknown OH Recycle Mechanism Inferred from Campaign

Low NO<sub>x</sub>, high ISOP situation



Hofzumahaus et al. "Amplified Trace Gas Removal in the Troposphere." Science 324(5935): 1702-1704.

# Numerical Models in Atmospheric Research

- Type
  - Statistical model, AI model, physical model
  - Dynamic, process-based, parameterization
- Purpose
  - Meteorological, climate, ocean, sea ice, chemical transport, ..., Earth system
- Dimension
  - Box model, 1-D, 2-D, 3-D
- Domain (scale)
  - Global, regional, urban, nested
- Proceeding
  - Forward, backward (back-trajectory), adjoint

# Primitive Equations for Large-scale Motions

Meteorological and climate models are based on these equations

**Hypsometric equation (hydrostatic)**

$$\frac{\partial \Phi}{\partial p} = -\frac{RT}{p} \quad d\Phi = g dz$$

**Horizontal equation of motion**

$$\frac{d\mathbf{V}(u, v)}{dt} = -\nabla \Phi - f \mathbf{k} \times \mathbf{V} + \mathbf{F}$$

**Continuity equation**

$$\frac{\partial \omega}{\partial p} = -\nabla \cdot \mathbf{V} \quad \omega = \frac{dp}{dt}$$

**Thermodynamic energy equation**

$$\frac{dT}{dt} = \frac{\kappa T}{p} \omega + \frac{J}{c_p} \quad \kappa = R/c_p$$

**Bottom boundary condition**

$$\frac{\partial p_s}{\partial t} = -(V \cdot \nabla p)_s - \left( w \frac{\partial p}{\partial z} \right)_s - \int_0^{p_s} (\nabla \cdot V) dp$$

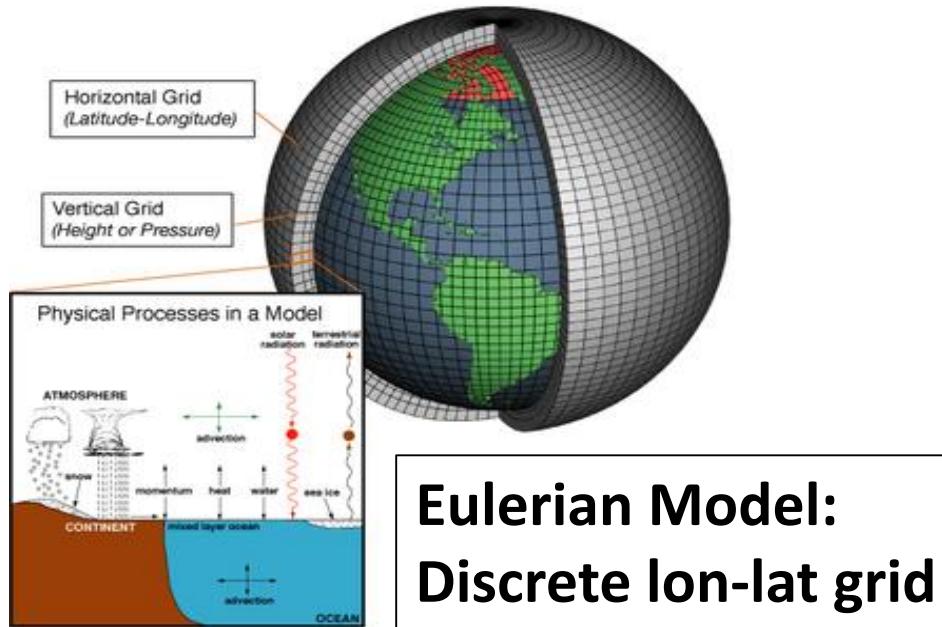
**Five unknowns**

$$\mathbf{V}(u, v), \omega, \Phi, T$$

$J$  and  $\mathbf{F}$  need to be parameterized

# Atmospheric Chemical Transport Modeling

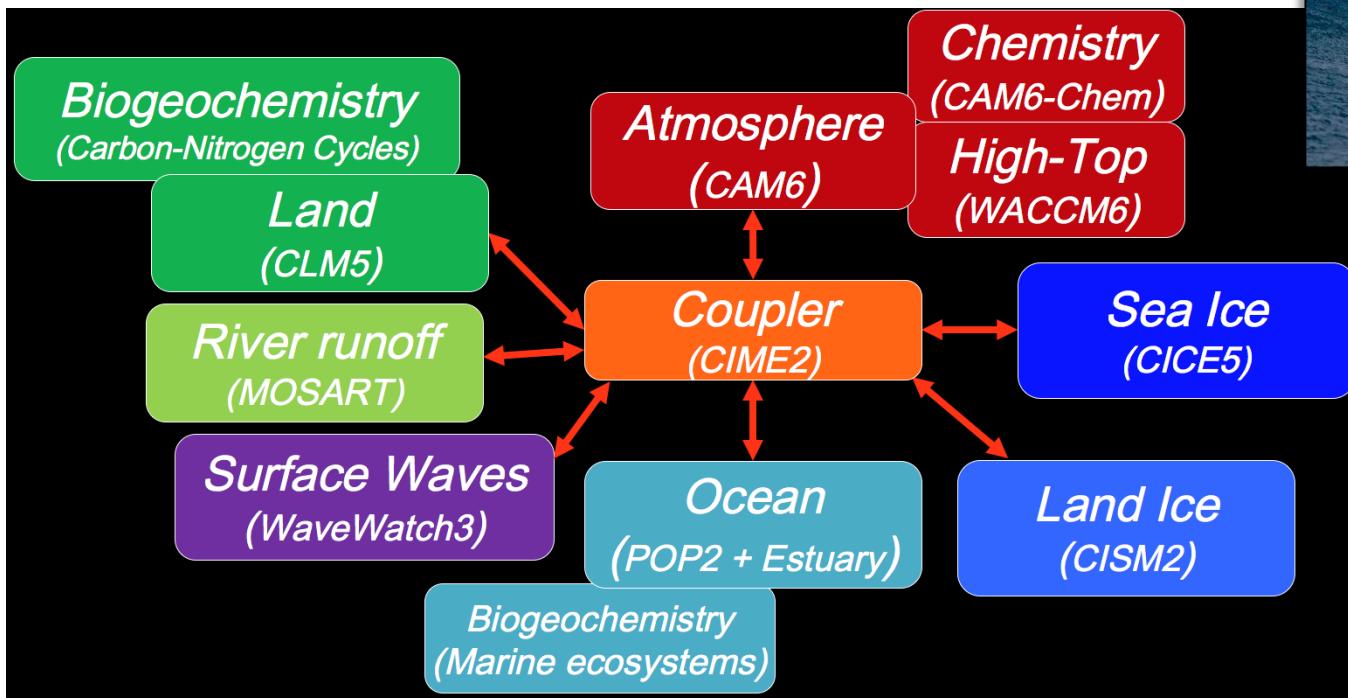
$$\frac{\partial C}{\partial t} = \frac{\text{Emis}}{\text{Grid-resolved}} - \frac{\text{Dep}}{\text{Unresolved}} + \frac{\text{Transport & Mixing}}{\text{Chemistry}} + (P - L)$$



## Atmospheric chemical transport models:

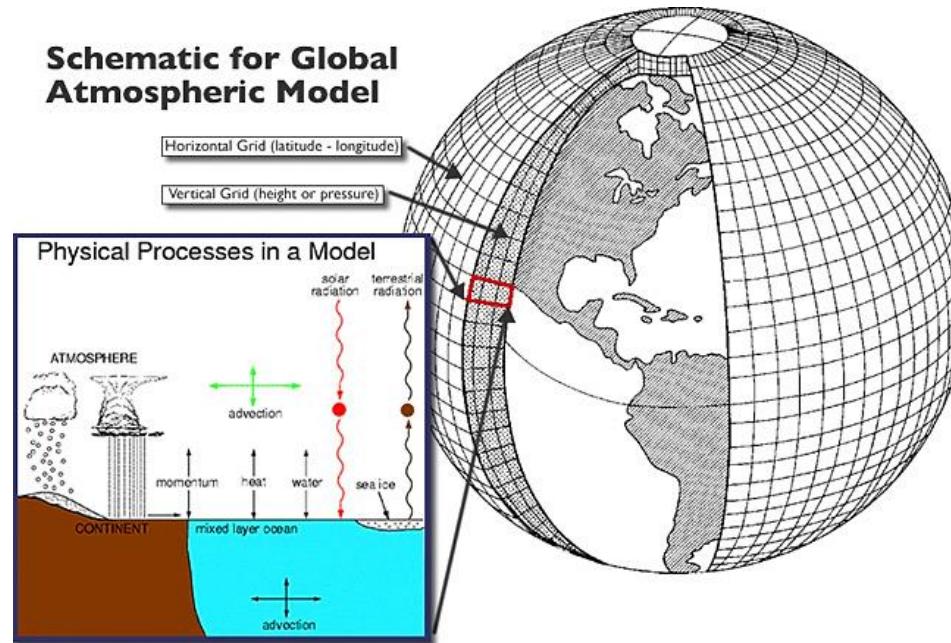
- Simulating spatiotemporal variations of trace species after they or their precursors are emitted into the atmosphere

# Chemistry in Earth System Models



J.-F. Lamarque

# Numerical Modeling of Atmosphere

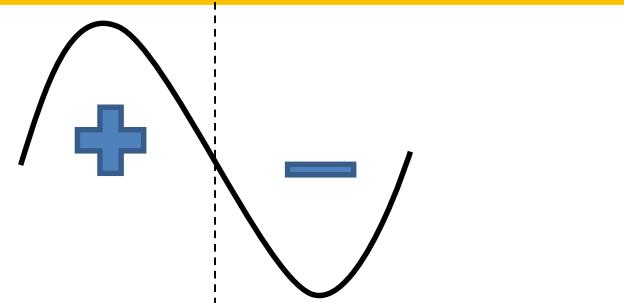


Initial conditions

Governing equations  
Parameterizations  
Numerical dissipation  
Boundary conditions ?

Evolution of atmosphere

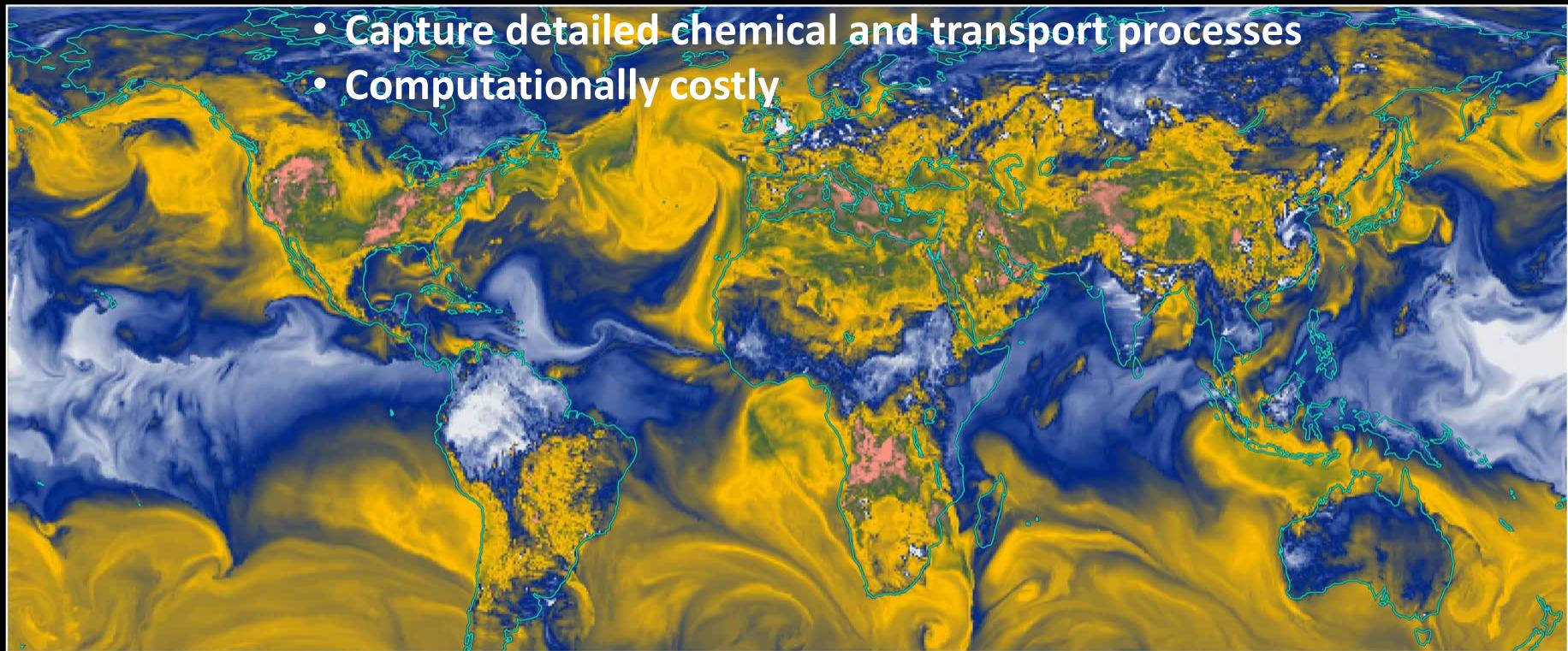
Resolution  
&  
Parameterization



# Global High-Resolution Simulation of Surface Ozone

Surface ozone simulation at 12.5 km x 12.5 km

- Capture detailed chemical and transport processes
- Computationally costly



Fri 10 Aug  
2012

Sat 11 Aug

Sun 12 Aug

Mon 13 Aug

Tue 14 Aug



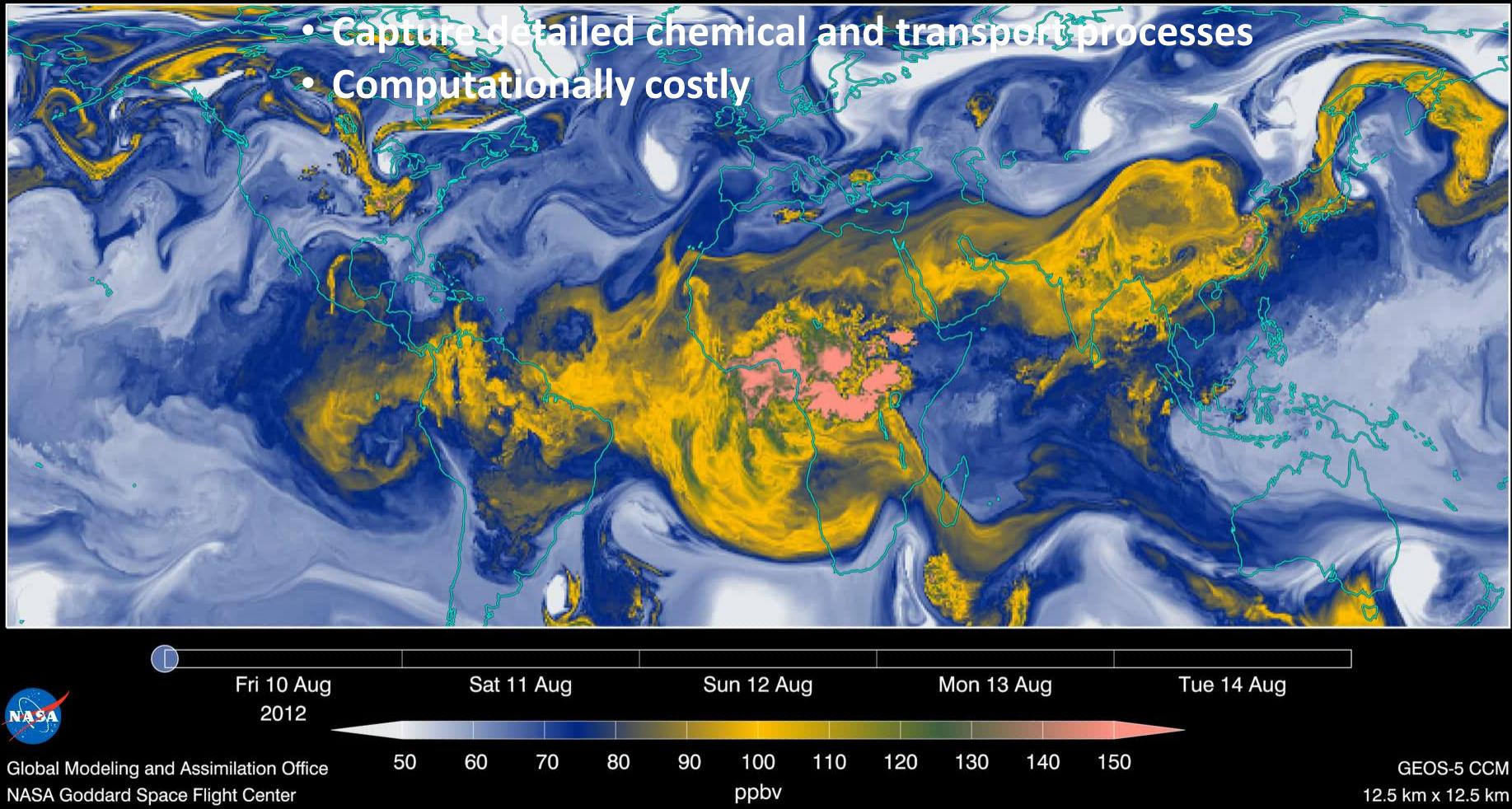
Global Modeling and Assimilation Office  
NASA Goddard Space Flight Center

GEOS-5 CCM  
12.5 km x 12.5 km

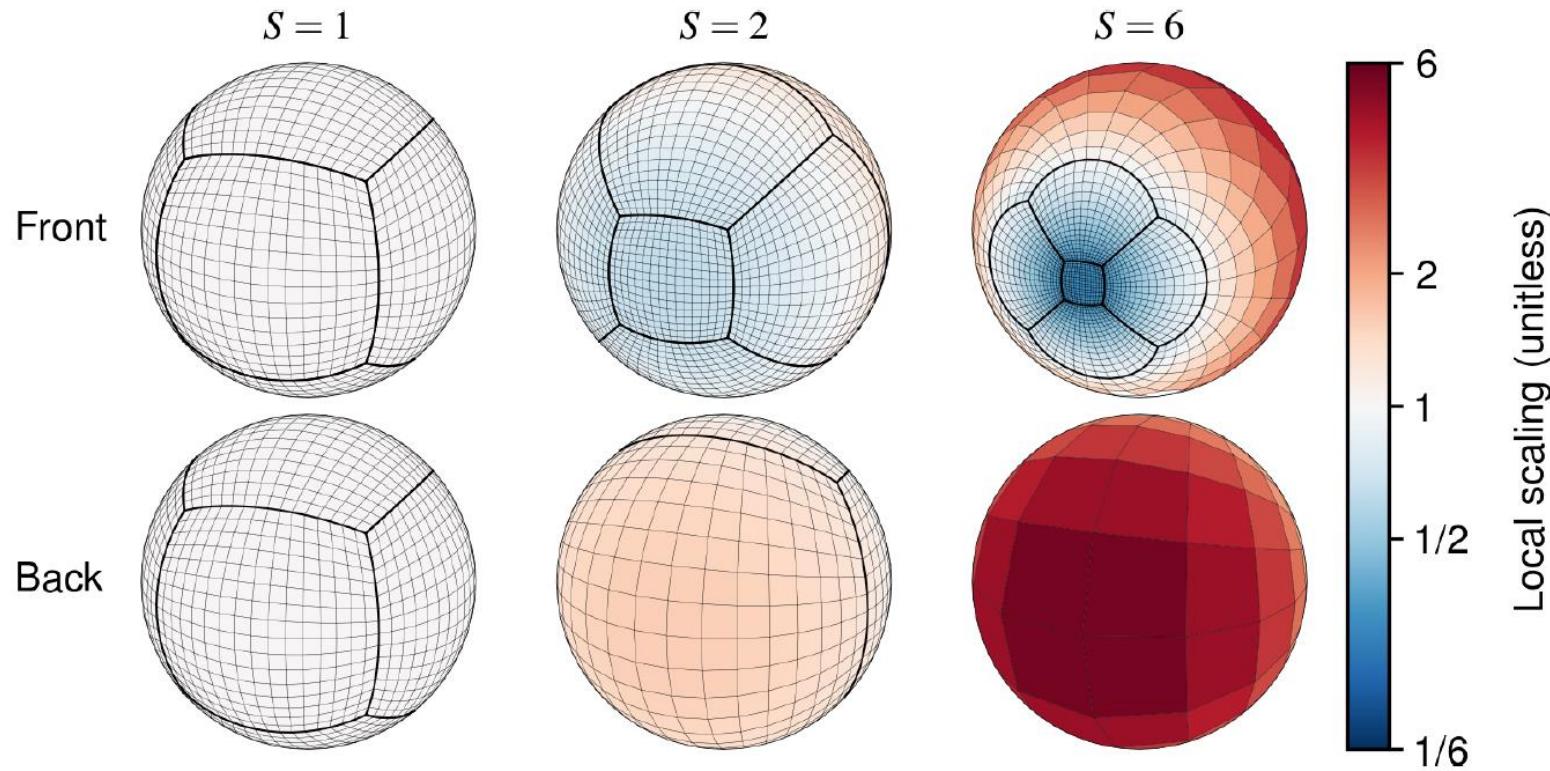
# Global High-Resolution Simulation of Tropospheric CO

250-hPa Carbon Monoxide

- Capture detailed chemical and transport processes
- Computationally costly



# Stretched Grid Modeling for Single Targeted Domain



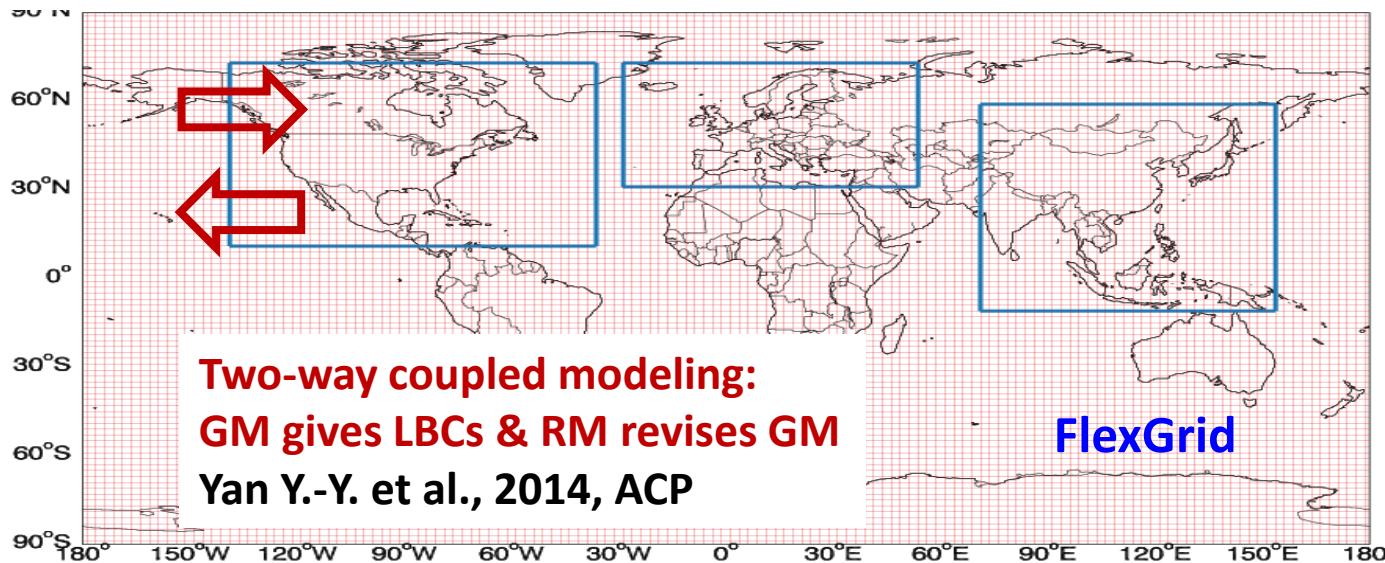
# Global versus Regional Models

## Global Models

- Covers the globe
  - Good for global studies
  - No LBCs are necessary
- Low-resolution ( $\geq 100$  km)
  - Sim. or no small-scale processes
- Example: GEOS-Chem, AM3

## Regional Models

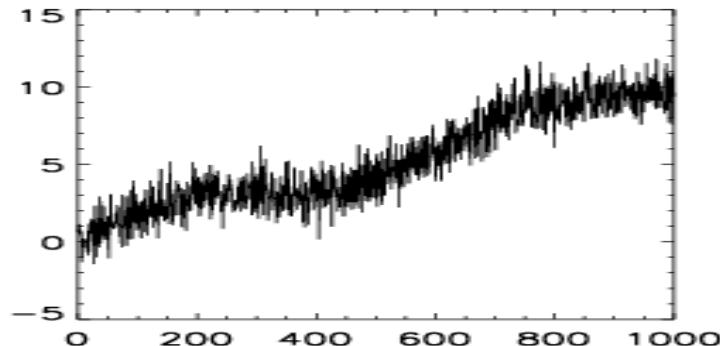
- Covers a region
  - Need LBCs from global models via nesting
- High-resolution ( $\sim 10$  km)
  - Resolve small-scale processes
- Example: CMAQ, WRF-Chem



# Models Often Miss or Misrepresent Small-scale Processes

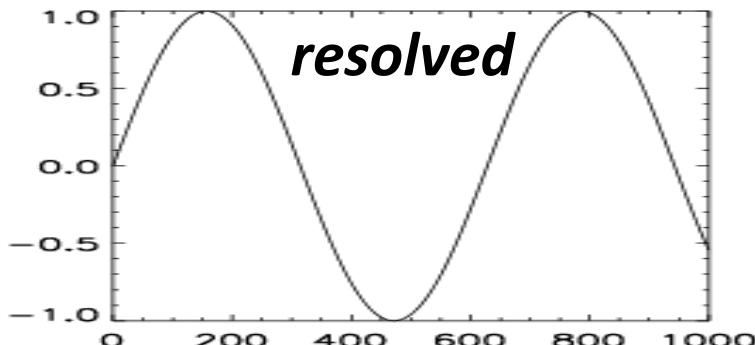
- Atmospheric chemical & physical processes have multiple scales
- Models often **misrepresent** scales smaller than  $2 \times$  grid size
  - Critical, because small-scale processes affect large-scale ones
  - Affect both distribution and total mass of trace species

$$A = B + C + D$$



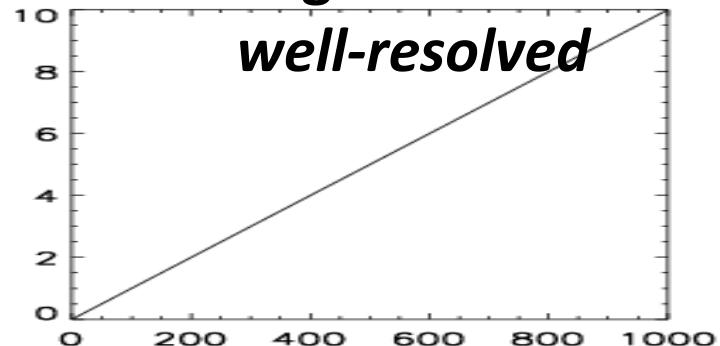
$$C = \text{intermediate}$$

*resolved*



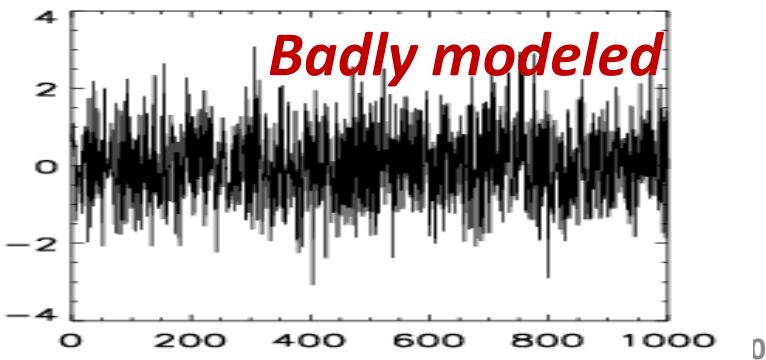
$$B = \text{large-scale}$$

*well-resolved*



$$D = \text{small-scale}$$

*Badly modeled*



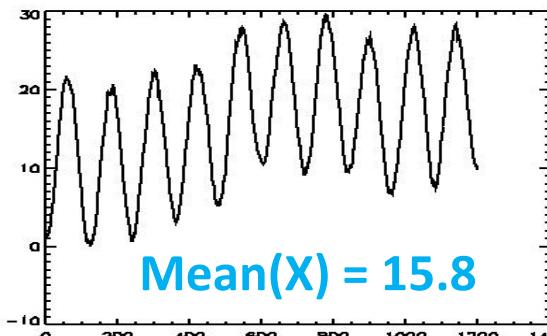
## A Simple Case

# How Small Scales Affect Large Scales

$$X_0 = 1$$

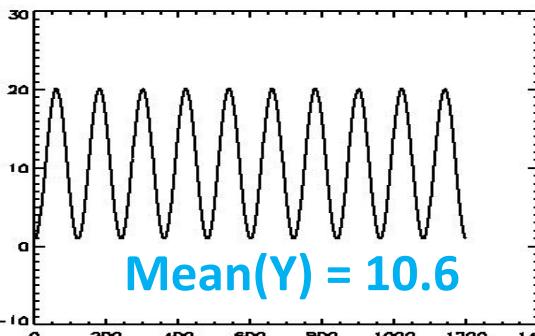
$$X_{t+1} = X_t + 0.5 * \sin(t/P) + X_r$$

$$X_r = N(0,0.2); P = 120$$

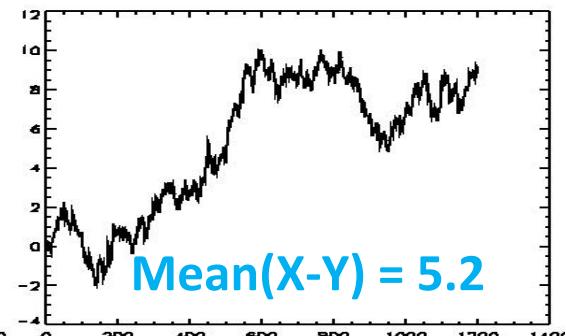


$$Y_0 = 1$$

$$Y_{t+1} = Y_t + 0.5 * \sin(t/P)$$

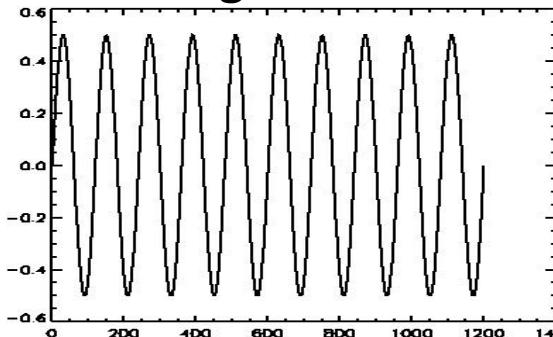


$$X_t - Y_t$$



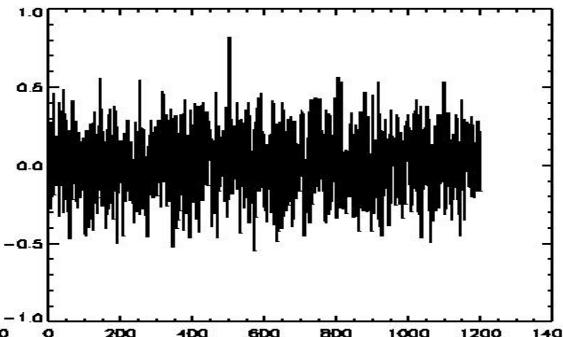
$0.5 * \sin(t/P)$

larger scale



$X_r = N(0,0.2)$

smaller scale



## *Limited by Resolution*

# Models Often Miss or Misrepresent Small-scale Processes

- Un-even terrain
- Small-scale meteorology
- Variability in land use, vegetation, etc.
- Small-scale horizontal & vertical transport
- Small-scale variability in chemistry & emissions

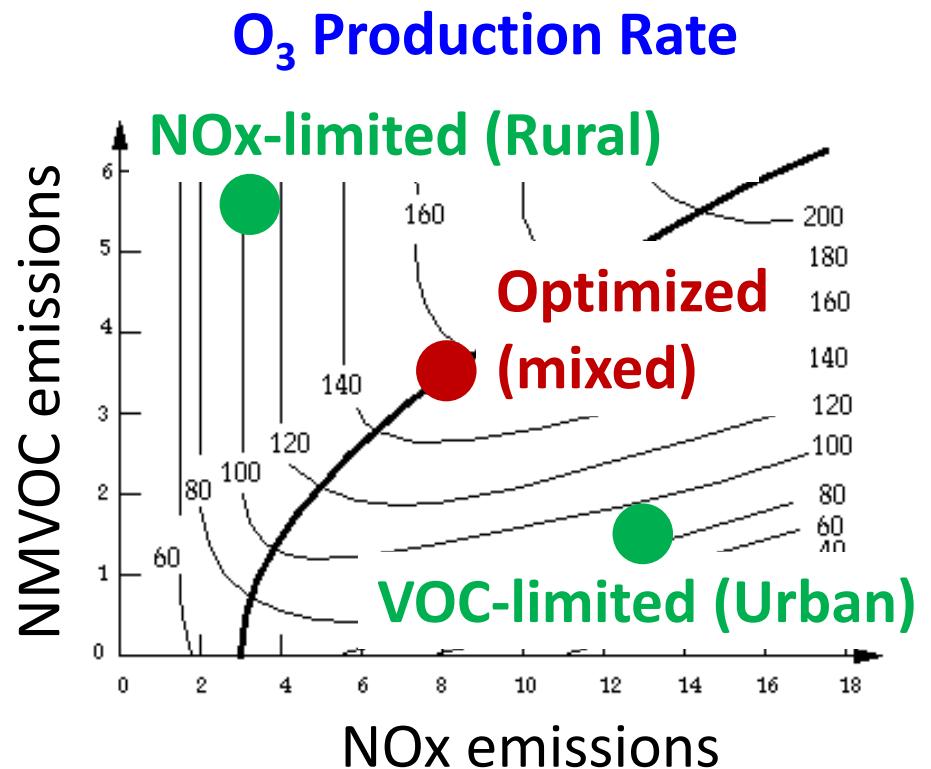
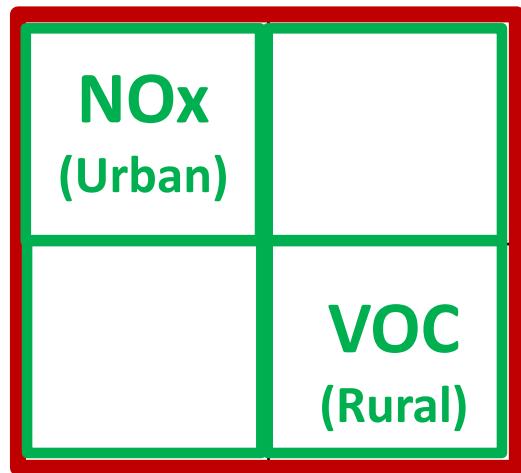
model reality

$$\bar{A} \cdot \bar{B} - \overline{A \cdot B} = -\overline{A' \cdot B'} = -r_{AB} \cdot \sigma_A \cdot \sigma_B$$

- $r_{AB} < 0$ : Model has an overestimation
- $r_{AB} > 0$ : Model has an underestimation

# Coarse Models Tend to Overestimate Ozone Production

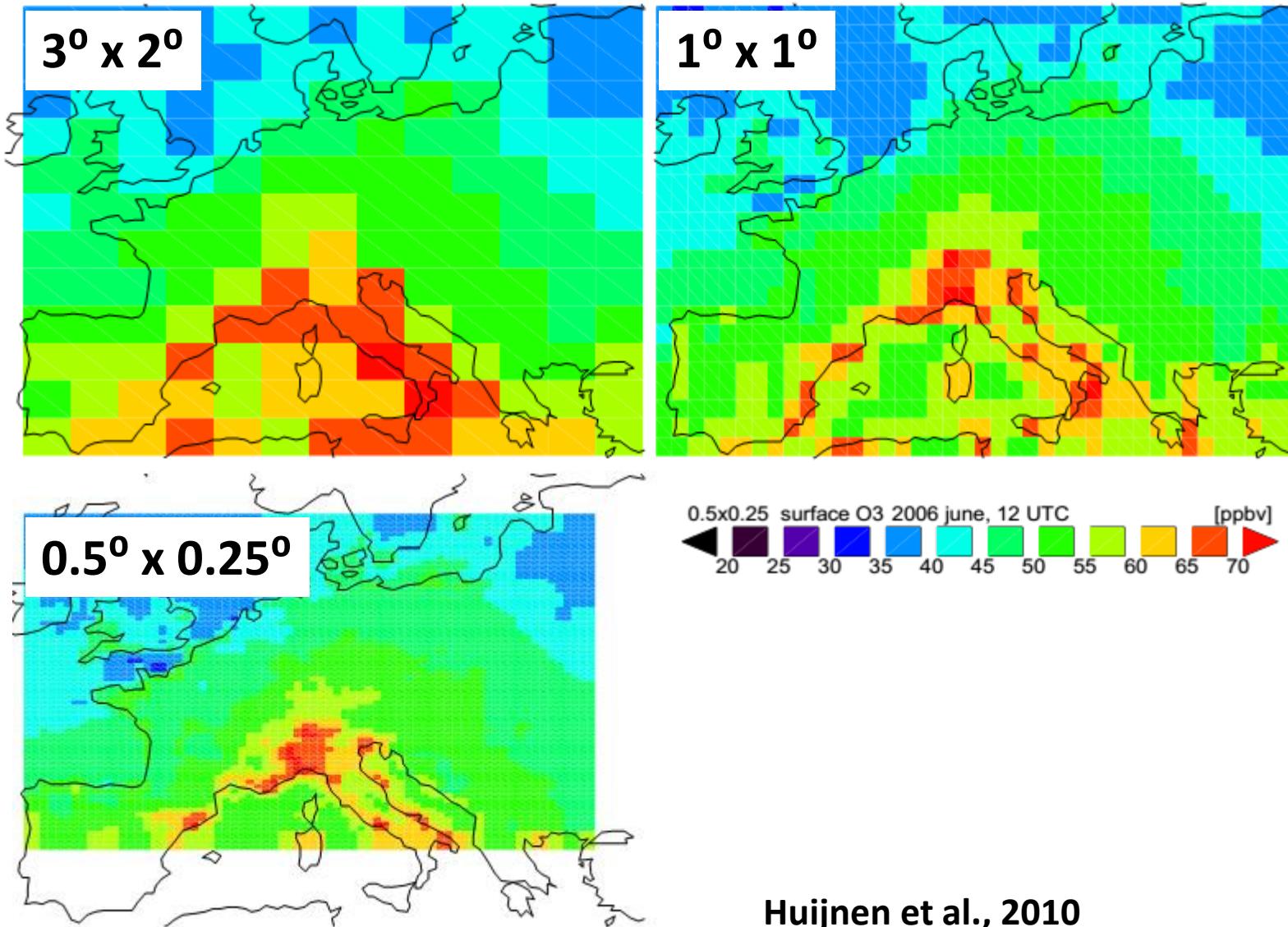
1 coarse gridcell = 4 fine gridcells



Sillman et al., 1990

# Finer Resolution Leads to Less Ozone

Surface Ozone over Europe in June 2006 at 12:00 UTC



# 2-way Coupling Improves Tropospheric Simulation by Reducing Modeled Oxidative Capability

	Global Model	Two-way Model	'Observation'
OH ( $10^5 \text{ cm}^{-3}$ )	11.8	11.2 ( - 5% * )	10.4 – 10.9
O <sub>3</sub> (DU)	34.5	31.5 ( - 8.7% )	$31.1 \pm 3$ (OMI/MLS)
O <sub>3</sub> (Tg)	384	348 ( - 9.5% # )	
NOx (TgN)	0.169	0.176 ( + 4.1% )	
CO (Tg)	359	398 ( + 10.8% & )	
MCF lifetime (yr)	5.58	5.87 ( + 5.2% )	6.0 – 6.3
CH <sub>4</sub> lifetime (yr)	9.63	10.12 ( + 5.1% )	10.2 – 11.2
NMVOC (TgC)	10.1	10.2	

\* Greater than its interannual variability (2.3%)

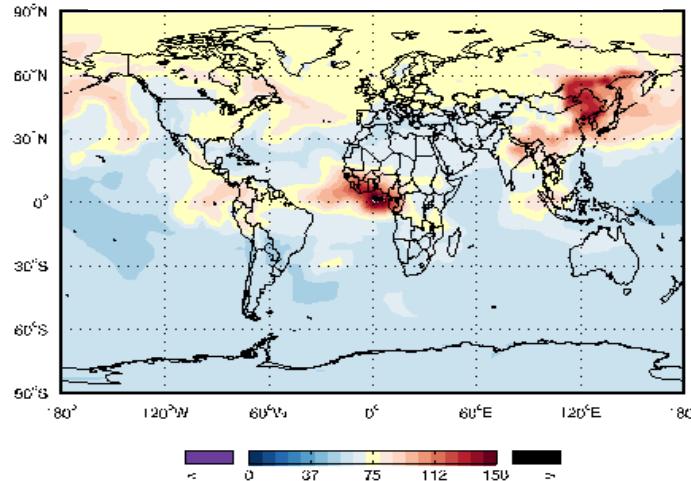
# Greater than the change from 2000 to 2100 under RCP6.0

& Equivalent to a 25% increase in global CO emissions

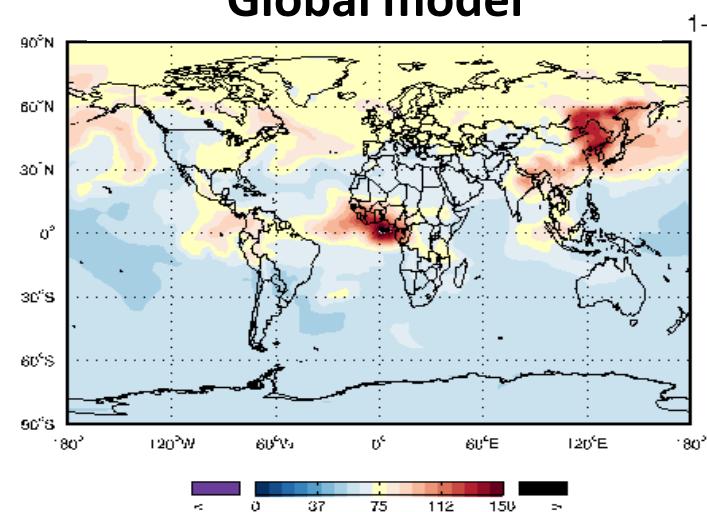
# 2-way Coupling Better Simulates Tropospheric CO

CO at 6.5 km altitude. 2008/07/01 – 2008/08/15

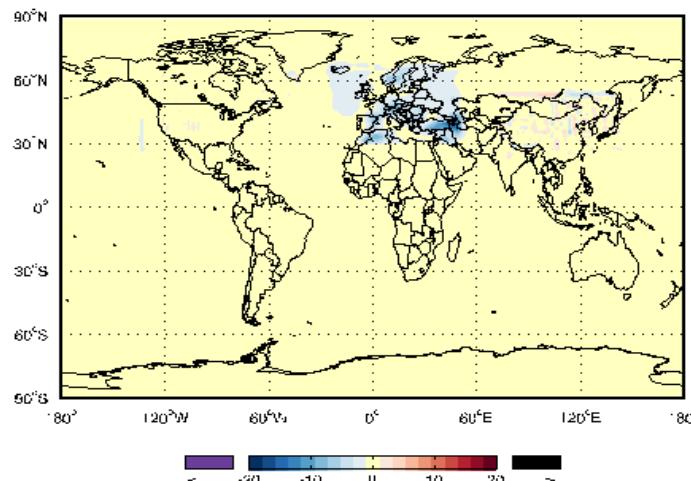
Two-way model



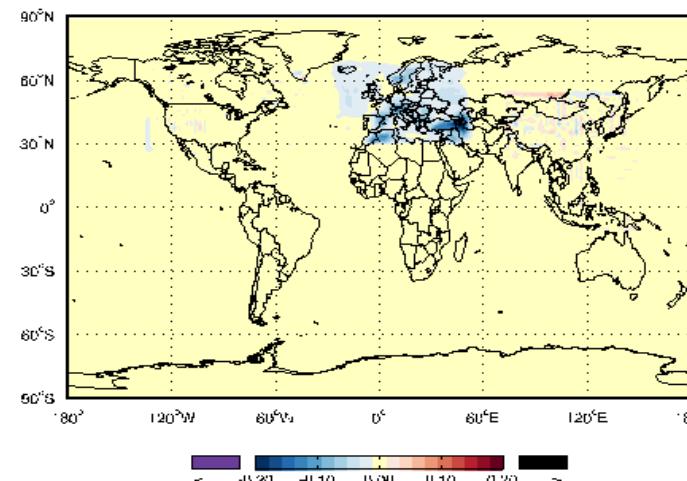
Global model



Two-way minus Global



Relative difference



# Modeling of Atmospheric Transport and Source Attribution

Data-based

- **Traditional observation-based methods (PMF, CMB)**
  - Simplified treatments of chem & transport; short-distance attribution
  - Difficult to represent nonlinear (chemical) processes, or chem-met interactions
- **AI models**
  - Fast and low costs (in prediction); derivatives are easily calculated
  - Lack physical mechanisms and explainability; causality is unclear or lacking

## ✓ **Backward trajectory models**

- Lagrangian approach to track the flow backwards; relatively fast and low cost
- Substantial limitations in chemistry and mixing

## ✓ **Chemical transport models (CTMs)**

- Forward approach with zero-out simulations, tagged approach, etc.
- Account for different processes, but are resource-costly

## ✓ **Assimilation (Adjoint models, EnKF)**

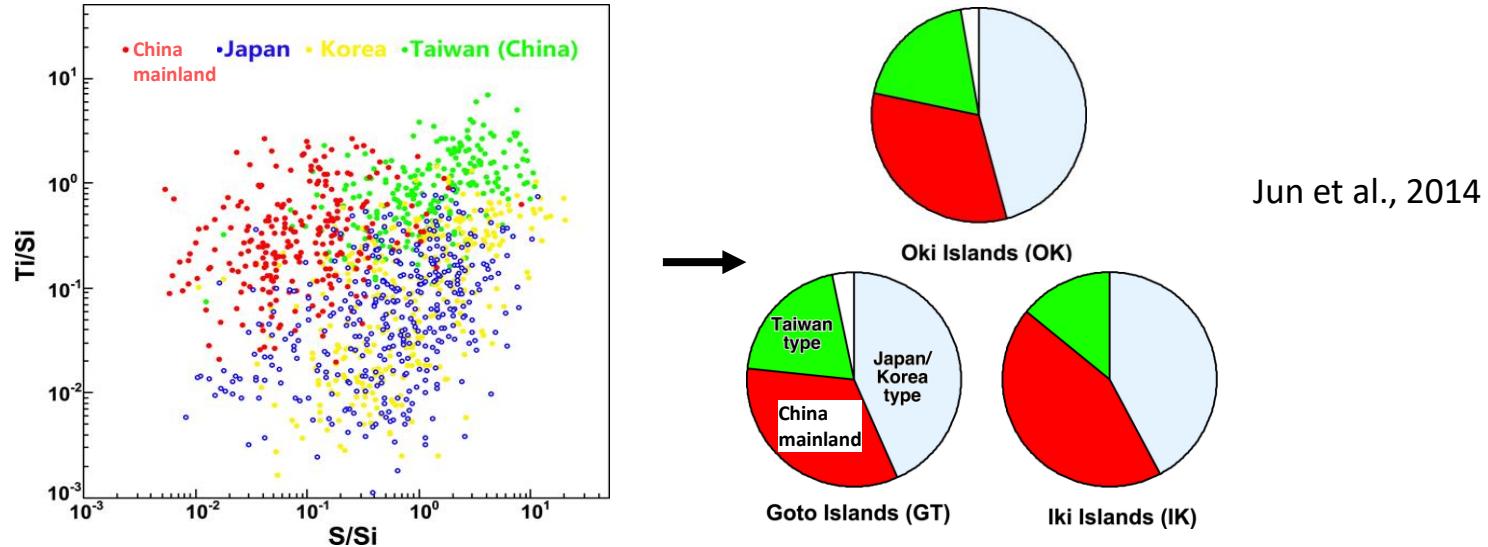
- (Local) linearization of CTMs; powerful for attribution to each LOCATION
- Slowest, and still cannot fully address the nonlinearity issue

## ➤ **Combination of physics-based and data-based methods**

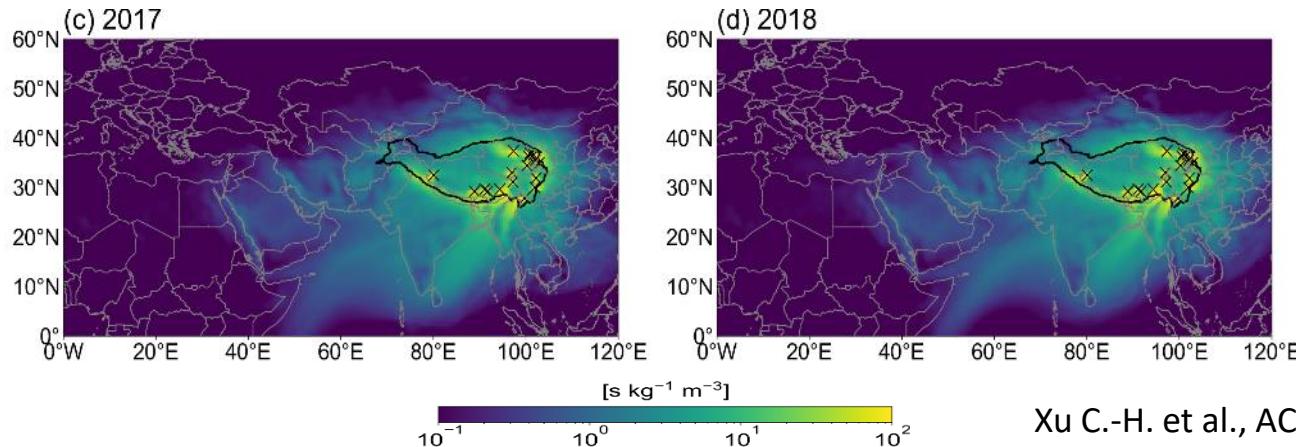
Physics-based

# Observation-based versus Back Trajectory

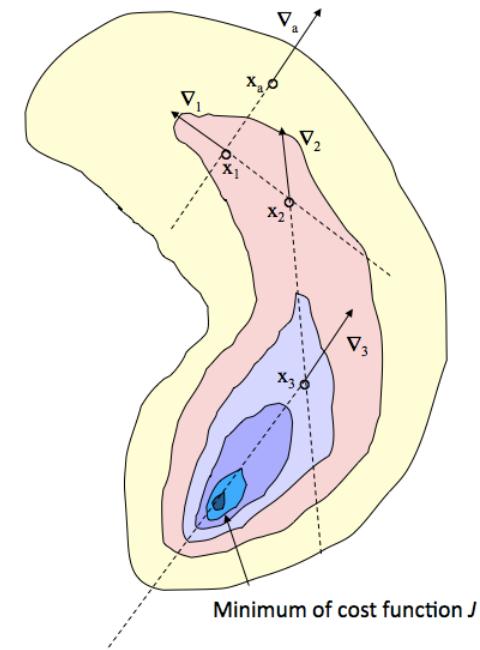
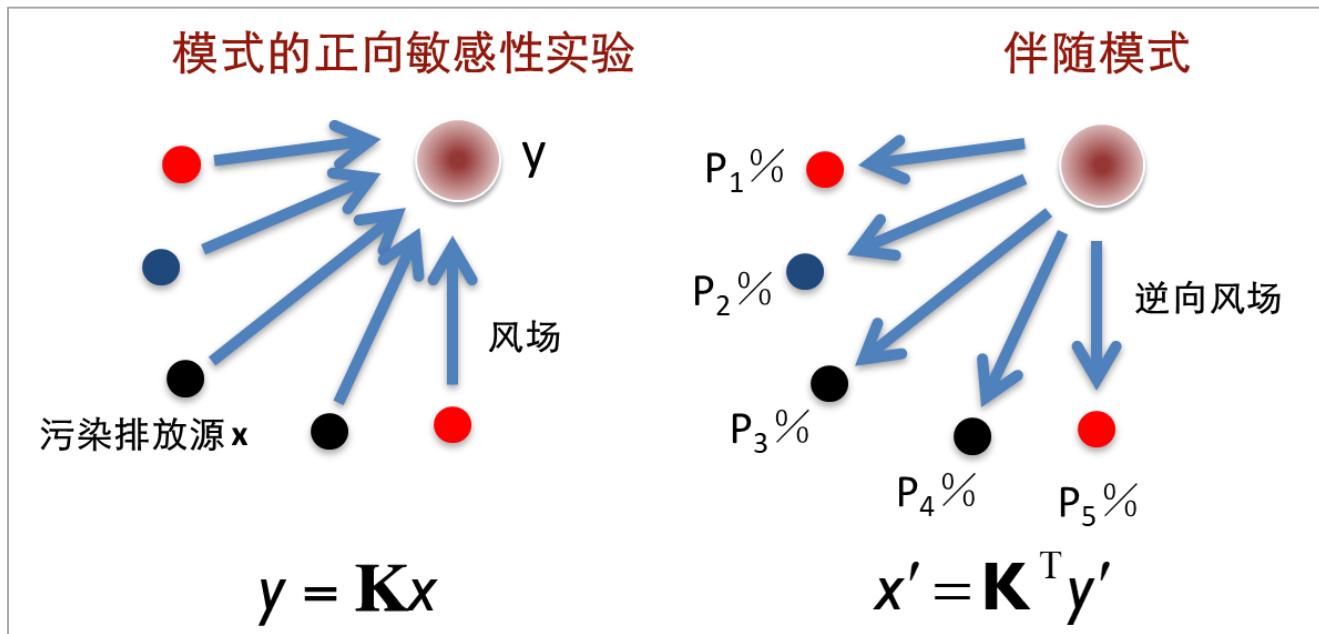
Observation-based attribution of source regions for spheroidal carbonaceous particles



## Back trajectory for source attribution



# Adjoint Modeling

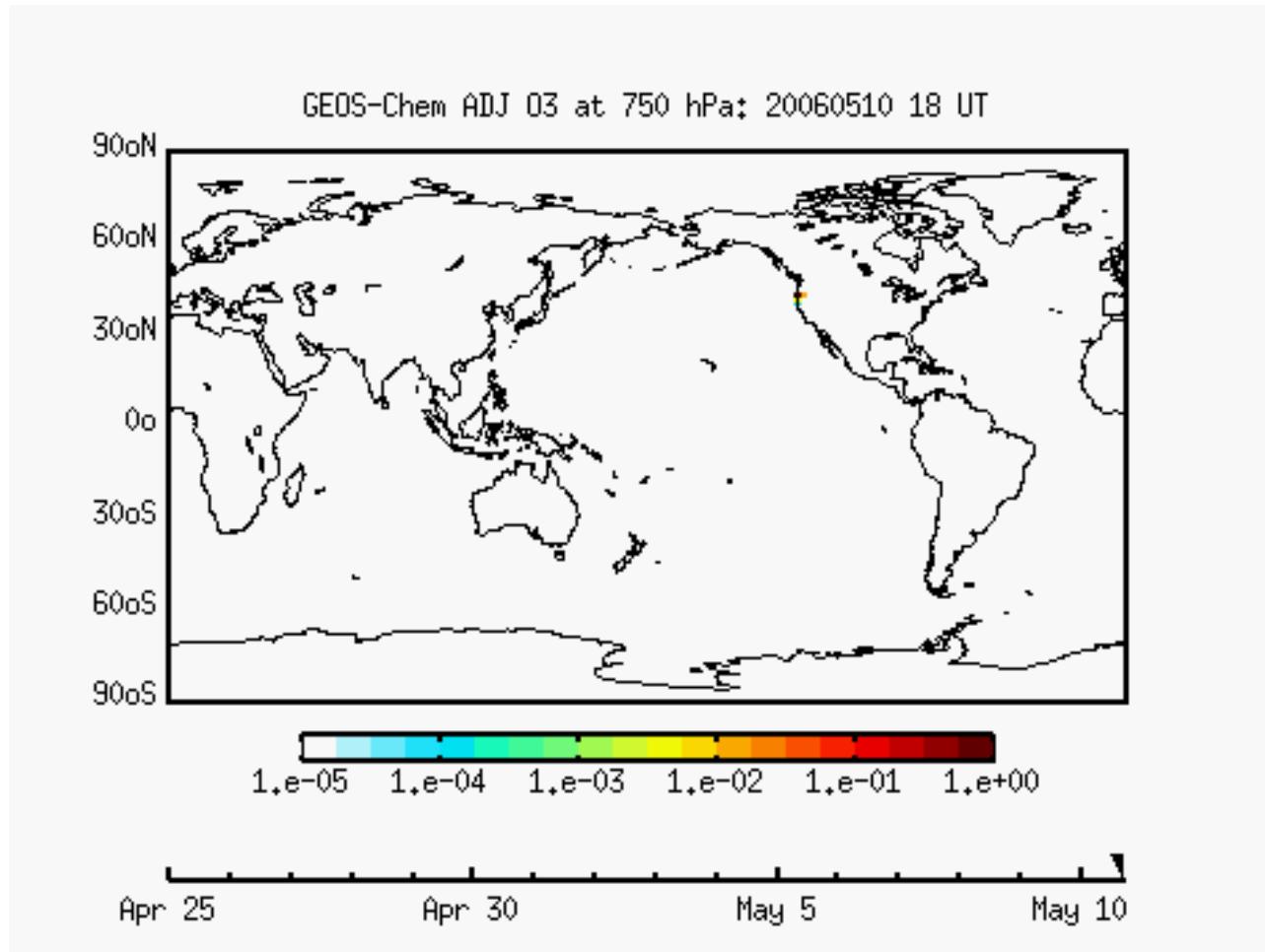


Numerically solve

$$\begin{aligned}\nabla_{\mathbf{x}} J(\mathbf{x}) &= 2\mathbf{S}_a^{-1}(\mathbf{x} - \mathbf{x}_a) + 2\mathbf{K}^T \mathbf{S}_e^{-1}(\mathbf{Kx} - \mathbf{y}) \\ &= 0\end{aligned}$$

# GEOS-Chem Adjoint

Sensitivity of ozone concentration at Mt Bachelor on May 10, 2006 at 18 UT to ozone fields at earlier time steps (April 25 - May 10, 2006)



# Ensemble Kalman Filter (EnKF)

建立扰动参数集合:

$$X^b = x^b - \bar{x}^b$$



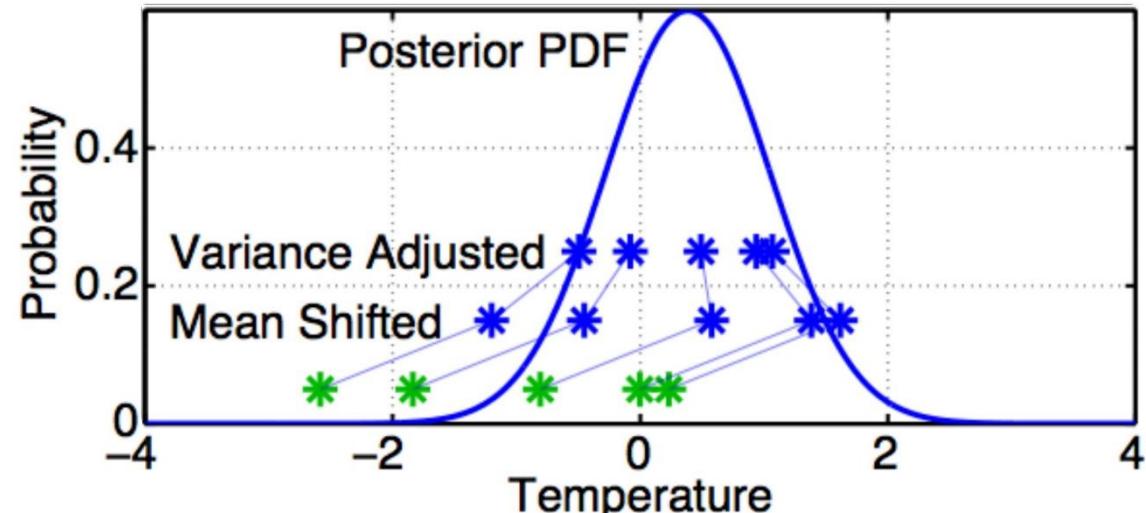
转换至观测空间:

$$y^b = H(x^b); Y^b = y^b - \bar{y}^b$$

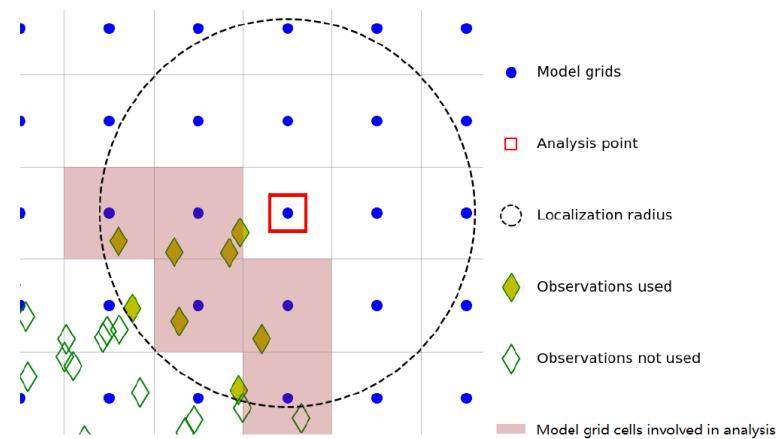


生成分析值:

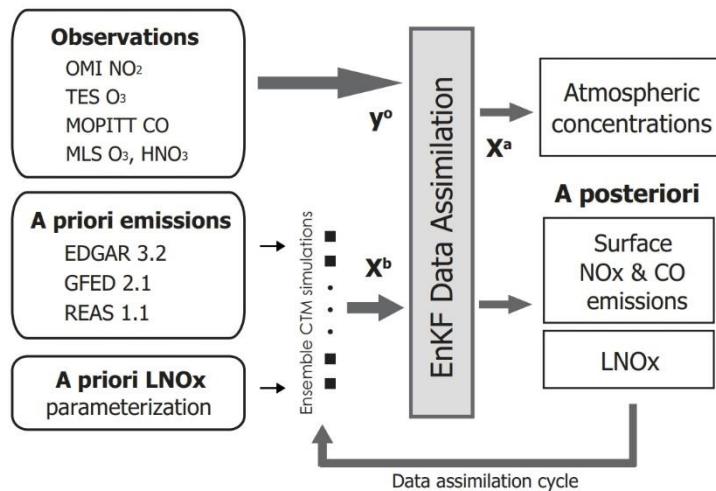
$$\bar{x}^a = \bar{x}^b + X^b P(Y^b)^T R^{-1}(y^o - \bar{y}^b)$$



## 局域化处理 (LETKF)

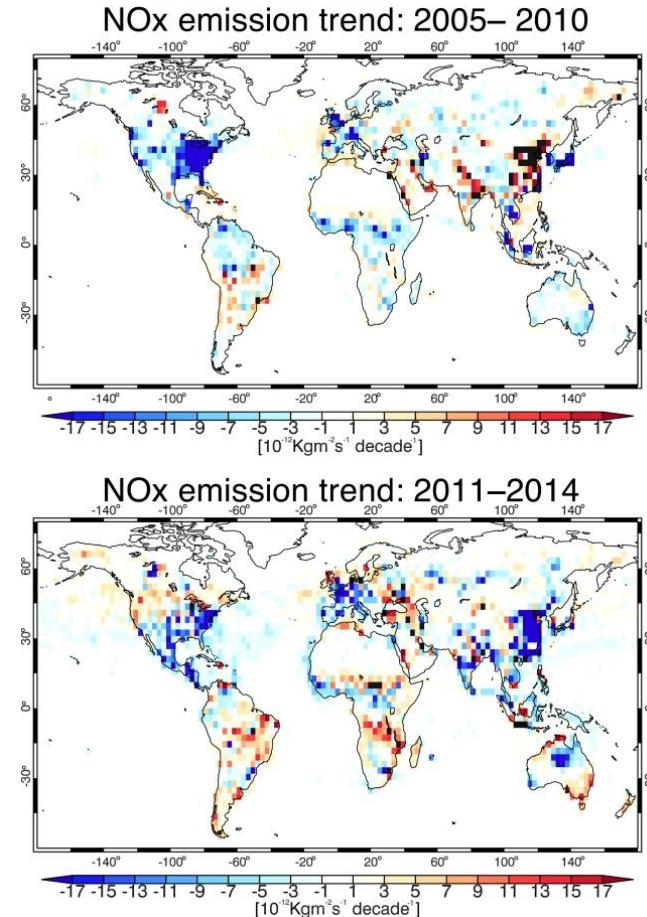


# EnKF for NOx Emission Inversion



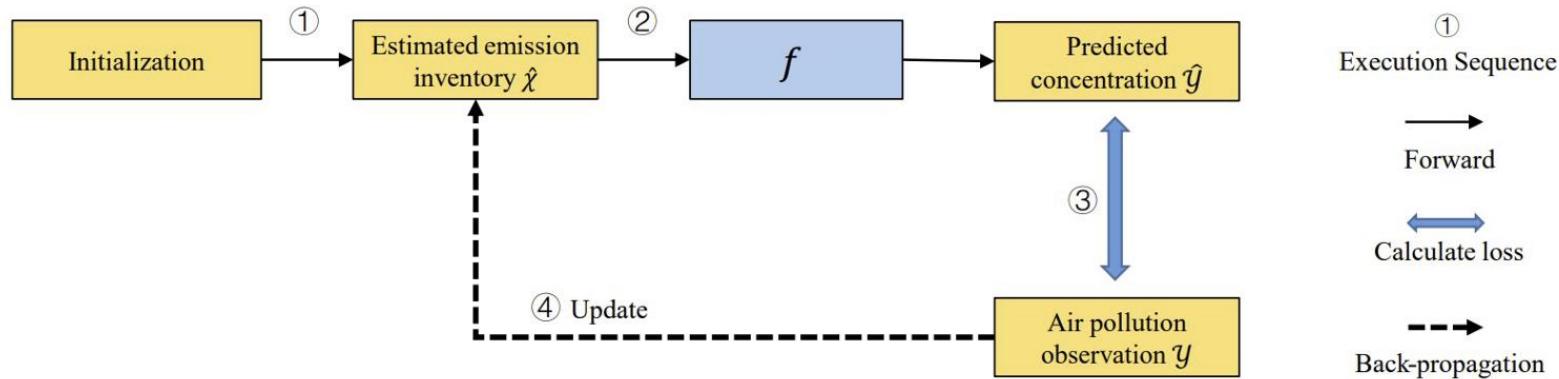
Miyazaki et al., ACP, 2012

- # of ensemble members: 32
- Localization distance: 450km

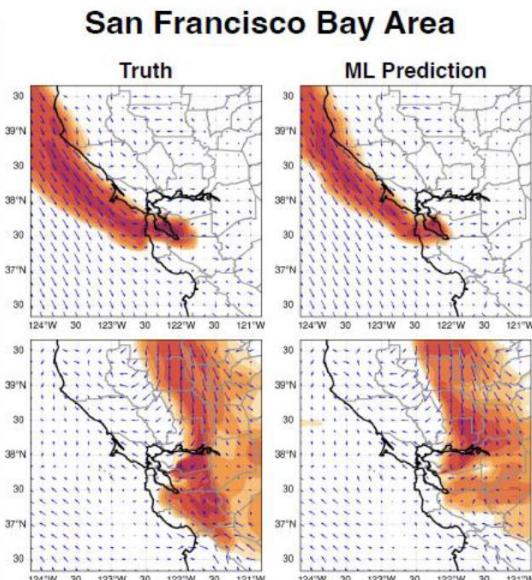


Miyazaki et al., ACP, 2017

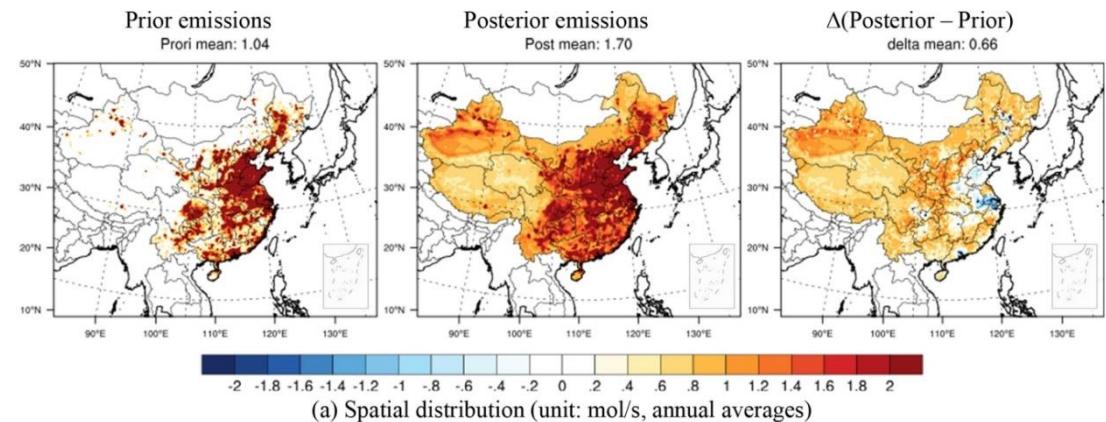
# Physics-Guided Artificial Intelligence for Emission Inversion



**Trained by trajectory models**  
He et al., GMD, 2025



**Trained by CTMs**  
Xing et al., EST, 2022



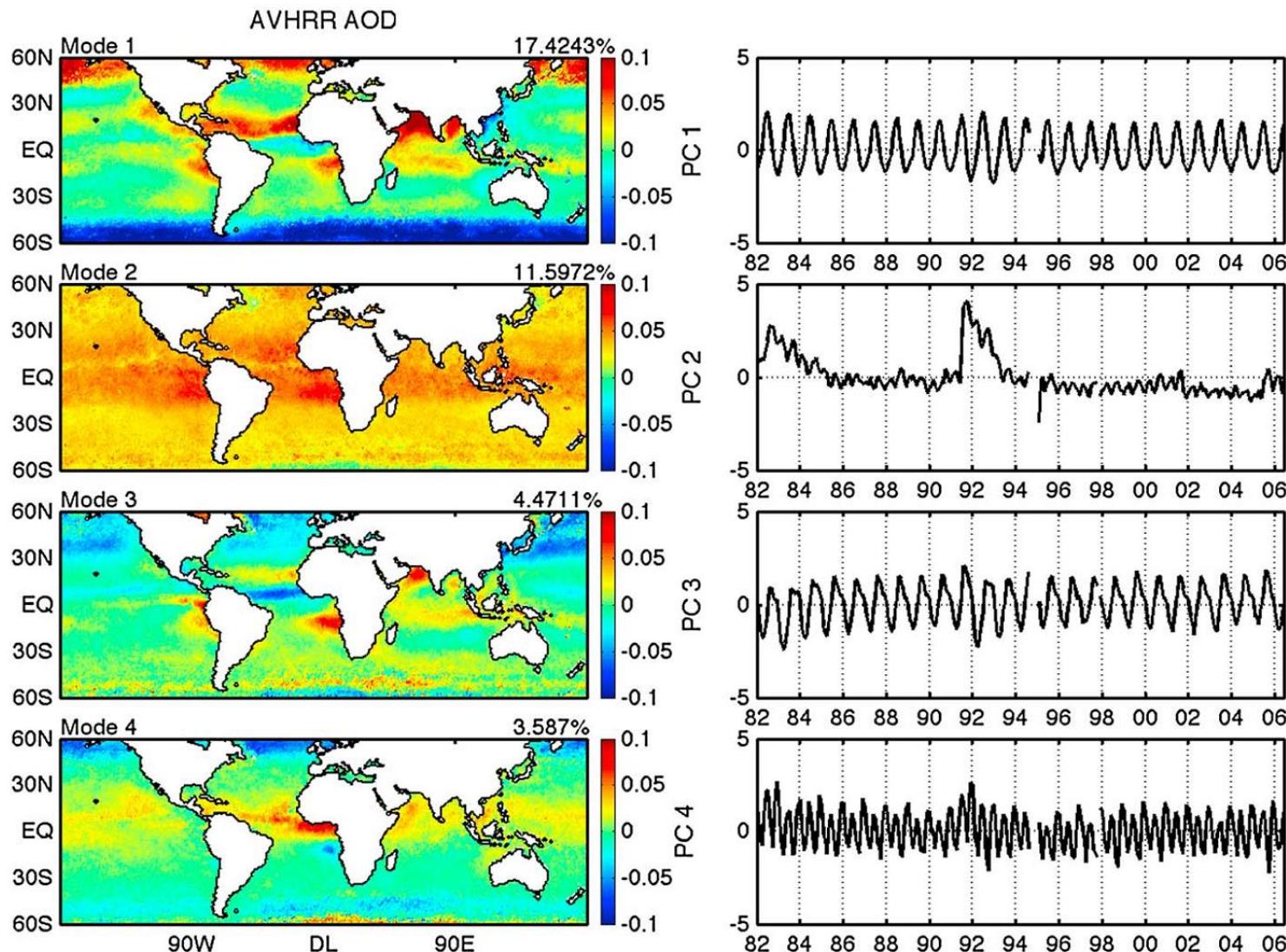
# Quiz

- What are the challenges of measurements in China? How can we conquer these challenges? What should be the priority?
- Can pollution measurements also benefit studies of greenhouse gases? If so, how?
- To what extent can we retrieve vertical profiles of NO<sub>2</sub> based on satellite remote sensing? What are the bottleneck in theory and technology?
- Can we retrieve multiple species from satellite remote sensing using a (single) generalized algorithm? Why?
- For a horizontal resolution of 200 km, what is the smallest scale a model can resolve? Discuss wrt chemistry and dynamics (e.g., winds), individually.

# Global Monitoring Division Network



# AVHRR AOD @ 550 nm: 1982-2006



# AOD @ 380 nm from Nimbus-7 TOMS: 1979-1991

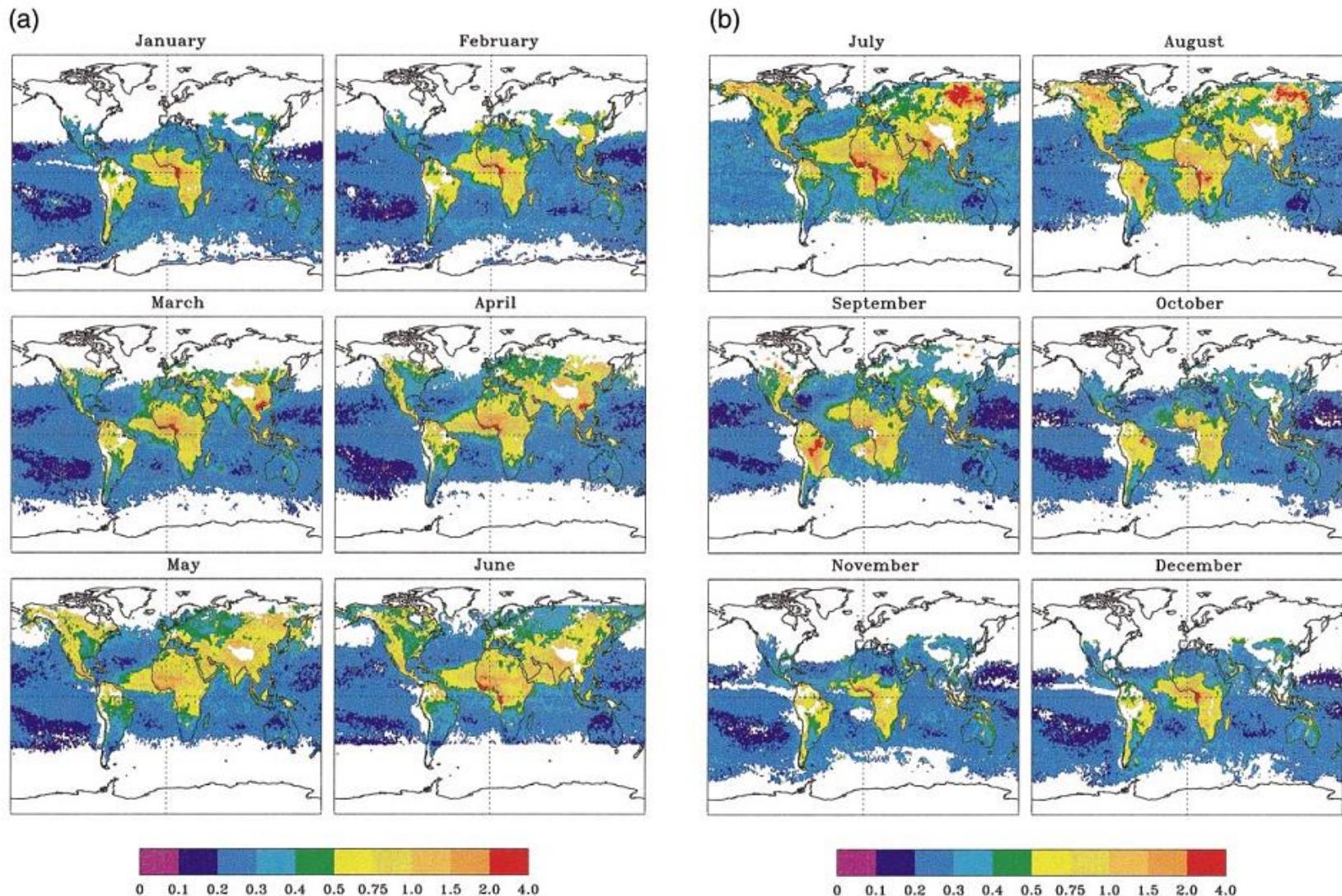
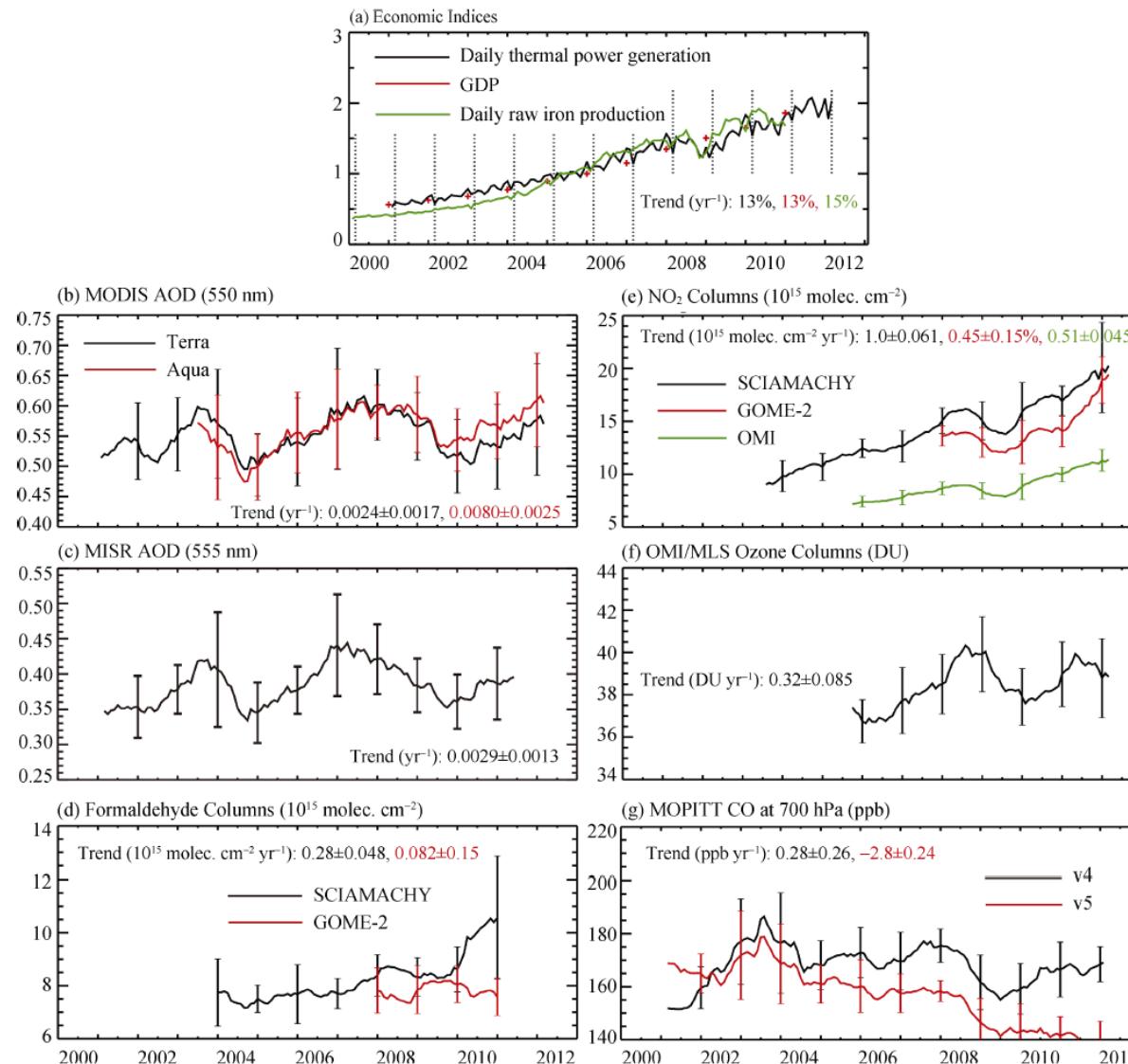
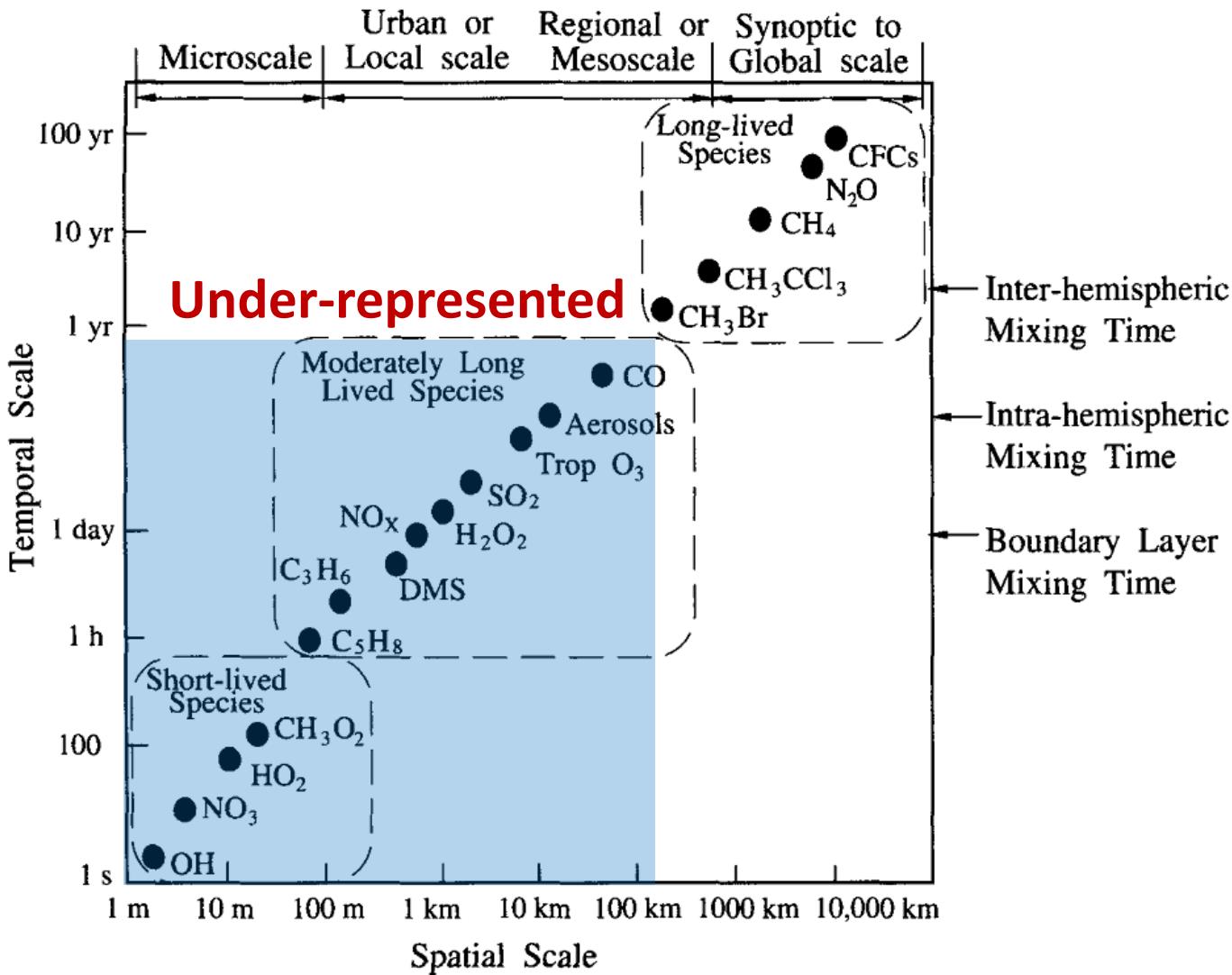


FIG. 4. Long-term (1979–91) global monthly average aerosol optical depth derived from *Nimbus-7-TOMS* observations.

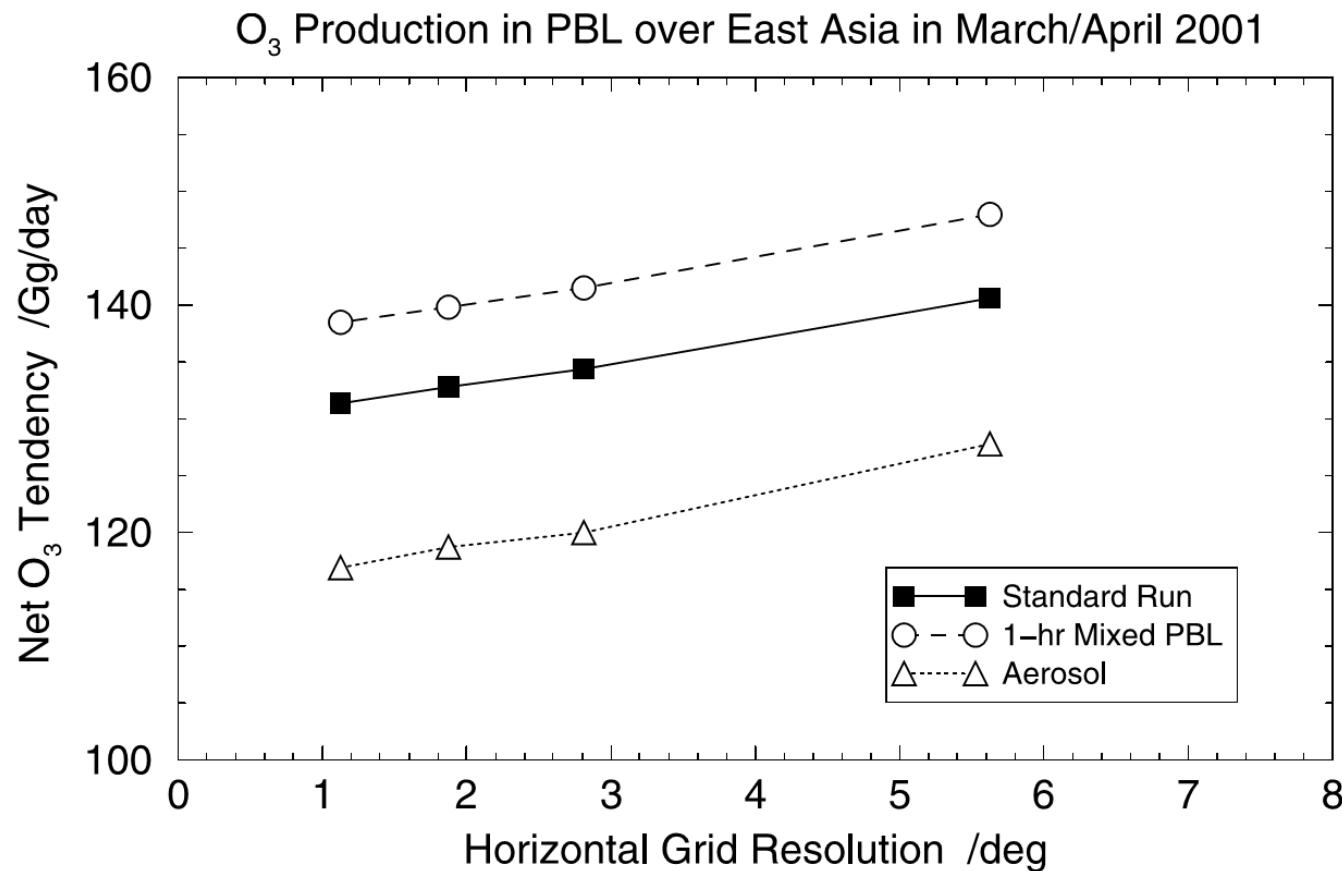
# Satellite Observed Pollution Trends and Variability over China during 2000-2012



# Coarse Models Under-represent Small Scales

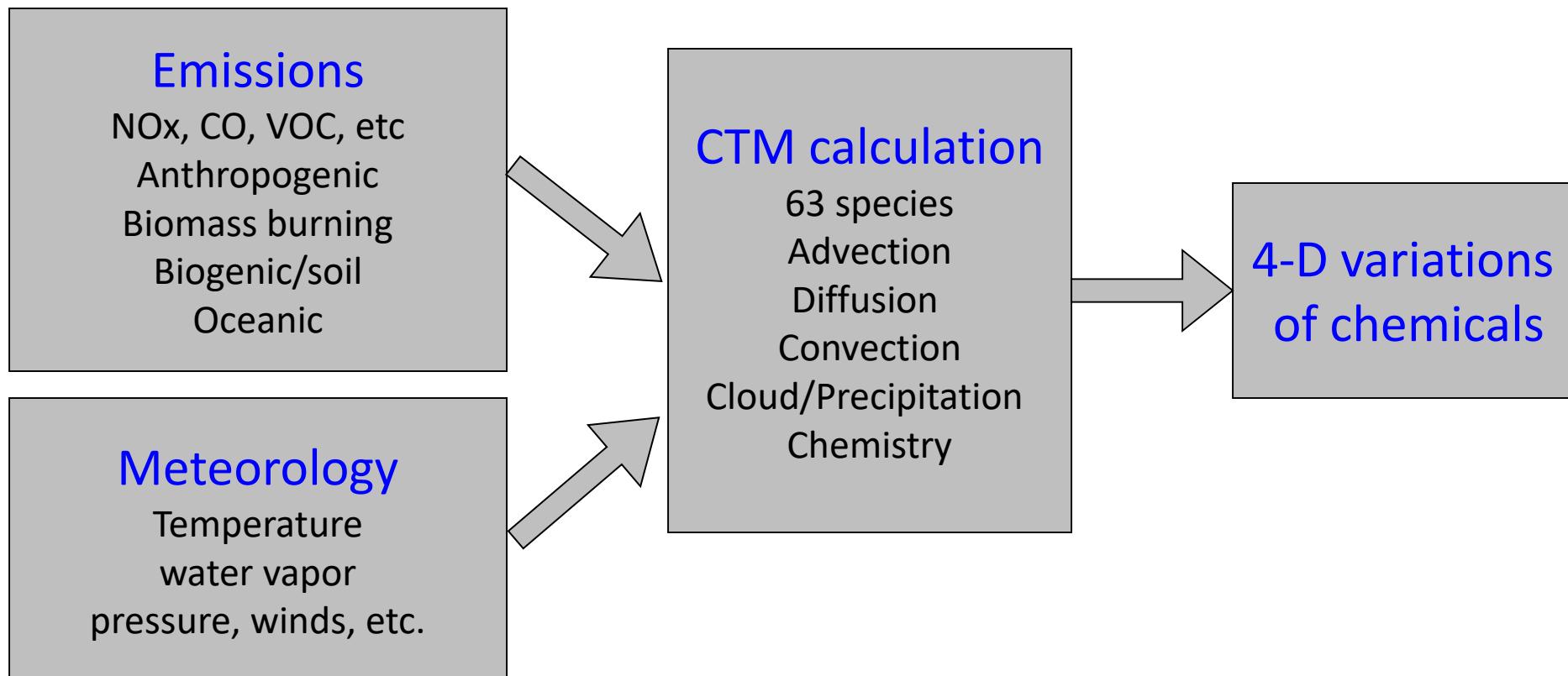


# Resolution-dependent Net Ozone Production

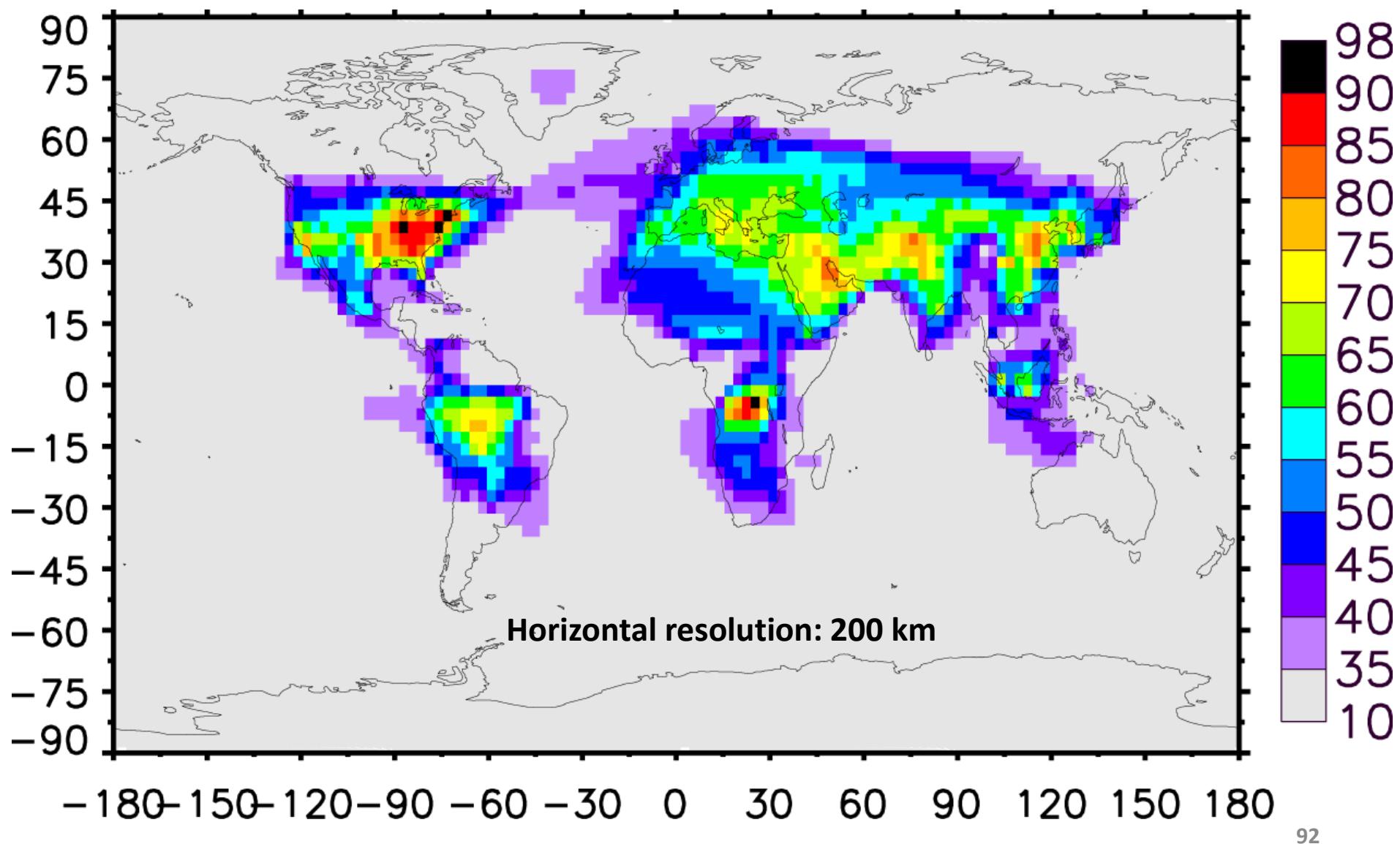


Wild an Prather, 2006

# Atmospheric Chemical Transport Model



# MOZART-Simulated Near-Surface Ozone in 1990s JJA



# From Radiance (L1b) to Tropospheric NO<sub>2</sub> VCD (L2)

$$VCD_{trop} = \frac{SCD - SCD_{strat}}{AMF_{trop}}$$

S1: Total slant column density (SCD)

S2: Tropospheric SCD = SCD – SCD<sub>strat</sub>

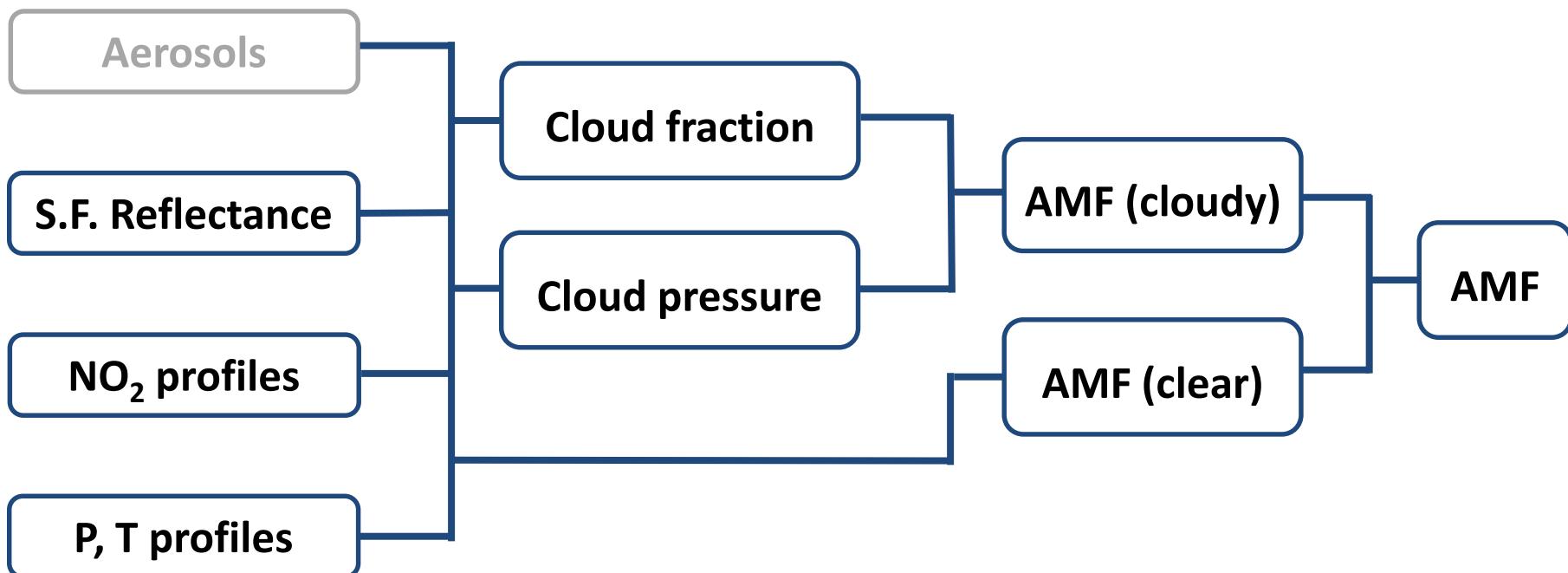
S3: Tropospheric air mass factor (AMF<sub>trop</sub>)

For polluted cases:

Error = ~5%

Error = ~5-10%

Error = **~30-60%**



$$M = wM_{cld} + (1 - w)M_{clr} \quad w = \frac{f_{cld}I_{cld}}{R} = \frac{f_{cld}I_{cld}}{f_{cld}I_{cld} + (1 - f_{cld})I_{clr}}$$

# 2-way Model Better Simulates Surface O<sub>3</sub>

Comparisons with AQS and EMEP observations:

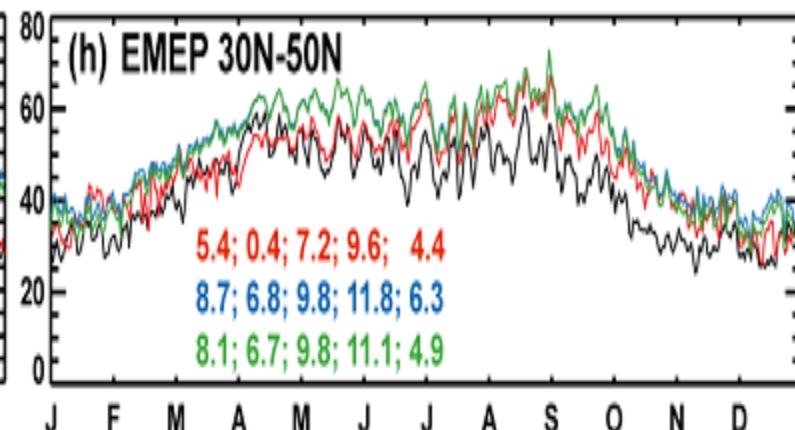
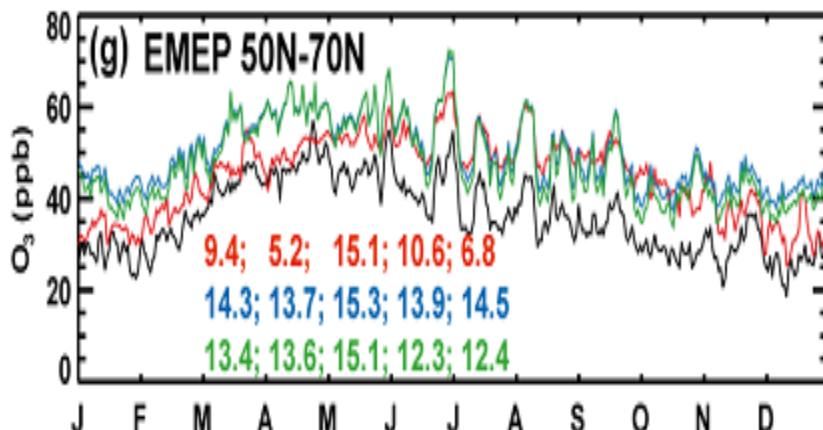
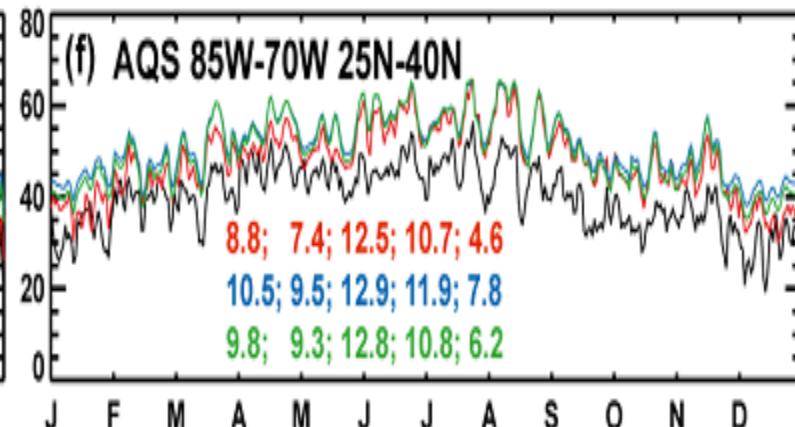
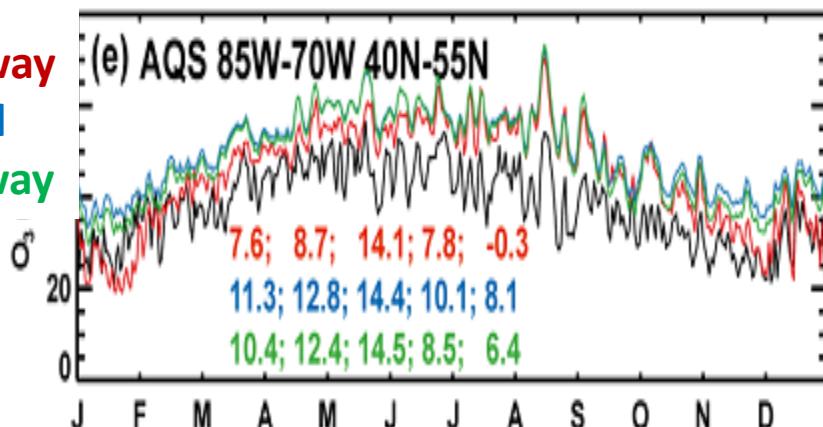
- Improvement is most significant in cold season
- Improvement from 1-way to 2-way is 1-7 times that from global to 1-way

Obs.

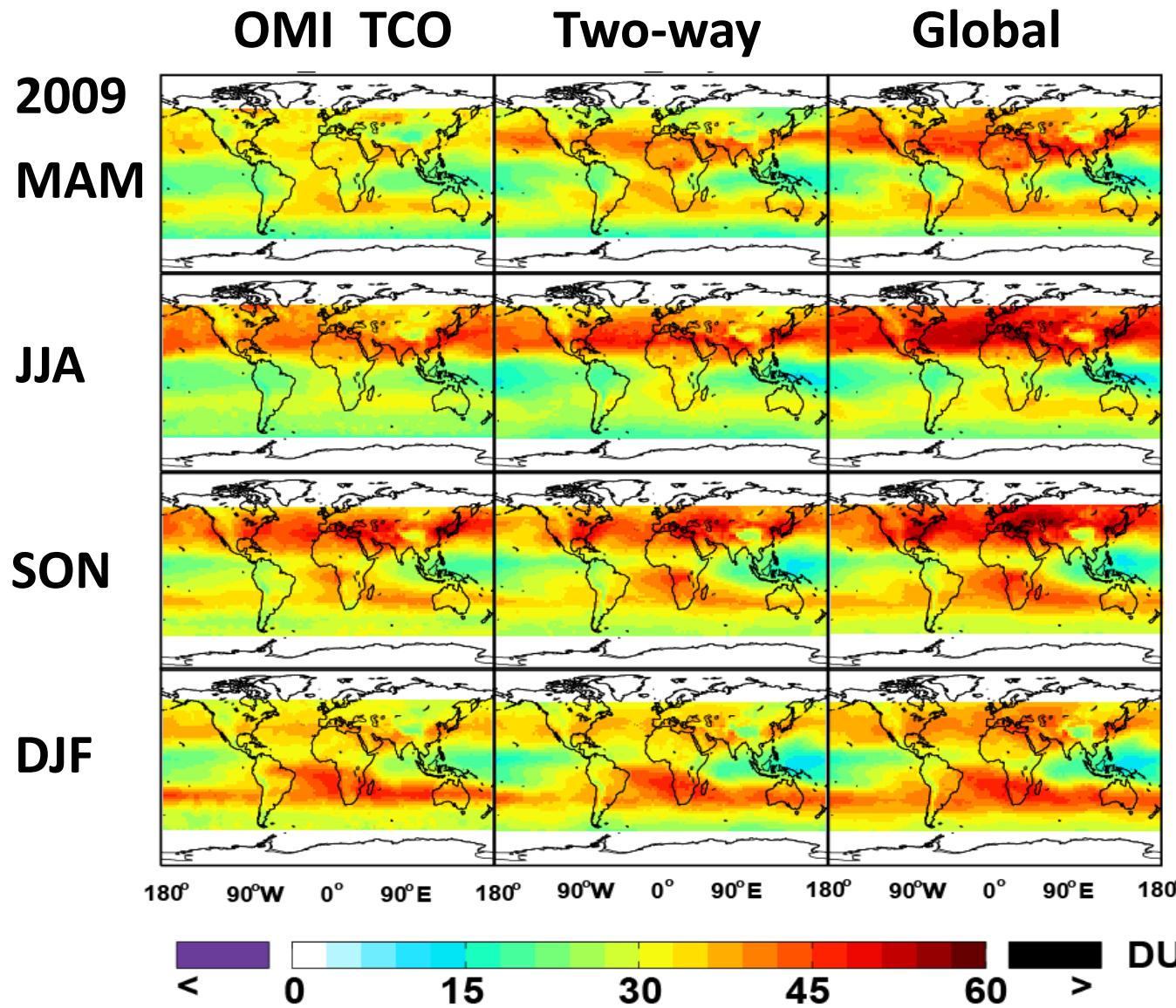
Two-way

Global

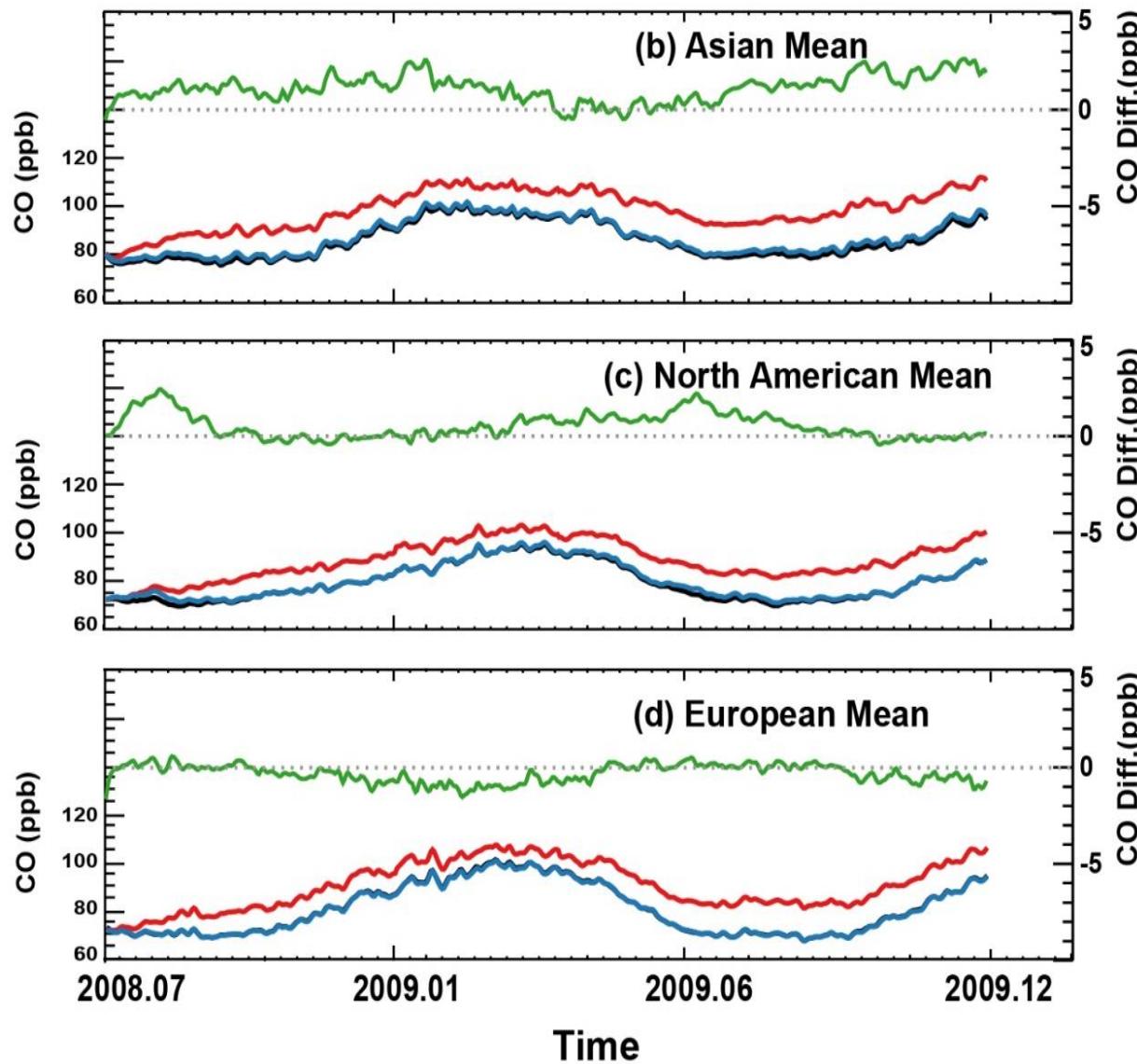
One-way



# 2-way Model Better Simulates Tropospheric O<sub>3</sub>



# 2-way Coupling Better Simulates Tropospheric CO



Global model

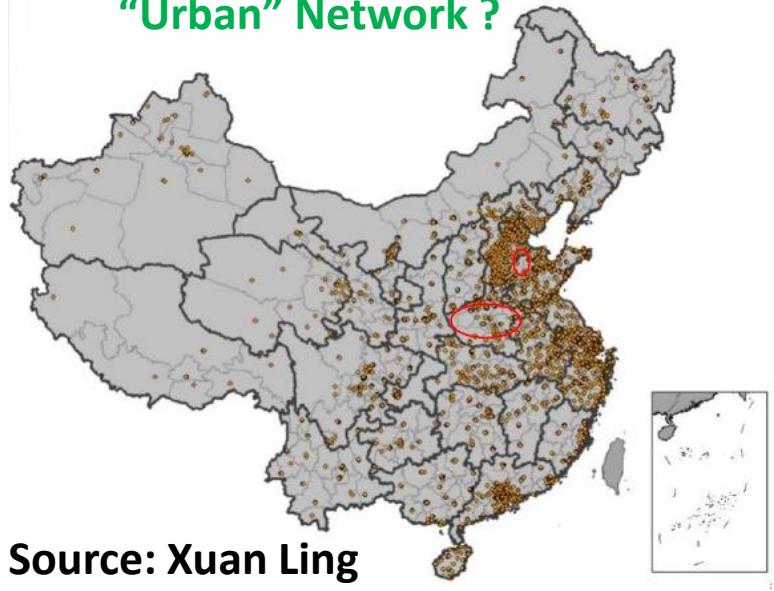
Two-way coupled model

One-way nested model

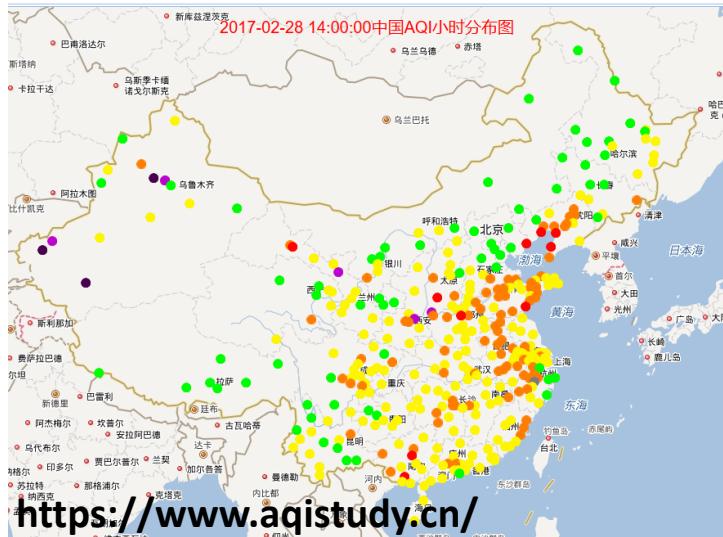
One-way nested model minus Global model

# Air Quality Monitoring Network in China

“Urban” Network ?



Source: Xuan Ling



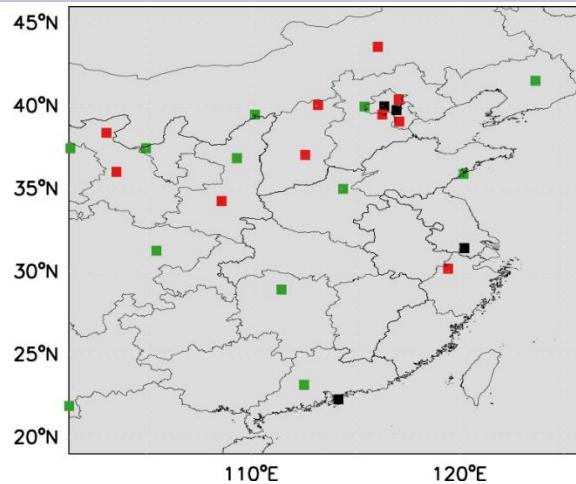
Measurements are limited by:

- Lack of historical data
- Lack of representativeness
- Lack of profile and column data
- Data verifiability and accessibility

What we want:

- Long-term data
- Good geographical coverage
- High spatiotemporal resolution
- Vertical and surface data
- Easily verifiable and accessible

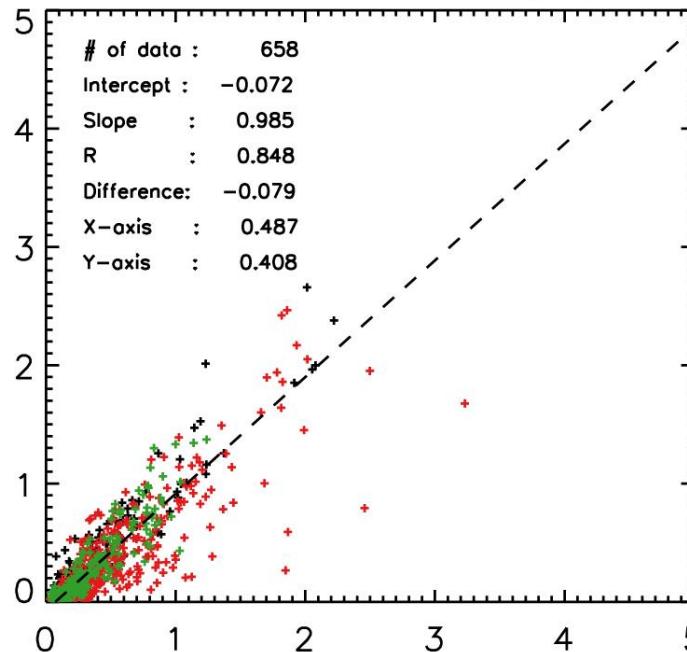
# Chinese Networks for Aerosol Properties



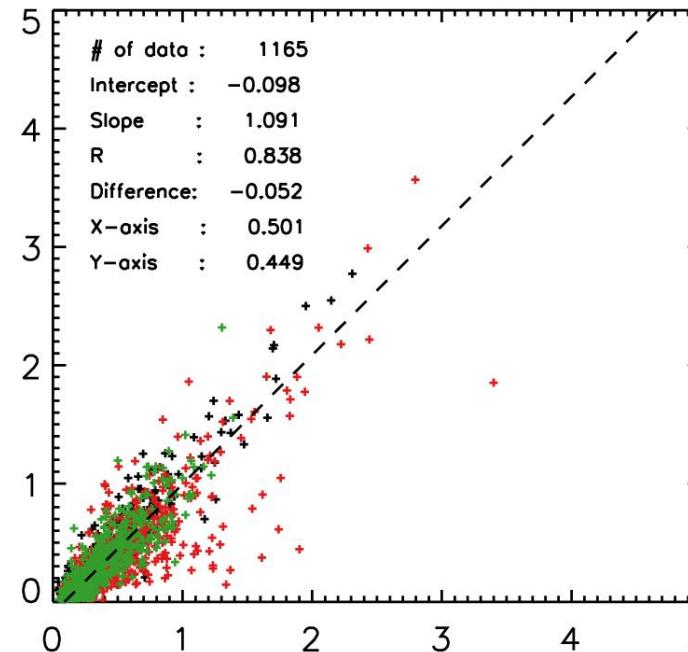
AERONET  
CARSNET  
CSHNET

Lin et al., 2014 AE

(a) Ground v.s. Terra (Hyer)

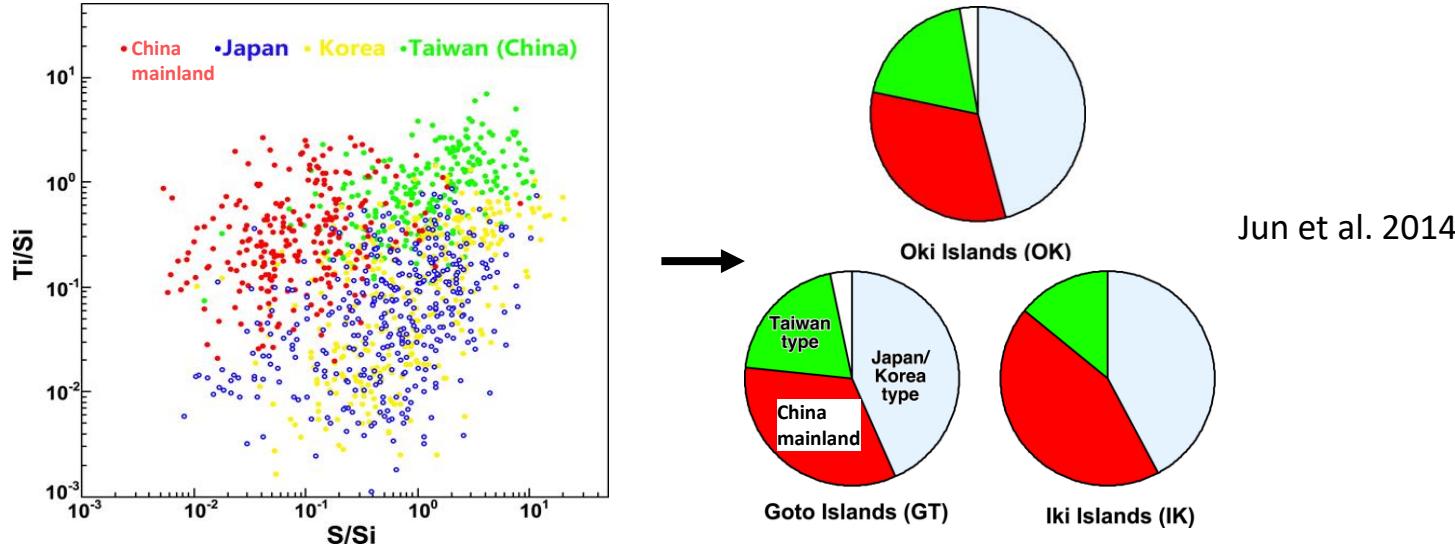


(b) Ground v.s. Aqua (Hyer)



# Observation-based versus Back Trajectory

## Observation-based attribution of source regions for spheroidal carbonaceous particles



## Back trajectory for source attribution

